ASTEC INDUSTRIES INC

Form 4 April 10, 2015

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Form 5
obligations
may continue.
See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

Common

Stock

(Print or Type Responses)

1. Name and Address of Reporting Person * PATEK RICHARD A			2. Issuer Name and Ticker or Trading Symbol ASTEC INDUSTRIES INC [ASTE]					5. Relationship of Reporting Person(s) to Issuer				
(Last) (First) (Middle)			3. Date of Earliest Transaction					(Check all applicable)				
(Last) (First) (Middle)			(Month/Day/Year)					Director	10%	6 Owner		
1725 SHEPHERD RD			04/09/2015					Officer (give titleOther (specify below) Group Pres Aggregate & Mining				
(Street)			4. If Amendment, Date Original						6. Individual or Joint/Group Filing(Check			
			Filed(Month/Day/Year)				Applicable Line) _X_ Form filed by One Reporting Person					
CHATTANOOGA, TN 37421								Form filed by More than One Reporting Person				
(City)	(City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned								lly Owned			
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	Execution	med on Date, if Day/Year)	3. Transact: Code (Instr. 8)	ion/ I	4. Securit Acquired Disposed (Instr. 3, 4	(A) of (D) 4 and (A) or)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Common Stock				Code	, -	inount	(D)	11100	919.5107 (3)	I	by 401(k) Plan	
Common Stock									1,763 (2)	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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7,469

D

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number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		Expiration Date (Month/Day/Year) or		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8 D S (I
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Phantom Stock	<u>(1)</u>	04/09/2015		A	62.7004		<u>(1)</u>	<u>(1)</u>	Common Stock	62.7004	\$

Mining

Reporting Owners

Reporting Owner Name / Address	Relationships							
	Director	10% Owner	Officer	Other				
ATEK RICHARD A			Group Pres					
725 SHEPHERD RD			Aggregate &					

 \mathbf{P} 1725 SHEPHERD RD CHATTANOOGA, TN 37421

Signatures

Robert Taylor, attorney in fact for Richard A. 04/10/2015 Patek

> **Signature of Reporting Person Date

Explanation of Responses:

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Transaction represents the Company's quarterly contribution to the reporting person's SERP account that was used to purchase Astec (1) stock. Phantom shares are held in a SERP and are payable in cash following the reporting person's termination of employment from
- (2) Holdings are restricted stock units (RSUs) that convert to common stock on a one-for-one basis at a later date.
- (3) Shares held in the reporting person's 401k account are based upon a Plan statement dated April 10, 2015.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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