TORO CO Form 3 October 18, 2016

#### FORM 3

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0104

Expires: January 31, 2005

0.5

Estimated average burden hours per response...

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

| 1. Name and Address of Reporting Person  A Rodier Richard W |                  |                               | 2. Date of Event<br>Requiring Statement<br>(Month/Day/Year) |  | 3. Issuer Name and Ticker or Trading Symbol TORO CO [TTC] |  |                                      |  |  |  |
|---|------------------|-------------------------------|---|--|---|--|--------------------------------------|--|--|--|
| (Last)  | (First)          | (Middle)                      | 10/12/2016  |  | 4. Relationship of Reporting Person(s) to Issuer          |  |                                      | 5. If Amendment, Date Origina Filed(Month/Day/Year)  |  |  |
| 8111 LYNDALE AVENUE<br>SOUTH                                |                  |                               |   |  | (Check all applicable)                                    |  |                                      |  |  |  |
| BLOOMIN   | (Street) GTON, I | MNÂ 55420                     |   |  |   |  | ow)                                  | 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person |  |  |
| (City)  | (State)          | (Zip)                         | Ta  | able I - N                             | on-Deriva   | tive Securit   | ies Be                               | neficially Owned   |  |  |
| 1.Title of Secu<br>(Instr. 4)                               | ırity            |                               | Be  | Amount of<br>eneficially C<br>astr. 4) |   | 3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5) | 4. Nat<br>Owne<br>(Instr.            | •  |  |  |
| Common St   | tock             |                               | 29  | 0,730                                  |   | D  | Â                                    |  |  |  |
| Common Stock  |                  |                               | 13,275.323  |  | I   |  | Toro Company Investment, ings & ESOP |  |  |  |
| Performance Share Units                                     |                  |                               | 566.256   |  | D   | Â  |                                      |  |  |  |
| Reminder: Repowned directly                                 |                  |                               | ch class of securition                                      | es beneficia                           | ally S  | SEC 1473 (7-02   | )                                    |  |  |  |
|   | Perse            | ons who resp<br>mation contai | ond to the colle<br>ined in this forn<br>ad unless the fo   | n are not                              | ys a  |  |                                      |  |  |  |

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

currently valid OMB control number.

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| 1. Title of Derivative Security (Instr. 4) | 2. Date Exercisable and Expiration Date (Month/Day/Year) |                    | 3. Title and Amount of<br>Securities Underlying<br>Derivative Security<br>(Instr. 4) |                                  | 4.<br>Conversion<br>or Exercise<br>Price of | 5.<br>Ownership<br>Form of<br>Derivative                    | 6. Nature of Indirect<br>Beneficial<br>Ownership<br>(Instr. 5) |
|--|--|--------------------|--|----------------------------------|---|---|--|
|  | Date<br>Exercisable                                      | Expiration<br>Date | Title  | Amount or<br>Number of<br>Shares | Derivative<br>Security                      | Security:<br>Direct (D)<br>or Indirect<br>(I)<br>(Instr. 5) |  |
| Non-Qualified Stock<br>Option              | (1)  | 12/03/2018         | Common<br>Stock  | 5,000                            | \$ 7.155                                    | D   | Â  |
| Non-Qualified Stock<br>Option              | (2)  | 12/01/2019         | Common<br>Stock  | 16,000                           | \$ 10.1825                                  | D   | Â  |
| Non-Qualified Stock<br>Option              | (3)  | 12/08/2020         | Common<br>Stock  | 8,400                            | \$ 15.88                                    | D   | Â  |
| Non-Qualified Stock<br>Option              | (4)  | 12/07/2021         | Common<br>Stock  | 8,400                            | \$ 14.1125                                  | D   | Â  |
| Non-Qualified Stock<br>Option              | (5)  | 12/11/2022         | Common<br>Stock  | 7,200                            | \$ 21.03                                    | D   | Â  |
| Non-Qualified Stock<br>Option              | (6)  | 12/06/2023         | Common<br>Stock  | 6,000                            | \$ 29.75                                    | D   | Â  |
| Non-Qualified Stock<br>Option              | (7)  | 12/05/2024         | Common<br>Stock  | 6,400                            | \$ 31.375                                   | D   | Â  |
| Non-Qualified Stock<br>Option              | (8)  | 12/04/2025         | Common<br>Stock  | 7,400                            | \$ 38.82                                    | D   | Â  |

### **Reporting Owners**

| Reporting Owner Name / Address   | Relationships |           |                                 |       |  |  |
|--|---------------|-----------|---------------------------------|-------|--|--|
|  | Director      | 10% Owner | Officer                         | Other |  |  |
| Rodier Richard W<br>8111 LYNDALE AVENUE SOUTH<br>BLOOMINGTON, MN 55420 | Â             | Â         | VP & GM,<br>Sitework<br>Systems | Â     |  |  |

### **Signatures**

/s/ Nancy A. McGrath, Attorney-In-Fact

\*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- The option vested in three equal annual installments commencing on the first anniversary of the date of grant, which was December 3, 2008
- (2) The option vested in three equal annual installments commencing on the first anniversary of the date of grant, which was December 1, 2009.

Reporting Owners 2

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- (3) The option vested in three equal annual installments commencing on the first anniversary of the date of grant, which was December 8, 2010.
- (4) The option vested in three equal annual installments commencing on the first anniversary of the date of grant, which was December 7, 2011
- (5) The option vested in three equal annual installments commencing on the first anniversary of the date of grant, which was December 11, 2012.
- (6) The option vests in three equal annual installments commencing on the first anniversary of the date of grant, which was December 6, 2013
- (7) The option vests in three equal annual installments commencing on the first anniversary of the date of grant, which was December 5, 2014
- (8) The option vests in three equal annual installments commencing on the first anniversary of the date of grant, which was December 4, 2015.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.