#### MCGINNIS KAREN K

Form 4

November 16, 2018

## FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB** 

3235-0287 Number:

**OMB APPROVAL** 

January 31, Expires: 2005

Estimated average burden hours per

response... 0.5

if no longer subject to Section 16. Form 4 or Form 5

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction

1(b).

(Print or Type Responses)

| 1. Name and Address of Reporting Person * MCGINNIS KAREN K |         |          | 2. Issuer Name <b>and</b> Ticker or Trading Symbol ILLUMINA INC [ILMN] | 5. Relationship of Reporting Person(s) to Issuer  (Check all applicable) |  |  |  |
|--|---------|----------|--|--|--|--|--|
| (Last)   | (First) | (Middle) | 3. Date of Earliest Transaction  | (Check an applicable)  |  |  |  |
|  |         |          | (Month/Day/Year)   | Director 10% Owner   |  |  |  |
| 5200 ILLUMINA WAY  |         |          | 11/14/2018   | X Officer (give title Other (specify below)                              |  |  |  |
|  |         |          |  | VP, Chief Accounting Officer   |  |  |  |
| (Street)   |         |          | 4. If Amendment, Date Original   | 6. Individual or Joint/Group Filing(Check                                |  |  |  |
|  |         |          | Filed(Month/Day/Year) Applicable Line)                                 |  |  |  |  |
|  |         |          |  | _X_ Form filed by One Reporting Person                                   |  |  |  |
| SAN DIEGO, CA 92122  |         |          |  | Form filed by More than One Reporting Person                             |  |  |  |

| (City)                               | (State)                              | (Zip) Tabl  | e I - Non-D                            | erivative                      | Secur | rities Acqu  | ired, Disposed of  | , or Beneficiall  | y Owned   |
|--------------------------------------|--------------------------------------|---|--|--------------------------------|-------|--------------|--|---|---|
| 1.Title of<br>Security<br>(Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 3.<br>Transactio<br>Code<br>(Instr. 8) | 4. Securin(A) or Di (Instr. 3, | spose | d of (D)     | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) | 6.<br>Ownership<br>Form: Direct<br>(D) or<br>Indirect (I)<br>(Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
| Common<br>Stock                      | 11/14/2018                           |   | F                                      | 116                            | D     | \$<br>308.23 | 1,219  | D   |   |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

### Edgar Filing: MCGINNIS KAREN K - Form 4

| 1. Title of                          | 2.  | 3. Transaction Date |   | 4.                               | 5.  | 6. Date Exerc       |                    | 7. Title a                                   |           | 8. Price of                          | 9. Nu   |
|--------------------------------------|---|---------------------|---|----------------------------------|---|---------------------|--------------------|--|-----------|--------------------------------------|---|
| Derivative<br>Security<br>(Instr. 3) | Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | (Month/Day/Year)    | Execution Date, if<br>any<br>(Month/Day/Year) | Transactic<br>Code<br>(Instr. 8) | onNumber<br>of<br>Derivative<br>Securities<br>Acquired<br>(A) or<br>Disposed<br>of (D)<br>(Instr. 3,<br>4, and 5) |                     |                    | Amount<br>Underlyi<br>Securitie<br>(Instr. 3 | ing<br>es | Derivative<br>Security<br>(Instr. 5) | Deriv<br>Secur<br>Bene<br>Own<br>Follo<br>Repo<br>Trans<br>(Instr |
|                                      |   |                     |   | Code V                           | (A) (D)   | Date<br>Exercisable | Expiration<br>Date | or<br>Title N<br>of                          | umber     |                                      |   |

# **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

MCGINNIS KAREN K 5200 ILLUMINA WAY SAN DIEGO, CA 92122

VP, Chief Accounting Officer

# **Signatures**

By: Robert Maynes for Karen K.
McGinnis

11/16/2018

\*\*Signature of Reporting Person

Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2