## Edgar Filing: STEPHENS JOHN JOSEPH - Form 4

| Form 4<br>May 02, 20<br><b>FORM</b><br>Check to<br>if no lo<br>subject<br>Section<br>Form 4<br>Form 5<br>obligati<br>may co | <b>M 4</b> UNITED<br>this box<br>nger<br>to<br>16.<br>or<br>Filed pu | <ul> <li>UNITED STATES SECURITIES AND EXCHANGE COMMISSION<br/>Washington, D.C. 20549</li> <li>STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF<br/>SECURITIES</li> <li>Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,<br/>Section 17(a) of the Public Utility Holding Company Act of 1935 or Section</li> </ul> |                                 |  |   |          |                  |  |  |   |  |  |
|---|--|---|---------------------------------|--|---|----------|------------------|--|--|---|--|--|
| (Print or Type  | e Responses)   |   |                                 |  |   |          |                  |  |  |   |  |  |
| STEPHENS JOHN JOSEPH Symbo  |  |   |                                 |  | nd Ticker or  | Frading  |                  | 5. Relationship of Reporting Person(s) to<br>Issuer  |  |   |  |  |
| (Last)  | (First)  | (Middle)  | 3. Date of Earliest Transaction |  |   |          |                  | (Check all applicable) Director 10% Owner  |  |   |  |  |
|   |  |   |                                 |  |   |          |                  | Diffection 10% Owner<br>X Officer (give title Other (specify<br>below) below)<br>Sr. Exec. VP and CFO  |  |   |  |  |
|   |  |   |                                 | iled(Month/Day/Year)                             |   |          |                  | <ol> <li>Individual or Joint/Group Filing(Check</li> <li>Applicable Line)</li> <li>X_ Form filed by One Reporting Person</li> <li>Form filed by More than One Reporting</li> <li>Person</li> </ol> |  |   |  |  |
| (City)  | (State)  | (Zip)   | Та                              | ble I - Nor                                      | n-Derivative S  | Securiti | es Acqu          | ired, Disposed of,   | or Beneficially  | y Owned   |  |  |
| 1.Title of<br>Security<br>(Instr. 3)  | 2. Transaction Date<br>(Month/Day/Year)                              | 2A. Deema<br>Execution<br>any<br>(Month/Da  | Date, if                        | 3.<br>Transactic<br>Code<br>(Instr. 8)<br>Code V | 4. Securities<br>owr Disposed<br>(Instr. 3, 4 a<br>Amount | of (D)   | red (A)<br>Price | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4)   | 6.<br>Ownership<br>Form:<br>Direct (D)<br>or Indirect<br>(I)<br>(Instr. 4) | 7. Nature<br>of Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |  |  |
| Common<br>Stock   | 04/30/2019   |   |                                 | A <u>(1)</u>                                     | 3,514.741   |          | \$<br>30.96      | 148,056.6819   | Ι  | By<br>Benefit<br>Plan   |  |  |
| Common<br>Stock   |  |   |                                 |  |   |          |                  | 6,143.5208   | Ι  | By<br>401(k)  |  |  |
| Common<br>Stock   |  |   |                                 |  |   |          |                  | 434,184  | D  |   |  |  |
| Common<br>Stock   |  |   |                                 |  |   |          |                  | 163,302  | Ι  | By LP   |  |  |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 4.<br>Transactic<br>Code<br>(Instr. 8) | 5.<br>ofNumber<br>of<br>Derivative<br>Securities<br>Acquired<br>(A) or<br>Disposed<br>of (D)<br>(Instr. 3,<br>4, and 5) | ;                   | ate                | 7. Titl<br>Amou<br>Under<br>Securi<br>(Instr. | int of<br>rlying                       | 8. Price of<br>Derivative<br>Security<br>(Instr. 5) | 9. Nu<br>Deriv<br>Secur<br>Bene<br>Owne<br>Follo<br>Repo<br>Trans<br>(Instr |
|---|---|---|--|---|---------------------|--------------------|---|--|---|---|
|   |   |   | Code V                                 | (A) (D)   | Date<br>Exercisable | Expiration<br>Date | Title   | Amount<br>or<br>Number<br>of<br>Shares |   |   |

## **Reporting Owners**

| Reporting Owner Name / Address                                  | Relationships |            |                      |       |  |  |  |
|---|---------------|------------|----------------------|-------|--|--|--|
|   | Director      | 10% Owner  | Officer              | Other |  |  |  |
| STEPHENS JOHN JOSEPH<br>208 S. AKARD STREET<br>DALLAS, TX 75202 |               |            | Sr. Exec. VP and CFO |       |  |  |  |
| Signatures  |               |            |                      |       |  |  |  |
| /s/ Starlene Meyerkord,<br>Attorney-in-fact                     | 05/02/2       | 05/02/2019 |                      |       |  |  |  |
| **Signature of Reporting Person                                 |               | Date       |                      |       |  |  |  |

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents deferred stock units purchased by the reporting person with automatic payroll deductions and partial company matching contributions. Deferred stock units are settled only in stock on a 1-for-1 basis.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.