## Edgar Filing: Salehpour Ali - Form 4

Salehpour Al Form 4	li											
October 03, 2	2018											
FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION									OMB	- 3235-0287		
Check thi if no long subject to Section 1 Form 4 or Form 5	F CHAN	Washington, D.C. 20549 CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES ection 16(a) of the Securities Exchange Act of 1934,					Number: January 31 Expires: 2005 Estimated average burden hours per response 0.5					
obligation may cont <i>See</i> Instru 1(b).	ns Section 1 inue.	7(a) of the 1		ility Hold	ling Com	pany	Act of	1935 or Section	1			
(Print or Type F	Responses)											
			2. Issuer Name <b>and</b> Ticker or Trading Symbol APPLIED MATERIALS INC /DE				-	5. Relationship of Reporting Person(s) to Issuer (Check all applicable)				
INC., P.O. E	(First) ED MATERIA 30X 58039, 30 AV, M/S 1268		[AMAT] 3. Date of (Month/Da 10/01/20	Earliest Tr ay/Year)	ansaction			Director X Officer (give below) Sr. VP, S		Owner er (specify ex.		
(Street) 4. If Am				nendment, Date Original fonth/Day/Year)				6. Individual or Joint/Group Filing(Check Applicable Line) _X_Form filed by One Reporting Person				
SANTA CL	ARA, CA 950	54						Form filed by M Person	lore than One Re	porting		
(City)	(State)	(Zip)	Table	e I - Non-D	erivative S	Securi	ties Acqu	uired, Disposed of	, or Beneficial	ly Owned		
1.Title of Security (Instr. 3)	2. Transaction D (Month/Day/Yea	ar) Execution any	n Date, if	3. Transactic Code (Instr. 8) Code V	(Instr. 3, 4	sposed	of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
Common Stock	10/01/2018			F	10,977 (1)	D	\$ 38.34	474,745 <u>(2)</u>	D			

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
 (e.g., puts, calls, warrants, options, convertible securities)

## Edgar Filing: Salehpour Ali - Form 4

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		Amou Under Secur	rlying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secu Bene Own Follo Repo Trans (Instr
			Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

## **Reporting Owners**

<b>Reporting Owner Name / Address</b>		Relationships						
	Director	10% Owner	Officer	Other				
Salehpour Ali C/O APPLIED MATERIALS, INC. P.O. BOX 58039, 3050 BOWERS AV, M/S 126 SANTA CLARA, CA 95054	8		Sr. VP, Srv., Dspl. & Flex.					
Signatures								
/s/ To-Anh Nguyen, Attorney-in-Fact	6/2018							

\*\*Signature of Reporting Person

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Date

(1) Represents number of shares that were automatically withheld upon vesting of performance shares to cover tax withholding obligations in a transaction exempt under Rule 16b-3.

Increased number of shares reflects periodic payroll acquisitions under Employees' Stock Purchase Plan that are exempt under Rule 16a-10 and Rule 16b-3. Number of shares includes 320,296 performance shares and restricted stock units previously reported that in the future will be converted on a one-for-one basis into shares of Applied Materials common stock upon vesting, which vesting is scheduled to occur as follows: (a) 137,830 performance shares are scheduled to vest in installments in December of 2018 and 2019, (b) 66,446 performance shares are scheduled to vest in December 2019, which number of shares is the target amount, and the actual number of

(2) shares that may vest ranges from 0% to 200% of the target amount, depending on achievement of specified performance goals, (c) 44,298 restricted stock units are scheduled to vest in installments in December of 2018 and 2019, (d) 35,861 restricted stock units are scheduled to vest in installments in December of 2018 through 2020, depending on achievement of a specified performance goal, and (e) 35,861 performance shares are scheduled to vest in December 2020, which number of shares is the target amount, and the actual number of shares that may vest ranges from 0% to 200% of the target amount, depending on achievement of specified performance goals (all vesting is subject to continued employment through each applicable vest date).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.