#### Edgar Filing: BOWDEN LLOYD M - Form 3

#### **BOWDEN LLOYD M**

Form 3

October 06, 2010

### FORM 3

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

OMB Number:

3235-0104

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Expires: January 31, 2005

Estimated average burden hours per

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

response... 0.5

(Print or Type Responses)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person \* Statement WINTRUST FINANCIAL CORP [WTFC] BOWDEN LLOYD M (Month/Day/Year) 09/30/2010 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) 727 NORTH BANK LANE (Check all applicable) (Street) 6. Individual or Joint/Group Filing(Check Applicable Line) 10% Owner Director \_X\_ Form filed by One Reporting \_X\_\_ Officer Other Person (give title below) (specify below) LAKE FOREST, Â ILÂ 60045 Form filed by More than One **Executive Vice President** Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned 2. Amount of Securities 4. Nature of Indirect Beneficial 1. Title of Security Beneficially Owned Ownership (Instr. 4) Ownership (Instr. 4) Form: (Instr. 5) Direct (D) or Indirect (I) (Instr. 5) D Â Common Stock 33,640 Reminder: Report on a separate line for each class of securities beneficially SEC 1473 (7-02) owned directly or indirectly. Persons who respond to the collection of

information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 $Table\ II\ -\ Derivative\ Securities\ Beneficially\ Owned\ (\textit{e.g.},\ puts,\ calls,\ warrants,\ options,\ convertible\ securities)$ 

1. Title of Derivative Security	2. Date Exercisab	le and	3. Title and A	Amount of	4.	5.	6. Nature of
(Instr. 4)	Expiration Date	, e		Securities Underlying		Ownership	Indirect Beneficial
	(Month/Day/Year)			ecurity	or Exercise	Form of	Ownership
			(Instr. 4)		Price of	Derivative	(Instr. 5)
	Date Exercisable	Expiration Date	Title	Amount on	Derivative	Security:	
				Amount or Number of	Security Direct (D)		
						or Indirect	

#### Edgar Filing: BOWDEN LLOYD M - Form 3

				Shares		(I) (Instr. 5)	
Non-Qualified Stock Option (right to buy)	01/22/2007	01/22/2012	Common Stock	1,999	\$ 18.8133	D	Â
Non-Qualified Stock Option (right to buy)	01/24/2013(1)	01/24/2015	Common Stock	3,500	\$ 33.06	D	Â
Non-Qualified Stock Option (right to buy)	12/22/2008	12/22/2013	Common Stock	1,000	\$ 45.46	D	Â
Non-Qualified Stock Option (right to buy)	01/26/2011(1)	01/26/2016	Common Stock	35,000	\$ 52.51	D	Â

## **Reporting Owners**

Reporting Owner Name / Address	Relationships					
<b>F</b>	Director 10% Owner		Officer	Other		
BOWDEN LLOYD M 727 NORTH BANK LANE LAKE FOREST, IL 60045	Â	Â	Executive Vice President	Â		

Date

# **Signatures**

/s/David A. Dykstra, Attorney-in-Fact

\*\*Signature of Reporting Person

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The options become exercisable at a rate of 20% per year following the date of grant.

Â

#### **Remarks:**

Exhibit 24 - Power of Attorney

Reporting Person was identified by the Company as a Section 16 reporting person as of September.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2