

DEAN FOODS CO/
Form 4
November 21, 2002

FORM 4

UNITED STATES SECURITIES AND EXCHANGE
COMMISSION
Washington, D.C. 20549

OMB APPROVAL

☐ Check this box if no
longer subject to Section
16. Form 4 or Form 5
obligations may continue.
See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL
OWNERSHIP**

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or
Section 30(h) of the Investment Company Act of 1940

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1. Name and Address of Reporting Person*			2. Issuer Name and Ticker or Trading Symbol Dean Foods Company (DF)				6. Relationship of Reporting Person(s) to Issuer (Check all applicable)		
Engles, Gregg L.			3. I.R.S. Identification Number of Reporting Person, if an entity (voluntary)				4. Statement for Month/Day/Year 11/19/02		
(Last) (First) (Middle) 2515 McKinney Avenue, Suite 1200									
(Street) Dallas, TX 75201			5. If Amendment, Date of Original (Month/Day/Year)				7. Individual or Joint/Group Filing (Check Applicable Line) ☒ Form filed by One Reporting Person ☐ Form filed by More than One Reporting Person		
(City) (State) (Zip)									
Table I Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned									
1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 & 5)		5. Amount of Securities Beneficially Owned Following Reported Transactions(s) (Instr. 3 & 4)		6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership (Instr. 4)
Common Stock	11/19/02⁽¹⁾		S	5,000	D	38.00	1,107,012	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

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FORM 4 (continued) Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 & 4)	8. Price of Derivative Security (Instr. 5)	9. Number of Derivative Securities Beneficially Owned Following Reported	10. Ownership Form of Derivative Security:	11. Nature of Indirect Ownership (Instr. 4)
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		Year)	Year)	Disposed of (D)				Date Exer-cisable	Expira-tion Date	Title	Amount or Number of Shares	Transaction(s) (Instr. 4)	Direct (D) or Indirect (I) (Instr. 4)	
				Code	V	(A)	(D)							
Incentive Stock Option	\$17.6875							01/29/00	01/29/09	Common Stock	16,800	16,800	D	
Incentive Stock Option	\$21.5625							01/22/02	01/22/11	Common Stock	9,316	9,316	D	
Incentive Stock Option	\$30.5250							01/14/03	01/14/12	Common Stock	3,276	3,276	D	
Non-Qualified Stock Option	\$14.6250							05/13/98	05/13/07	Common Stock	600,000	600,000	D	
Non-Qualified Stock Option	\$17.1250							06/04/00	06/04/09	Common Stock	114,000	114,000	D	
Non-Qualified Stock Option	\$17.6875							01/29/00	01/29/09	Common Stock	163,200	163,200	D	
Non-Qualified Stock Option	\$18.7188							01/04/01	04/04/10	Common Stock	330,000	330,000	D	
Non-Qualified Stock Option	\$21.5625							01/22/02	01/22/11	Common Stock	390,684	390,684	D	
Non-Qualified Stock Option	\$29.2500							01/02/99	01/02/08	Common Stock	240,000	240,000	D	
Non-Qualified Stock Option	\$30.5250							01/14/03	01/14/12	Common Stock	696,724	696,724	D	

Explanation of Responses:

(1) Sale is pursuant to a 10b5-1 Sales Plan dated October 23, 2002, between Reporting Person and Bear Stearns & Co. Inc., acting as agent, to permit the orderly disposition of a portion of the Reporting Person's holdings of the Issuer's common stock, par value \$.01 per share of Dean Foods Company. The Sales Plan authorizes Bear Stearns to sell 120,000 shares of stock commencing on November 12, 2002, at a rate of 30,000 shares per fiscal quarter.

By: /s/ **Gregg L. Engles**
November 21, 2002

Date

**Signature of Reporting Person

**Intentional misstatements or omissions of facts constitute Federal Criminal Violations.
See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed.
If space is insufficient, See Instruction 6 for procedure.

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