

Edgar Filing: AllianzGI Equity & Convertible Income Fund - Form NSAR-B

AllianzGI Equity & Convertible Income Fund
Form NSAR-B
March 31, 2014

PAGE 1
000 B000000 01/31/2014
000 C000000 0001383441
000 D000000 N
000 E000000 NF
000 F000000 Y
000 G000000 N
000 H000000 N
000 I000000 6.1
000 J000000 A
001 A000000 ALLIANZGI EQUITY & CONVERTIBLE INCOME FUND
001 B000000 811-21989
001 C000000 2127393371
002 A000000 1633 BROADWAY
002 B000000 NEW YORK
002 C000000 NY
002 D010000 10019
003 000000 N
004 000000 N
005 000000 N
006 000000 N
007 A000000 N
007 B000000 0
007 C010100 1
007 C010200 2
007 C010300 3
007 C010400 4
007 C010500 5
007 C010600 6
007 C010700 7
007 C010800 8
007 C010900 9
007 C011000 10
008 A000001 ALLIANZ GLOBAL INVESTORS FUND MANAGEMENT LLC
008 B000001 A
008 C000001 801-57798
008 D010001 NEW YORK
008 D020001 NY
008 D030001 10019
008 A000002 ALLIANZ GLOBAL INVESTORS U.S. LLC
008 B000002 S
008 C000002 801-69803
008 D010002 NEW YORK
008 D020002 NY
008 D030002 10019
010 A000001 ALLIANZ GLOBAL INVESTORS FUND MANAGEMENT LLC
010 B000001 801-57798
010 C010001 NEW YORK
010 C020001 NY
010 C030001 10019
012 A000001 AMERICAN STOCK TRANSFER & TRUST COMPANY, LLC
012 B000001 84-00416
PAGE 2
012 C010001 BROOKLYN
012 C020001 NY
012 C030001 11219
013 A000001 PRICEWATERHOUSECOOPERS LLP
013 B010001 NEW YORK
013 B020001 NY

Edgar Filing: AllianzGI Equity & Convertible Income Fund - Form NSAR-B

013 B030001 10017
014 A000001 ALLIANZ GLOBAL INVESTORS DISTRIBUTORS LLC
014 B000001 8-41811
014 A000002 PIMCO INVESTMENTS LLC
014 B000002 8-68686
014 A000003 PIMCO DEUTSCHLAND GMBH
014 B000003 8-00000
014 A000004 QUESTAR CAPITAL CORPORATION
014 B000004 8-50174
014 A000005 ALLIANZ LIFE FINANCIAL SERVICES, LLC
014 B000005 8-13630
015 A000001 BROWN BROTHERS HARRIMAN & CO.
015 B000001 C
015 C010001 BOSTON
015 C020001 MA
015 C030001 02110
015 E010001 X
018 000000 Y
019 A000000 Y
019 B000000 85
019 C000000 ALLIANZGLO
020 A000001 J.P. MORGAN SECURITIES LLC
020 B000001 13-3299429
020 C000001 11
020 A000002 MERRILL LYNCH, PIERCE, FENNER & SMITH, INC.
020 B000002 13-5674085
020 C000002 11
020 A000003 CAPITAL ONE SECURITIES, INC.
020 B000003 72-1469382
020 C000003 9
020 A000004 MORGAN STANLEY & CO. LLC
020 B000004 13-2655998
020 C000004 8
020 A000005 DEUTSCHE BANK SECURITIES INC.
020 B000005 13-2730828
020 C000005 8
020 A000006 KEYBANC CAPITAL MARKETS INC.
020 B000006 34-1391952
020 C000006 7
020 A000007 CJS SECURITIES, INC.
020 B000007 13-3976034
020 C000007 2
020 A000008 SUNTRUST INVESTMENT SERVICES, INC.
020 B000008 58-1648698
020 C000008 2
PAGE 3
020 A000009 FRIEDMAN BILLINGS & RAMSEY
020 B000009 52-1630477
020 C000009 2
020 A000010 ABEL/NOSER
020 B000010 13-2833083
020 C000010 2
021 000000 68
022 A000001 GOLDMAN, SACH & CO.
022 B000001 13-5108880
022 C000001 15749
022 D000001 1139
022 A000002 MERRILL LYNCH, PIERCE, FENNER & SMITH, INC.
022 B000002 13-5674085
022 C000002 8334
022 D000002 2107
022 A000003 WELLS FARGO SECURITIES, LLC

Edgar Filing: AllianzGI Equity & Convertible Income Fund - Form NSAR-B

022 B000003 56-2322600
022 C000003 6437
022 D000003 0
022 A000004 DEUTSCHE BANK SECURITIES INC.
022 B000004 13-2730828
022 C000004 955
022 D000004 2909
022 A000005 CITIGROUP GLOBAL MARKETS INC.
022 B000005 11-2418191
022 C000005 3726
022 D000005 0
022 A000006 OPPENHEIMER & CO. INC.
022 B000006 13-5657518
022 C000006 0
022 D000006 3418
022 A000007 JEFFERIES LLC
022 B000007 95-2622900
022 C000007 0
022 D000007 2884
022 A000008 CREDIT SUISSE SECURITIES (USA) LLC
022 B000008 05-0546650
022 C000008 2690
022 D000008 0
022 A000009 BARCLAYS CAPITAL INC.
022 B000009 06-1031656
022 C000009 0
022 D000009 1544
022 A000010 J.P. MORGAN SECURITIES LLC
022 B000010 13-3299429
022 C000010 858
022 D000010 612
023 C000000 38749
023 D000000 14613
024 000000 Y
025 A000001 J.P. MORGAN CHASE & CO.
PAGE 4
025 B000001 13-4110995
025 C000001 D
025 D000001 46068
025 A000002 THE GOLDMAN SACHS GROUP, INC.
025 B000002 13-5108880
025 C000002 E
025 D000002 15064
025 A000003 WELLS FARGO & CO.
025 B000003 56-2322600
025 C000003 E
025 D000003 13275
025 A000004 CREDIT SUISSE
025 B000004 05-0546650
025 C000004 E
025 D000004 9907
025 A000005 J.P. MORGAN CHASE & CO.
025 B000005 13-4110995
025 C000005 E
025 D000005 7598
025 A000006 BANK OF AMERICA CORP.
025 B000006 56-0906609
025 C000006 E
025 D000006 5397
025 A000007 DELETE
025 D000007 0
025 D000008 0

Edgar Filing: AllianzGI Equity & Convertible Income Fund - Form NSAR-B

| | | | |
|-----|---------|------|---|
| 026 | A000000 | N | |
| 026 | B000000 | N | |
| 026 | C000000 | N | |
| 026 | D000000 | Y | |
| 026 | E000000 | N | |
| 026 | F000000 | N | |
| 026 | G010000 | N | |
| 026 | G020000 | N | |
| 026 | H000000 | N | |
| 027 | 000000 | N | |
| 028 | A010000 | | 0 |
| 028 | A020000 | | 0 |
| 028 | A030000 | | 0 |
| 028 | A040000 | | 0 |
| 028 | B010000 | | 0 |
| 028 | B020000 | | 0 |
| 028 | B030000 | | 0 |
| 028 | B040000 | | 0 |
| 028 | C010000 | | 0 |
| 028 | C020000 | | 0 |
| 028 | C030000 | | 0 |
| 028 | C040000 | | 0 |
| 028 | D010000 | | 0 |
| 028 | D020000 | | 0 |
| 028 | D030000 | | 0 |
| | PAGE | 5 | |
| 028 | D040000 | | 0 |
| 028 | E010000 | | 0 |
| 028 | E020000 | | 0 |
| 028 | E030000 | | 0 |
| 028 | E040000 | | 0 |
| 028 | F010000 | | 0 |
| 028 | F020000 | | 0 |
| 028 | F030000 | | 0 |
| 028 | F040000 | | 0 |
| 028 | G010000 | | 0 |
| 028 | G020000 | | 0 |
| 028 | G030000 | | 0 |
| 028 | G040000 | | 0 |
| 028 | H000000 | | 0 |
| 030 | A000000 | | 0 |
| 030 | B000000 | 0.00 | |
| 030 | C000000 | 0.00 | |
| 031 | A000000 | | 0 |
| 031 | B000000 | | 0 |
| 032 | 000000 | | 0 |
| 033 | 000000 | | 0 |
| 035 | 000000 | | 0 |
| 036 | B000000 | | 0 |
| 038 | 000000 | | 0 |
| 042 | A000000 | | 0 |
| 042 | B000000 | | 0 |
| 042 | C000000 | | 0 |
| 042 | D000000 | | 0 |
| 042 | E000000 | | 0 |
| 042 | F000000 | | 0 |
| 042 | G000000 | | 0 |
| 042 | H000000 | | 0 |
| 043 | 000000 | | 0 |
| 044 | 000000 | | 0 |
| 045 | 000000 | Y | |
| 046 | 000000 | N | |

Edgar Filing: AllianzGI Equity & Convertible Income Fund - Form NSAR-B

| | | | |
|-----|---------|-------|-----|
| 047 | 000000 | Y | |
| 048 | 000000 | 1.000 | |
| 048 | A010000 | | 0 |
| 048 | A020000 | 0.000 | |
| 048 | B010000 | | 0 |
| 048 | B020000 | 0.000 | |
| 048 | C010000 | | 0 |
| 048 | C020000 | 0.000 | |
| 048 | D010000 | | 0 |
| 048 | D020000 | 0.000 | |
| 048 | E010000 | | 0 |
| 048 | E020000 | 0.000 | |
| 048 | F010000 | | 0 |
| 048 | F020000 | 0.000 | |
| 048 | G010000 | | 0 |
| | PAGE | 6 | |
| 048 | G020000 | 0.000 | |
| 048 | H010000 | | 0 |
| 048 | H020000 | 0.000 | |
| 048 | I010000 | | 0 |
| 048 | I020000 | 0.000 | |
| 048 | J010000 | | 0 |
| 048 | J020000 | 0.000 | |
| 048 | K010000 | | 0 |
| 048 | K020000 | 0.000 | |
| 049 | 000000 | N | |
| 050 | 000000 | N | |
| 051 | 000000 | N | |
| 052 | 000000 | N | |
| 053 | A000000 | N | |
| 054 | A000000 | Y | |
| 054 | B000000 | Y | |
| 054 | C000000 | N | |
| 054 | D000000 | N | |
| 054 | E000000 | N | |
| 054 | F000000 | N | |
| 054 | G000000 | Y | |
| 054 | H000000 | Y | |
| 054 | I000000 | N | |
| 054 | J000000 | Y | |
| 054 | K000000 | N | |
| 054 | L000000 | N | |
| 054 | M000000 | Y | |
| 054 | N000000 | N | |
| 054 | O000000 | N | |
| 055 | A000000 | N | |
| 055 | B000000 | N | |
| 056 | 000000 | N | |
| 057 | 000000 | N | |
| 058 | A000000 | N | |
| 059 | 000000 | Y | |
| 060 | A000000 | Y | |
| 060 | B000000 | Y | |
| 061 | 000000 | | 0 |
| 062 | A000000 | N | |
| 062 | B000000 | | 0.0 |
| 062 | C000000 | | 0.0 |
| 062 | D000000 | | 0.0 |
| 062 | E000000 | | 0.0 |
| 062 | F000000 | | 0.0 |
| 062 | G000000 | | 0.0 |
| 062 | H000000 | | 0.0 |

Edgar Filing: AllianzGI Equity & Convertible Income Fund - Form NSAR-B

| | | |
|--------|---------|--------|
| 062 | I000000 | 0.0 |
| 062 | J000000 | 0.0 |
| 062 | K000000 | 0.0 |
| 062 | L000000 | 0.0 |
| 062 | M000000 | 0.0 |
| PAGE 7 | | |
| 062 | N000000 | 0.0 |
| 062 | O000000 | 0.0 |
| 062 | P000000 | 0.0 |
| 062 | Q000000 | 0.0 |
| 062 | R000000 | 0.0 |
| 063 | A000000 | 0 |
| 063 | B000000 | 0.0 |
| 066 | A000000 | Y |
| 066 | G000000 | Y |
| 067 | 000000 | N |
| 068 | A000000 | N |
| 068 | B000000 | N |
| 069 | 000000 | N |
| 070 | A010000 | Y |
| 070 | A020000 | N |
| 070 | B010000 | Y |
| 070 | B020000 | Y |
| 070 | C010000 | Y |
| 070 | C020000 | N |
| 070 | D010000 | Y |
| 070 | D020000 | N |
| 070 | E010000 | Y |
| 070 | E020000 | N |
| 070 | F010000 | Y |
| 070 | F020000 | N |
| 070 | G010000 | Y |
| 070 | G020000 | N |
| 070 | H010000 | Y |
| 070 | H020000 | N |
| 070 | I010000 | N |
| 070 | I020000 | N |
| 070 | J010000 | Y |
| 070 | J020000 | Y |
| 070 | K010000 | Y |
| 070 | K020000 | N |
| 070 | L010000 | Y |
| 070 | L020000 | Y |
| 070 | M010000 | Y |
| 070 | M020000 | Y |
| 070 | N010000 | Y |
| 070 | N020000 | N |
| 070 | O010000 | Y |
| 070 | O020000 | N |
| 070 | P010000 | N |
| 070 | P020000 | N |
| 070 | Q010000 | N |
| 070 | Q020000 | N |
| 070 | R010000 | Y |
| 070 | R020000 | N |
| 071 | A000000 | 497473 |
| 071 | B000000 | 504078 |
| PAGE 8 | | |
| 071 | C000000 | 604220 |
| 071 | D000000 | 82 |
| 072 | A000000 | 12 |
| 072 | B000000 | 4170 |

Edgar Filing: AllianzGI Equity & Convertible Income Fund - Form NSAR-B

| | |
|-------------|--------|
| 072 C000000 | 12392 |
| 072 D000000 | 0 |
| 072 E000000 | 0 |
| 072 F000000 | 4752 |
| 072 G000000 | 0 |
| 072 H000000 | 0 |
| 072 I000000 | 22 |
| 072 J000000 | 108 |
| 072 K000000 | 0 |
| 072 L000000 | 205 |
| 072 M000000 | 31 |
| 072 N000000 | 0 |
| 072 O000000 | 0 |
| 072 P000000 | 0 |
| 072 Q000000 | 0 |
| 072 R000000 | 73 |
| 072 S000000 | 47 |
| 072 T000000 | 0 |
| 072 U000000 | 0 |
| 072 V000000 | 0 |
| 072 W000000 | 53 |
| 072 X000000 | 5291 |
| 072 Y000000 | 100 |
| 072 Z000000 | 11371 |
| 072AA000000 | 23493 |
| 072BB000000 | 0 |
| 072CC010000 | 32888 |
| 072CC020000 | 0 |
| 072DD010000 | 20571 |
| 072DD020000 | 0 |
| 072EE000000 | 9763 |
| 073 A010000 | 0.9223 |
| 073 A020000 | 0.0000 |
| 073 B000000 | 0.4377 |
| 073 C000000 | 0.0000 |
| 074 A000000 | 0 |
| 074 B000000 | 0 |
| 074 C000000 | 46068 |
| 074 D000000 | 50519 |
| 074 E000000 | 119225 |
| 074 F000000 | 398689 |
| 074 G000000 | 0 |
| 074 H000000 | 0 |
| 074 I000000 | 253 |
| 074 J000000 | 0 |
| 074 K000000 | 0 |
| 074 L000000 | 1420 |
| PAGE 9 | |
| 074 M000000 | 8 |
| 074 N000000 | 616182 |
| 074 O000000 | 11364 |
| 074 P000000 | 437 |
| 074 Q000000 | 0 |
| 074 R010000 | 0 |
| 074 R020000 | 0 |
| 074 R030000 | 140 |
| 074 R040000 | 455 |
| 074 S000000 | 0 |
| 074 T000000 | 603786 |
| 074 U010000 | 27709 |
| 074 U020000 | 0 |
| 074 V010000 | 21.79 |

Edgar Filing: AllianzGI Equity & Convertible Income Fund - Form NSAR-B

| | | |
|-----------|--------------------|---------------------------------------|
| 074 | V020000 | 0.00 |
| 074 | W000000 | 0.0000 |
| 074 | X000000 | 11 |
| 074 | Y000000 | 24268 |
| 075 | A000000 | 0 |
| 075 | B000000 | 475237 |
| 076 | 000000 | 18.73 |
| 077 | A000000 | Y |
| 077 | B000000 | Y |
| 077 | C000000 | Y |
| 077 | D000000 | Y |
| 077 | E000000 | N |
| 077 | F000000 | N |
| 077 | G000000 | N |
| 077 | H000000 | N |
| 077 | I000000 | N |
| 077 | J000000 | N |
| 077 | K000000 | N |
| 077 | L000000 | N |
| 077 | M000000 | Y |
| 077 | N000000 | N |
| 077 | O000000 | N |
| 077 | P000000 | N |
| 077 | Q010000 | N |
| 077 | Q020000 | N |
| 077 | Q030000 | N |
| 078 | 000000 | N |
| 080 | A000000 | GREAT AMERICAN INSURANCE COMPANY |
| 080 | B000000 | NATIONAL UNION FIRE INSURANCE COMPANY |
| 080 | C000000 | 67500 |
| 081 | A000000 | Y |
| 081 | B000000 | 84 |
| 082 | A000000 | Y |
| 082 | B000000 | 250 |
| 083 | A000000 | N |
| 083 | B000000 | 0 |
| 084 | A000000 | N |
| | PAGE | 10 |
| 084 | B000000 | 0 |
| 085 | A000000 | Y |
| 085 | B000000 | N |
| 086 | A010000 | 0 |
| 086 | A020000 | 0 |
| 086 | B010000 | 0 |
| 086 | B020000 | 0 |
| 086 | C010000 | 0 |
| 086 | C020000 | 0 |
| 086 | D010000 | 0 |
| 086 | D020000 | 0 |
| 086 | E010000 | 0 |
| 086 | E020000 | 0 |
| 086 | F010000 | 0 |
| 086 | F020000 | 0 |
| 087 | A010000 | COMMON STOCK |
| 087 | A020000 | 018829101 |
| 087 | A030000 | NIE |
| 088 | A000000 | N |
| 088 | B000000 | N |
| 088 | C000000 | N |
| 088 | D000000 | N |
| SIGNATURE | LAWRENCE ALTADONNA | |
| TITLE | TREASURER | |

