#### **EVOLUTION PETROLEUM CORP**

Form 4

December 07, 2015

# FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB

**OMB APPROVAL** 

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Check this box

obligations may continue.

See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

30(h) of the Investment Company Act of 1940

1(b).

Stock

(Print or Type Responses)

1. Name and A Joe David	Symbol	2. Issuer Name <b>and</b> Ticker or Trading Symbol EVOLUTION PETROLEUM CORP				5. Relationship of Reporting Person(s) to Issuer  (Check all applicable)				
		[EPM]	[EPM]				(Check all applicable)			
(Last)	(First) (M		3. Date of Earliest Transaction (Month/Day/Year)			Director 10% OwnerX_ Officer (give title Other (specify				
2500 CITY 1300	WEST BLVD., SU		•				below) VP, C	below) hief Admn Offic	cer	
	(Street)	4 If Ama	undmant Da	ta Omiainal			6 Individual on I	oint/Casun Eilin	o o (Ch1-	
		ff Amendment, Date Original ed(Month/Day/Year)				6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person				
HOUSTON	, TX 77042						Form filed by Person	More than One Re	porting	
(City)	(State) (Z	Zip) Tabl	le I - Non-D	erivative S	ecuri	ties Acq	uired, Disposed o	of, or Beneficial	ly Owned	
1.Title of	2. Transaction Date	2A. Deemed	3. 4. Securities Acquired			5. Amount of	6. Ownership	7. Nature of		
Security	(Month/Day/Year)	f Transaction(A) or Disposed of (D)				Securities	Form: Direct	Indirect		
(Instr. 3)	(Instr. 3) any			Code (Instr. 3, 4 and 5)			Beneficially	(D) or	Beneficial	
		(Month/Day/Year)	(Instr. 8)				Owned	Indirect (I) (Instr. 4)	Ownership	
					(A) or		Following Reported Transaction(s) (Instr. 3 and 4)	(IIIstr. 4)	(Instr. 4)	
			Code V	Amount	(D)	Price	(Ilisti. 3 and 4)			
Common Stock	12/03/2015		A	51,125	A	\$ 6.00	354,247	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

6.09

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

(1)

#### Edgar Filing: EVOLUTION PETROLEUM CORP - Form 4

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	Execution Date, if any Code Secution Code Se		Securities Acquired (A) or Disposed or	evative Expiration Date (Month/Day/Year) uired (A) bisposed of tr. 3, 4,		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D	Date Exercisable	Expiration Date	Title	Amoun or Numbe of Shar
Contingent Performance Stock Grant	\$ 0	12/03/2015		A	12,042	(2)	12/03/2019	Common Stock	12,04

### **Reporting Owners**

Relationships Reporting Owner Name / Address

Other Director 10% Owner Officer

Joe David 2500 CITY WEST BLVD., SUITE 1300

HOUSTON, TX 77042

VP, Chief Admn Officer

# **Signatures**

/s/ David Joe 12/07/2015 \*\*Signature of Date Reporting Person

## **Explanation of Responses:**

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- The Company granted these shares of restricted stock pursuant to the Company's Amended and Restated 2004 Stock Plan, subject to specific performance goals and other vesting criteria.
- The Company granted these securities pursuant to the Company's Amended and Restated 2004 Stock Plan. They are subject to the (2) attainment of performance goals and other vesting criteria. Such shares of stock will not be issued until these performance goals and other vesting criteria have been achieved.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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