### Edgar Filing: AMERISOURCEBERGEN CORP - Form 3

#### AMERISOURCEBERGEN CORP

Form 3 May 23, 2016

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB APPROVAL** 

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF

**SECURITIES** 

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person 2. Date of Event 3. Issuer Name and Ticker or Trading Symbol Requiring Statement AMERISOURCEBERGEN CORP [ABC] Park Sun (Month/Day/Year) 05/20/2016 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) 1300 MORRIS DRIVE (Check all applicable) (Street) 6. Individual or Joint/Group Filing(Check Applicable Line) 10% Owner Director \_X\_ Form filed by One Reporting \_X\_\_ Officer Other Person CHESTERBROOK, PAÂ 19087 (give title below) (specify below) Form filed by More than One **Executive Vice President** Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned 2. Amount of Securities 4. Nature of Indirect Beneficial 1. Title of Security Beneficially Owned Ownership (Instr. 4) Ownership (Instr. 4) Form: (Instr. 5) Direct (D) or Indirect (I) (Instr. 5) D Â Common Stock 1,533 Reminder: Report on a separate line for each class of securities beneficially SEC 1473 (7-02) owned directly or indirectly. Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)		3. Title and Amount of Securities Underlying Derivative Security		4. Conversion or Exercise	5. Ownership Form of	6. Nature of Indirect Beneficial Ownership
			(Instr. 4)		Price of	Derivative	(Instr. 5)
	Date Exercisable	Expiration Date	Title	Amount or Number of	Derivative Security	Security: Direct (D) or Indirect	

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				Shares		(I) (Instr. 5)	
Restricted Stock Units	(1)	11/12/2017	Common Stock	845	\$ <u>(2)</u>	D	Â
Restricted Stock Units	(1)	11/11/2018	Common Stock	1,018	\$ (2)	D	Â
Non-qualified Stock Option (Right to Buy)	(3)	11/14/2019	Common Stock	5,055	\$ 40.21	D	Â
Non-qualified Stock Option (Right to Buy)	(4)	11/13/2020	Common Stock	17,380	\$ 68.49	D	Â
Non-qualified Stock Option (Right to Buy)	(5)	11/12/2021	Common Stock	10,526	\$ 88.71	D	Â
Non-qualified Stock Option (Right to Buy)	(6)	11/11/2022	Common Stock	11,448	\$ 98.24	D	Â

# **Reporting Owners**

Reporting Owner Name / Address	Relationships					
	Director	10% Owner	Officer	Other		
Park Sun 1300 MORRIS DRIVE	Â	Â	Executive Vice President	Â		
CHESTERBROOK. PA 19087						

## **Signatures**

John G. Chou for Sun
K. Park

\*\*Signature of Reporting Person

Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Annual grant of restricted stock units that vests 100% on the third anniversary of the date of grant
- (2) Annual grant of restricted stock received for no consideration and vests 100% on the third anniversary of the date of grant
- (3) Exercisable in four equal annual installments on 11/14/13, 11/14/14, 11/14/15 and 11/14/16
- (4) Exercisable in four equal annual installments on 11/13/14, 11/13/15, 11/13/16 and 11/13/17
- (5) Exercisable in four equal annual installments on 11/12/15, 11/12/16, 11/12/17 and 11/12/18
- (6) Exerciseable in four equal annual installments on 11/11/16, 11/11/17, 11/11/18 and 11/11/19

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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