## NOVELLY PAUL A Form 4

August 04, 2009

## FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

OMB 3235-0287

**OMB APPROVAL** 

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Check this box if no longer subject to Section 16. Form 4 or

Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1(b).

| 1. Name and Address NOVELLY PAUI | 1 6 -          | 2. Issuer Name and Ticker or Trading Symbol | 5. Relationship of Reporting Person(s) to Issuer |  |  |  |
|----------------------------------|----------------|---|--|--|--|--|
|                                  |                | FutureFuel Corp. [FTFL.OB]                  | (Check all applicable)                           |  |  |  |
| (Last) (Fi                       | irst) (Middle) | 3. Date of Earliest Transaction             |  |  |  |  |
|                                  |                | (Month/Day/Year)                            | X DirectorX 10% Owner                            |  |  |  |
| 3920 N. A-1-A, U                 | NIT 301        | 07/31/2009                                  | _X_ Officer (give title Other (specify below)    |  |  |  |
|                                  |                |   | Exec. Chair. of the Board                        |  |  |  |
| (St                              | reet)          | 4. If Amendment, Date Original              | 6. Individual or Joint/Group Filing(Check        |  |  |  |
|                                  |                | Filed(Month/Day/Year)                       | Applicable Line)                                 |  |  |  |
|                                  |                |   | _X_ Form filed by One Reporting Person           |  |  |  |

#### FORT PIERCE, FL 34949

(State)

(Zip)

(City)

| 1.Title of Security (Month/Day/Year)   2. Transaction Date (Month/Day/Year)   Execution Date, if any (Month/Day/Year)   (Instr. 3)   (Month/Day/Year)   (Month/Day/Year)   (Instr. 8)   (Instr. 8)   (Instr. 8)   (Instr. 8)   (Instr. 8)   (Instr. 8)   (Instr. 4)   (Instr. 3 and 4)   (I | (===5)     | (2)                 | Table              | e I - Non-D              | erivative Se  | curitie      | s Acqu | iirea, Disposea oi | , or Beneficiall | y Owned      |
|--|------------|---------------------|--------------------|--------------------------|---------------|--------------|--------|--------------------|------------------|--------------|
| (Instr. 3)  any (Month/Day/Year) (Instr. 8)  Code (Instr. 3, 4 and 5) (Month/Day/Year) (Instr. 8)  Beneficially Owned Indirect (I) Ownership Following (Instr. 4)  Reported Transaction(s) (Instr. 3 and 4)  Common  07/31/2009  S 156 250 D \$0 11,547,500  I 0 (3)   | 1.Title of | 2. Transaction Date | 2A. Deemed         | 3.                       | 4. Securities | s Acqu       | ired   | 5. Amount of       | 6. Ownership     | 7. Nature of |
| (Month/Day/Year) (Instr. 8) Owned Following (Instr. 4) (Instr. 4)  Code V Amount (D) Price  Common 07/31/2009  S 156 250 D \$0 11,547,500 I 0 (3)  | Security   | (Month/Day/Year)    | Execution Date, if | Transactio               | n(A) or Disp  | osed o       | f (D)  | Securities         | Form: Direct     | Indirect     |
| Common 07/31/2009 S 156 250 D \$0 11,547,500 I 0 (3)   | (Instr. 3) |                     | any                | Code (Instr. 3, 4 and 5) |               | Beneficially | (D) or | Beneficial         |                  |              |
| Common 07/31/2009 S 156 250 D Reported Transaction(s) (Instr. 3 and 4)  Code V Amount (D) Price (Instr. 3 and 4)   |            |                     | (Month/Day/Year)   | (Instr. 8)               |               |              |        | Owned              | Indirect (I)     | Ownership    |
| Code V Amount (D) Price Transaction(s) (Instr. 3 and 4)  Common 07/31/2009 S 156 250 D \$0 11,547,500 I 0 (3)  |            |                     |                    |                          |               |              |        | Following          | (Instr. 4)       | (Instr. 4)   |
| Code V Amount (D) Price (Instr. 3 and 4)  Common 07/31/2009 S 156 250 D \$0 11,547,500 I 0 (3)   |            |                     |                    |                          |               | ( )          |        | Reported           |                  |              |
| Code V Amount (D) Price (Instr. 3 and 4)  Common 07/31/2009 S 156 250 D \$0 11,547,500 I 0 (3)   |            |                     |                    |                          |               |              |        | Transaction(s)     |                  |              |
| Common 07/31/2009 S 156 250 D \$0 11,547,500 I 0 (3)   |            |                     |                    | C = V                    |               |              | ъ.     | (Instr. 3 and 4)   |                  |              |
| 07/31/2009 S 156/250 D 1 0/9   | ~          |                     |                    | Code v                   | Amount        | (D)          |        | 44 545 500         |                  |              |
| Stock (1) (1) (2)  | Common     | 07/31/2000          |                    | C                        | 156 250       | D            | \$ 0   | 11,547,500         | T                | $\Omega$ (3) |
|  | Stock      | 0113112009          |                    | 3                        | 130,230       | D            | (1)    | (2)                | 1                | 0 <u>~</u>   |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

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| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transactio<br>Code<br>(Instr. 8) | 5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) |         | 6. Date Exercisable and Expiration Date (Month/Day/Year) |                    | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) |                            |
|---|---|--------------------------------------|---|--|---|---------|--|--------------------|---|----------------------------|
|   |   |                                      |   | Code V                                 | (A)   | (D)     | Date<br>Exercisable                                      | Expiration<br>Date | Title   | Amount<br>Number<br>Shares |
| Warrants (Right to Buy Stock)                       | \$ 6  | 07/31/2009                           |   | S                                      |   | 156,250 | 10/31/2006   | 07/12/2010         | Common<br>Stock   | 156,25                     |

## **Reporting Owners**

| Reporting Owner Name / Address   | Relationships |           |                           |       |  |  |  |  |
|--|---------------|-----------|---------------------------|-------|--|--|--|--|
| Transfer and the same and the s | Director      | 10% Owner | Officer                   | Other |  |  |  |  |
| NOVELLY PAUL A<br>3920 N. A-1-A, UNIT 301<br>FORT PIERCE, FL 34949   | X             | X         | Exec. Chair. of the Board |       |  |  |  |  |

### **Signatures**

/s/ Douglas D. Hommert, Attorney in fact for the Reporting Person, Paul A.
Novelly

07/31/2009

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Private sale of units consisting of one share of common stock and one warrant each at a price of \$8.00 per unit.
- Includes (i) 10,822,500 shares of common stock owned by St. Albans Global Management Limited Partnership, LLLP, a Delaware limited liability limited partnership ("Global"), as to which Mr. Novelly is the chief executive officer, (ii) 625,000 shares owned by Apex Holding Co., a Missouri corporation ("Holding") as to which Mr. Novelly is Chief Executive Officer and the sole director, and (iii) 100,000 shares owned by Mr. Novelly individually.
- (3) Securities owned by Global, as to which Mr. Novelly is the chief executive officer, but he disclaims beneficial ownership of all shares and warrants owned by Global except to the extent of a minor pecuniary interest.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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