### Edgar Filing: ERNST BARRIE W - Form 4

ERNST BA Form 4	ARRIE W										
December 3											
FORM	$\mathbf{\Lambda}$ <b>4</b> UNITED	STATES S	FCUP	TTIFS /	ND FY	СН	NCF C	OMMISSION		PROVAL	
W				URITIES AND EXCHANGE Cu ashington, D.C. 20549					OMB Number:	3235-0287	
Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. STATEMENT O Filed pursuant to Section 17(a) of the			ction 16 Iblic Ut	<b>SECUI</b> 6(a) of th	RITIES ne Secur ding Co	ities l mpar	Act of 1934, 1935 or Section	Expires: January Estimated average burden hours per response			
<i>See</i> Inst 1(b).	ruction	50(11) 01	i uie in	vestment	i Compa	.11y 7 1					
(Print or Type	Responses)										
	Address of Reporting	S L	ymbol	Name <b>an</b> OFIRE (				5. Relationship of I Issuer (Check	Reporting Pers		
(Last) (First) (Middle) 3. Date (Month			. Date of	e of Earliest Transaction h/Day/Year)				Director 10% Owner X Officer (give title Other (specify below) below) VP/Chief Investment Officer			
	(Street)	F		ndment, D th/Day/Yea	-	al		6. Individual or Joi Applicable Line) _X_ Form filed by Oi Form filed by Mo	nt/Group Filin ne Reporting Per	g(Check	
CEDAR R.	APIDS, IA 52401	-1212						Person		porting	
(City)	(State)	(Zip)	Table	e I - Non-l	Derivative	e Secu	rities Acqu	uired, Disposed of,	or Beneficiall	y Owned	
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	ransaction Date 2A. Deemed nth/Day/Year) Execution Date, if any (Month/Day/Year)				ties Ad sed of 4 and (A) or		Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
C				Code V	Amount		Price	(Instr. 3 and 4)			
Common Stock	12/28/2018			M <u>(1)</u>	200	А	\$ 22.42	17,010.2557	D		
Common Stock	12/28/2018			S <u>(1)</u>	200	D	\$ 55	16,810.2557	D		
Common Stock	12/31/2018			M <u>(1)</u>	2,800	А	\$ 22.42	19,610.2557	D		
Common Stock	12/31/2018			S <u>(1)</u>	2,800	D	\$ 55.0027 (2)	16,810.2557	D		
Common Stock	12/31/2018			M <u>(1)</u>	6,780	А	\$ 23.96	23,590.2557	D		

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Common 12/31/2018 Stock

 $S_{\underline{(1)}}$  6,780 D 55.0027 16,810.2557 D  $\underline{(2)}$ 

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned	ł
(e.g., puts, calls, warrants, options, convertible securities)	

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. Number orof Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8. l De Sec (In
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Stock Option (right to buy)	\$ 22.42	12/28/2018		M <u>(1)</u>	200	(3)	05/18/2020	Common Stock	200	
Stock Option (right to buy)	\$ 22.42	12/31/2018		M <u>(1)</u>	2,800	(3)	05/18/2020	Common Stock	2,800	
Stock Option (right to buy)	\$ 23.96	12/31/2018		M <u>(1)</u>	6,780	<u>(3)</u>	02/15/2023	Common Stock	6,780	

## **Reporting Owners**

<b>Reporting Owner Name / Address</b>				
I S S S S S S S S S S S S S S S S S S S	Director	10% Owner	Officer	Other
ERNST BARRIE W				
118 2ND AVE SE			VP/Chief Investment Officer	
CEDAR RAPIDS, IA 52401-1212				

# Signatures

/s/ Barrie W. Ernst by Michael T. Wilkins, Attorney-in-Fact

<u>\*\*</u>Signature of Reporting Person

12/31/2018

Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Transaction pursuant to a 10b5-1 contract.

This transaction was executed in multiple trades at prices ranging from \$55 to \$55.055. The price reported above reflects the weighted(2) average purchase price. The reporting person hereby undertakes to provide full information regarding the number of shares and prices at which the transactions were effected upon request to the SEC staff, the issuer, or a security holder of the issuer.

(3) All options currently exercisable.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.