Artisan Partners Asset Management Inc.

Form 4

March 12, 2014

## FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

OMB 3235-0287

Washington, D.C. 20549

Number: January 31, Expires:

2005

**OMB APPROVAL** 

if no longer subject to Section 16. Form 4 or Form 5

Check this box

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Estimated average burden hours per response... 0.5

obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* Colson Eric R

2. Issuer Name and Ticker or Trading

5. Relationship of Reporting Person(s) to

Symbol

Artisan Partners Asset Management

(Check all applicable)

Inc. [APAM]

(Last)

3. Date of Earliest Transaction

X Director

Issuer

X 10% Owner Other (specify

(Month/Day/Year)

03/12/2014

X\_ Officer (give title below)

President & CEO

C/O ARTISAN PARTNERS ASSET

(Street)

(First)

MANAGEMENT, 875 E

WISCONSIN AVE, SUITE 800

(Middle)

4. If Amendment, Date Original

6. Individual or Joint/Group Filing(Check

Applicable Line)

Filed(Month/Day/Year)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

MILWAUKEE, WI 53202

(City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1.Title of 2. Transaction Date 2A. Deemed Security (Month/Day/Year) (Instr. 3)

Execution Date, if (Month/Day/Year)

3. 4. Securities Acquired Transaction(A) or Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8)

(A)

(D)

5. Amount of Securities Beneficially Owned Following

6. Ownership 7. Nature of Form: Direct Indirect (D) or Beneficial Indirect (I) Ownership (Instr. 4) (Instr. 4)

Code V Amount Transaction(s) (Instr. 3 and 4)

Reported

Class B

Common Stock, par 03/12/2014

138,636  $D^{(2)}$ (2)(3)

D \$0 785,609

Price

D

value \$0.01 per share (1)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

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#### number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8 I S
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Class B Common Units of Artisan Partners Holdings LP	<u>(2)</u>	03/12/2014		D(2)		138,636 (2) (3)	(3)	(3)	Class A Common Stock, par value \$0.01 per share	138,636	<b>V</b>

## **Reporting Owners**

Reporting Owner Name / Address	Relationships					
	Director	10% Owner	Officer	Other		
Colson Eric R						
C/O ARTISAN PARTNERS ASSET MANAGEMENT	$\mathbf{v}$	X	President			
875 E WISCONSIN AVE, SUITE 800	A A 8		& CEO			
MILWAUKEE WI 53202						

#### **Signatures**

/s/ Lisa A. Moran, attorney-in-fact for Mr.
Colson
03/12/2014

\*\*Signature of Reporting Person Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- In connection with the Company's initial public offering and related reorganization transactions, on March 12, 2013, each holder of a Class B common unit ("Class B Common Unit") of Artisan Partners Holdings LP received a number of shares of Class B common stock, par value \$0.01 per share ("Class B Common Stock"), of the Company equal to the number of Class B Common Units then held by such person. Shares of Class B Common Stock do not have economic rights.
- On March 12, 2014, pursuant to the Partnership Unit Purchase Agreement by and between the Company and Mr. Colson, the Company purchased 138,636 Class B Common Units from Mr. Colson for \$59.675 per unit and Mr. Colson delivered to the Company an equal number of shares of Class B Common Stock for cancellation.
- Pursuant to an Exchange Agreement among the Company and each holder of limited partnership units of Artisan Partners
  Holdings LP ("Exchange Agreement"), which became effective on March 12, 2013, following March 12, 2014, each holder of
  Class B Common Units has the right, pursuant to and subject to the limitations and restrictions set forth in the Exchange

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Agreement, to exchange his, her or its Class B Common Units for an equal number of shares of Class A common stock, par value \$0.01 per share ("Class A Common Stock"), of the Company. Upon any such exchange for Class A Common Stock, the corresponding shares of Class B Common Stock then held by such holder will be cancelled. The Class B Common Units have no expiration date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.