Liotine Joseph T. Form 4 February 20, 2019

### FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box if no longer subject to Section 16.

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Form 4 or Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1(b).

1. Name and Address of Reporting Person \* Liotine Joseph T.

2. Issuer Name and Ticker or Trading Symbol

WHIRLPOOL CORP /DE/ [WHR]

5. Relationship of Reporting Person(s) to Issuer

(Last) (First) (Middle)

(Street)

3. Date of Earliest Transaction (Month/Day/Year) 02/15/2019

Director 10% Owner \_X\_\_ Officer (give title \_ Other (specify below)

(Check all applicable)

EXEC VICE PRESIDENT & PRES NA

**OMB APPROVAL** 

3235-0287

January 31,

2005

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OMB

Number:

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response...

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burden hours per

C/O WHIRLPOOL CORPORATION, 2000 M-63N

4. If Amendment, Date Original

6. Individual or Joint/Group Filing(Check

Filed(Month/Day/Year)

Applicable Line) \_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

#### BENTON HARBOR, MI 49022

(City)	(State)	(Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned								
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	Code (Instr. 8)	(A) or			5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Common Stock	02/15/2019		M(1)	799	A	\$ 0	16,753	D		
Common Stock	02/15/2019		F	208.22	D	\$ 139.36	16,544.78	D		
Common Stock	02/15/2019		D(2)	183.78	D	\$ 0	16,361	D		
Common Stock	02/18/2019		M(3)	1,794	A	\$ 0	18,155	D		
Common Stock	02/18/2019		F	523	D	\$ 139.36	17,632	D		

 $\begin{array}{c} \text{Common} \\ \text{Stock} \end{array} \hspace{1cm} 1,555.25 \hspace{1cm} I \hspace{1cm} \begin{array}{c} 401(k) \\ \text{Stock} \\ \text{Fund} \end{array}$ 

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474

(9-02)

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	e 3A. Deemed Execution Date, if any (Month/Day/Year)	Code	5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount Underlying Securitie (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amou or Numb of Sha
Restricted Stock Units	<u>(1)</u>	02/15/2019		M		799	<u>(1)</u>	<u>(1)</u>	Common Stock	799
Deferred Stock	(2)	02/15/2019		A	183.78		(2)	(2)	Common Stock	183.
Restricted Stock Units	<u>(3)</u>	02/18/2019		M		1,794	(3)	(3)	Common	1,79
Employee Stock Option (Right to Buy)	\$ 139.36	02/18/2019		A	24,936		<u>(4)</u>	02/18/2029	Common Stock	24,93

## **Reporting Owners**

Reporting Owner Name / Address

Director 10% Owner Officer Other

Liotine Joseph T. C/O WHIRLPOOL CORPORATION 2000 M-63N BENTON HARBOR, MI 49022

EXEC VICE PRESIDENT & PRES NA

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## **Signatures**

/s/ Bridget K. Quinn, Attorney-in-Fact

02/20/2019

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Vesting of restricted stock unit award made under the Whirlpool Corporation Omnibus Stock and Incentive Plan in a transaction exempt under Rule 16b-3.
- Upon the vesting of restricted stock units granted to the reporting person on 02/15/2019, the reporting person deferred the receipt of 183.78 shares of deferred stock pursuant to Whirlpool's Executive Deferred Savings Plan II. As a result, the reporting person is reporting the disposition of 183.78 shares of common stock in exchange for an equal number of shares of deferred stock. The deferred stock is payable following the reporting person's termination of employment with Whirlpool Corporation.
- (3) Vesting of performance-based restricted stock unit award for the 2016-2018 performance period made under the Whirlpool Corporation Omnibus Stock and Incentive Plan in a transaction exempt under Rule 16b-3.
- (4) Options will vest and become exercisable as follows: one-third on 02/18/2020; one-third on 02/18/2021; and one-third on 02/18/2022.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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