Hunter Robert Gail Form 4 December 31, 2018

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940

1(b).

(Last)

(Print or Type Responses)

See Instruction

1. Name and Address of Reporting Person \*

(First)

Hunter Robert Gail

**SANDY, UT 84092** 

2. Issuer Name and Ticker or Trading

Symbol

SECURITY NATIONAL

FINANCIAL CORP [SNFCA]

3. Date of Earliest Transaction

(Month/Day/Year) 11/30/2018

2 RAVENWOOD LANE

(Middle)

(Street) 4. If Amendment, Date Original

Filed(Month/Day/Year)

**OMB APPROVAL** 

OMB Number:

3235-0287

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January 31, 2005

Estimated average burden hours per

response...

0.5

5. Relationship of Reporting Person(s) to

Issuer

(Check all applicable)

\_X\_\_ Director 10% Owner Officer (give title Other (specify

below)

6. Individual or Joint/Group Filing(Check Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1.Title of Security (Instr. 3)

2. Transaction Date 2A. Deemed (Month/Day/Year)

Execution Date, if (Month/Day/Year)

3. 4. Securities TransactionAcquired (A) or Code Disposed of (D) (Instr. 8) (Instr. 3, 4 and 5)

5. Amount of Securities Beneficially Owned Following

6. Ownership 7. Nature of Form: Direct (D) or Indirect Beneficial (I) (Instr. 4)

Indirect Ownership (Instr. 4)

Reported Transaction(s) (Instr. 3 and 4) Code V Amount (D) Price

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

#### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Conversion Security or Exercise

3. Transaction Date 3A. Deemed (Month/Day/Year)

Execution Date, if any

4. 5. Number Transaction of Derivative Expiration Date Code Securities

(A)

or

6. Date Exercisable and (Month/Day/Year)

7. Title and Amount of **Underlying Securities** (Instr. 3 and 4)

(Instr. 3)	Price of Derivative Security		(Month/Day/Year)	(Instr. 8)	Acquire (A) or Dispose (D) (Instr. 3, and 5)	d of				
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Director Stock Option (right to buy)	\$ 0.9 (1)	12/05/2008		A	8,145 (1)		12/05/2009	12/05/2018	Class A Common Stock	8,145 (1)
Director Stock Option (right to buy)	\$ 0.86 (2)	12/07/2008		A	1,630 (2)		12/07/2009	12/07/2018	Class A Common Stock	1,630 (2)
Director Stock Option (right to buy)	\$ 2.24 (3)	12/04/2009		A	7,757 (3)		12/04/2010	12/04/2019	Class A Common Stock	7,757 (3)
Director Stock Option (right to buy)	\$ 2.24 (4)	12/07/2009		A	1,552 (4)		12/07/2010	12/07/2019	Class A Common Stock	1,552 (4)
Director Stock Option (right to buy)	\$ 1.23 <sup>(5)</sup>	12/03/2010		A	7,388 (5)		12/03/2011	12/03/2020	Class A Common Stock	7,388 (5)
Director Stock Option (right to buy)	\$ 1.23 <u>(6)</u>	12/07/2010		A	1,478 (6)		12/07/2011	12/07/2020	Class A Common Stock	1,478 <u>(6)</u>
Director Stock Option (right to buy)	\$ 0.91 <u>(7)</u>	12/02/2011		A	7,036 (7)		12/02/2012	12/02/2021	Class A Common Stock	7,036 <u>(7)</u>
Director Stock	\$ 0.91 (8)	12/07/2011		A	1,408 (8)		12/07/2012	12/07/2021	Class A Common	1,408 (8)

Option (right to buy)							Stock	
Director Stock Option (right to buy)	\$ 1.15 <u>(9)</u>	04/13/2012	A	6,701 (9)	04/13/2013	04/13/2022	Class A Common Stock	6,701 ( <u>9)</u>
Director Stock Option (right to buy)	\$ 5.46 (10)	12/07/2012	A	1,341 (10)	12/07/2013	12/07/2022	Class A Common Stock	1,341 (10)
Director Stock Option (right to buy)	\$ 3.75 (11)	12/06/2013	A	6,179 (11)	12/06/2014	12/06/2023	Class A Common Stock	6,179 (11)
Director Stock Option (right to buy)	\$ 3.75 (12)	12/07/2013	A	1,277 (12)	12/07/2014	12/07/2023	Class A Common Stock	1,277 (12)
Director Stock Option (right to buy)	\$ 4.09 (13)	12/05/2014	A	6,078 (13)	12/05/2015	12/05/2024	Class A Common Stock	6,078 (13)
Director Stock Option (right to buy)	\$ 4.09 (14)	12/07/2014	A	1,216 (14)	12/07/2015	12/07/2024	Class A Common Stock	1,216 (14)
Director Stock Option (right to buy)	\$ 5.76 (15)	12/04/2015	A	5,789 (15)	12/04/2016	12/04/2025	Class A Common Stock	5,789 (15)
Director Stock Option (right to buy)	\$ 5.76 (16)	12/07/2015	A	1,158 (16)	12/07/2016	12/07/2025	Class A Common Stock	1,158 (16)
Director Stock Option	\$ 6.35 (17)	12/02/2016	A	5,513 (17)	12/02/2017	12/02/2026	Class A Common Stock	5,513 (17)

(right to buy)								
Director Stock Option (right to buy)	\$ 6.64 (18)	12/07/2016	A	1,103 (18)	12/07/2017	12/07/2026	Class A Common Stock	1,103 (18)
Director Stock Option (right to buy)	\$ 4.8 <u>(19)</u>	12/01/2017	A	1,050 (19)	12/01/2018	12/01/2027	Class A Common Stock	1,050 (19)
Director Stock Option (right to buy)	\$ 5.52 (20)	11/30/2018	A	5,000 (20)	02/28/2019(20)	11/30/2028	Class A Common Stock	5,000 (20)
Director Stock Option (right to buy)	\$ 5.52 (21)	11/30/2018	A	1,000 (21)	02/28/2019(21)	11/30/2028	Class A Common Stock	1,000 (21)

## **Reporting Owners**

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
Hunter Robert Gail 2 RAVENWOOD LANE SANDY, UT 84092	X						
	X						

### **Signatures**

/s/ Robert G.
Hunter

\*\*Signature of Reporting Person

12/31/2018

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- This option was granted on December 5, 2008 as an option for 5,000 shares of Class A Common Stock under the 2006 Director Stock Option Plan at an exercise price of \$1.50 per share, but adjusted pursuant to the anti-dilution provisions of the 2006 Director Stock Option Plan to reflect 5% stock dividends paid on February 6, 2009, February 5, 2010, February 4, 2011, February 3, 2012, February 1, 2013, February 7, 2014, February 6, 2015, February 5, 2016, February 3, 2017, and February 2, 2018.
- (2) This option was granted on December 7, 2008 as an option for 1,000 shares of Class A Common Stock under the 2006 Director Stock Option Plan at an exercise price of \$1.41 per share, but adjusted pursuant to the anti-dilution provisions of the 2006 Director Stock Option Plan to reflect 5% stock dividends paid on February 6, 2009, February 5, 2010, February 4, 2011, February 3, 2012, February 1,

Reporting Owners 4

- 2013, February 7, 2014, February 6, 2015, February 5, 2016, February 3, 2017, and February 2, 2018.
- This option was granted on December 4, 2009 as an option for 5,000 shares of Class A Common Stock under the 2006 Director Stock

  Option Plan at an exercise price of \$3.52 per share, but adjusted pursuant to the anti-dilution provisions of the 2006 Director Stock

  Option Plan to reflect 5% stock dividends paid on February 5, 2010, February 4, 2011, February 3, 2012, February 1, 2013, February 7, 2014, February 6, 2015, February 5, 2016, February 3, 2017, and February 2, 2018.
- This option was granted on December 7, 2009 as an option for 1,000 shares of Class A Common Stock under the 2006 Director Stock
  Option Plan at an exercise price of \$3.52 per share, but adjusted pursuant to the anti-dilution provisions of the 2006 Director Stock
  Option Plan to reflect 5% stock dividends paid on February 5, 2010, February 4, 2011, February 3, 2012, February 1, 2013, February 7,
  2014, February 6, 2015, February 5, 2016, February 3, 2017, and February 2, 2018.
- This option was granted on December 3, 2010 as an option for 5,000 shares of Class A Common Stock under the 2006 Director Stock

  Option Plan at an exercise price of \$1.83 per share, but adjusted pursuant to the anti-dilution provisions of the 2006 Director Stock

  Option Plan to reflect 5% stock dividends paid on February 4, 2011, February 3, 2012, February 1, 2013, February 7, 2014, February 6, 2015, February 5, 2016, February 3, 2017, and February 2, 2018.
- This option was granted on December 7, 2010 as an option for 1,000 shares of Class A Common Stock under the 2006 Director Stock

  Option Plan at an exercise price of \$1.83 per share, but adjusted pursuant to the anti-dilution provisions of the 2006 Director Stock

  Option Plan to reflect 5% stock dividends paid on February 4, 2011, February 3, 2012, February 1, 2013, February 7, 2014, February 6, 2015, February 5, 2016, February 3, 2017, and February 2, 2018.
- This option was granted on December 2, 2011 as an option for 5,000 shares of Class A Common Stock under the 2006 Director Stock
  Option Plan at an exercise price of \$1.30 per share, but adjusted pursuant to the anti-dilution provisions of the 2006 Director Stock
  Option Plan to reflect 5% stock dividends paid on February 3, 2012, February 1, 2013, February 7, 2014, February 6, 2015, February 5, 2016, February 3, 2017, and February 2, 2018.
- This option was granted on December 7, 2011 as an option for 1,000 shares of Class A Common Stock under the 2006 Director Stock
  Option Plan at an exercise price of \$1.24 per share, but adjusted pursuant to the anti-dilution provisions of the 2006 Director Stock
  Option Plan to reflect 5% stock dividends paid on February 3, 2012, February 1, 2013, February 7, 2014, February 6, 2015, February 5, 2016, February 3, 2017, and February 2, 2018.
- This option was granted on April 13, 2012 as an option for 5,000 shares of Class A Common Stock under the 2006 Director Stock

  Option Plan at an exercise price of \$1.56 per share, but adjusted pursuant to the anti-dilution provisions of the 2006 Director Stock

  Option Plan to reflect 5% stock dividends paid on February 1, 2013, February 7, 2014, February 6, 2015, February 5, 2016, February 3, 2017, and February 2, 2018.
- This option was granted on December 7, 2012 as an option for 1,000 shares of Class A Common Stock under the 2006 Director Stock

  Option Plan at an exercise price of \$7.42 per share, but adjusted pursuant to the anti-dilution provisions of the 2006 Director Stock

  Option Plan to reflect 5% stock dividends paid on February 1, 2013, February 7, 2014, February 6, 2015, February 5, 2016, February 3, 2017, and February 2, 2018.
- This option was granted on December 6, 2013 as an option for 4,842 shares of Class A Common Stock under the 2006 Director Stock
  Option Plan at an exercise price of \$4.85 per share, but adjusted pursuant to the anti-dilution provisions of the 2006 Director Stock
  Option Plan to reflect 5% stock dividends paid on February 7, 2014, February 6, 2015, February 5, 2016, February 3, 2017, and
  February 2, 2018.
- This option was granted on December 7, 2013 as an option for 1,000 shares of Class A Common Stock under the 2006 Director Stock Option Plan at an exercise price of \$4.85 per share, but adjusted pursuant to the anti-dilution provisions of the 2006 Director Stock Option Plan to reflect 5% stock dividends paid on February 7, 2014, February 6, 2015, February 5, 2016, February 3, 2017, and February 2, 2018.
- This option was granted on December 5, 2014 as an option for 5,000 shares of Class A Common Stock under the 2014 Director Stock Option Plan at an exercise price of \$5.02 per share, but adjusted pursuant to the anti-dilution provisions of the 2014 Director Stock Option Plan to reflect 5% stock dividends paid on February 6, 2015, February 5, 2016, February 3, 2017, and February 2, 2018.
- This option was granted on December 7, 2014 as an option for 1,000 shares of Class A Common Stock under the 2014 Director Stock (14) Option Plan at an exercise price of \$5.02 per share, but adjusted pursuant to the anti-dilution provisions of the 2014 Director Stock Option Plan to reflect 5% stock dividends paid on February 6, 2015, February 5, 2016, February 3, 2017, and February 2, 2018.
- This option was granted on December 4, 2015 as an option for 5,000 shares of Class A Common Stock under the 2014 Director Stock Option Plan at an exercise price of \$6.72 per share, but adjusted pursuant to the anti-dilution provisions of the 2014 Director Stock Option Plan to reflect 5% stock dividends paid on February 5, 2016, February 3, 2017, and February 2, 2018.
- This option was granted on December 7, 2015 as an option for 1,000 shares of Class A Common Stock under the 2014 Director Stock Option Plan at an exercise price of \$6.72 per share, but adjusted pursuant to the anti-dilution provisions of the 2014 Director Stock Option Plan to reflect 5% stock dividends paid on February 5, 2016, February 3, 2017, and February 2, 2018.

- This option was granted on December 2, 2016 as an option for 5,000 shares of Class A Common Stock under the 2014 Director Stock Option Plan at an exercise price of \$7.03 per share, but adjusted pursuant to the anti-dilution provisions of the 2014 Director Stock Option Plan to reflect 5% stock dividends paid on February 3, 2017 and February 2, 2018.
- This option was granted on December 7, 2016 as an option for 1,000 shares of Class A Common Stock under the 2014 Director Stock Option Plan at an exercise price of \$7.36 per share, but adjusted pursuant to the anti-dilution provisions of the 2014 Director Stock Option Plan to reflect 5% stock dividends paid on February 3, 2017 and February 2, 2018.
- This option was granted on December 1, 2017 as an option for 1,000 shares of Class A Common Stock under the 2014 Director Stock (19) Option Plan at an exercise price of \$5.05 per share but adjusted pursuant to the anti-dilution provisions of the 2014 Director Stock Option Plan to reflect a 5% stock paid on February 2, 2018.
- This option was granted on November 30, 2018 as an option for 5,000 shares of Class A Common Stock under the 2014 Director Stock (20) Option Plan at an exercise price of \$5.52 per share. The option vests in four equal quarterly installments of Class A Common Stock, beginning on February 28, 2019, until such shares are fully vested.
- This option was granted on November 30, 2018 as an option for 1,000 shares of Class A Common Stock under the 2014 Director Stock (21) Option Plan at an exercise price of \$5.52 per share. The option vests in four equal quarterly installments of Class A Common Stock, beginning on February 28, 2019, until such shares are fully vested.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.