Edgar Filing: MICROSOFT CORP - Form 4

MICROSOFT CORP Form 4 February 14, 2003

FORM 4

_ Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

OMB APPROVAL

OMB Number: 3235-0287 Expires: January 31, 2005 Estimated average burden hours per response. . .0.5

> Filed By Romeo and Dye's Section 16 Filer www.section16.net

1 0					me and Tic		6. Relationship of Reporting Person(s) to Issuer (Check all applicable)			
(Last)	3. I.R.S. Identification Number of Reporting Person, if an entity (voluntary)				Mo	tatement for nth/Day/Year oruary 13, 2003				
Wellesley Hill					Dat	f Amendment, e of Original onth/Day/Year)	(Check Applica X Form filed by Person Form filed by	7. Individual or Joint/Group Filing (Check Applicable Line) X Form filed by One Reporting Person Form filed by More than One Reporting Person		
(Cit	Table I Non-Derivative Securities Acquired, I						isposed of, or Beneficially Owned			
1. Title of Security (Instr. 3)	action	2A. Deemed Execution Date, if any	d 3. Trans- 4. Securities Ac action Code (A) or Disposed (Instr. 8) (Instr. 3, 4 & 5)		posed o & 5)	f (D)	5. Amount of Securities Beneficially Owned Follow-	6. Owner- ship Form: Direct (D) or Indirect (I)	7. Nature of Indirect Beneficial	
	Year)	(Month/Day/ Year)	Code	V	Amount	(A) or (D)	Price	ing Reported Transactions(s) (Instr. 3 & 4)	(Instr. 4)	(Instr. 4)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number

FORM 4 (continued) Table II - Derivative Securities Acquired, Disposed of, or Beneficially **Owned**

(e.g., puts, calls, warrants, options, convertible securities)

(eig.) publy early, warrants, options, convertible securities,												
1. Title of	2. Conver-	3. Trans-	3A.	4.	5. Number	6. Date Exercisable	7. Title and	8. Price of	9. Number of	10.	11. Natu	
Derivative	sion or	action	Deemed	Trans-	of	and Expiration	Amount of	Derivative	Derivative	Owner-	of Indire	
Security	Exercise	Date	Execution	action	Derivative	Date	Underlying	Security	Securities	ship	Beneficia	
	Price of		Date,	Code	Securities	(Month/Day/	Securities	(Instr. 5)	Beneficially	Form	Ownersh	
(Instr. 3)	Derivative	(Month/	if any		Acquired	Year)	(Instr. 3 & 4)		Owned	of Deriv-	(Instr. 4)	
		Day/	(Month/	(Instr.	(A) or				Following	ative		
		Year)	Day/	8)	Disposed				Reported	Security:		
			Year)		of (D)				Transaction(s)	Direct		
									(Instr. 4)	(D)		
					(Instr. 3, 4					or		
											Ī	

^{*} If the form is filed by more than one reporting person, see Instruction 4(b)(v).

Edgar Filing: MICROSOFT CORP - Form 4

						& 5)							Indirect	
				Code V		(A)	Exer-cisable	Expira- tion Date		Amount or Number			(I) (Instr. 4)	
								Date		of Shares				
Stock Option (Right to Buy)	\$53.72	02/13/03		A		10,000	(1)		Common Stock	10,000			D	

Explanation of Responses:

(1) The option vests and becomes exercisable at the rate of 12.5% on the one year anniversary of the date of grant and then at the rate of 12.5% for each six months thereafter

By: /s/ John A. Seethoff, Attorney-in-Fact for James I. Cash, Jr.

**Signature of Reporting Person

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, See Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

^{**}Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).