## Edgar Filing: PENTAIR plc - Form 4

PENTAIR p	lc										
Form 4											
August 15, 2	2016										
FORM 4 UNITED STATES SECURITIES AND EXCHANCE COMMISSION								OMB APPROVAL			
UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549							OMB Number:	3235-0287			
Check th					210120	•••				January 31,	
if no lon		AENT OI	F CHAN	<b>GES IN</b>	BENEFI	CIA	LOWN	<b>VERSHIP OF</b>	Expires:	2005	
	subject to Section 16. SECURITIES						Estimated average burden hours per				
	Form 4 or							response	0.5		
Form 5	Filed put	suant to S	Section 1	6(a) of the	e Securiti	ies Ez	kchange	e Act of 1934,	·		
obligatio may con		a) of the l	Public U	tility Hold	ling Com	ipany	Act of	1935 or Section	l		
See Instr		30(h)	of the Ir	vestment	Compan	y Act	of 194	0			
1(b).											
(Print or Type)	Kesponses)										
1. Name and A	Address of Reporting	Person *	2 Icena	r Nome end	Ticker or '	Fradin	a	5. Relationship of	Reporting Pers	on(s) to	
MONAHAN WILLIAM T Symbol				Name and Ticker or Trading				Issuer			
			•	IR plc [P]	NR1						
(Least)	(Einst)	Middle)		• -	-			(Check	all applicable	)	
			f Earliest Transaction				X Director 10% Owner				
(Month/E 5500 WAYZATA BLVD., SUITE 08/11/2				-				Officer (give titleOther (specify			
600			00/11/2	010				below)	below)		
	(Street)		4 If Ame	endment Da	te Original			6 Individual or Io	nt/Group Filin	o(Check	
			endment, Date Original nth/Day/Year)				6. Individual or Joint/Group Filing(Check Applicable Line)				
				, <b>.</b>	·			_X_ Form filed by O			
GOLDEN V	VALLEY, MN 55	5416						Form filed by M Person	ore than One Re	porting	
(City)	(State)	(Zip)									
(City)	(State)	(Zip)	Tab	le I - Non-D	erivative S	Securi	ties Acqu	uired, Disposed of,	or Beneficial	ly Owned	
1.Title of	2. Transaction Date			3.	4. Securit		•	5. Amount of	6.	7. Nature of	
Security (Instr. 3)	(Month/Day/Year)	Executior any	n Date, if	Transaction(A) or Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8)				Beneficially F	Form: Direct Benefi	Indirect Beneficial	
(111501. 5)		(Month/D	Day/Year)							Ownership	
			•					Following	Indirect (I)	(Instr. 4)	
						(A)		Reported Transaction(s)	(Instr. 4)		
						or		(Instr. 3 and 4)			
Common				Code V	Amount	(D)	Price	( ···· · · · · · · · · · · · · · · · ·			
Common Shares	08/11/2016			<b>M</b> (1)	10,000	А	\$ 32.73	49,834	D		
							52.13				
Common Shares	08/11/2016			<b>S</b> <u>(1)</u>	10,000	D	\$ 65	39,834	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

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#### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. Number of onDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amou Underlying Securi (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Am Nur Sha
Nonqualified Stock Option (right to buy)	\$ 32.73	08/11/2016		M <u>(1)</u>		10,000	(2)	02/26/2017	Common Shares	1
Phantom Stock Units (Deferred Compensation)	<u>(3)</u>						(4)	(4)	Common Shares	10,
Phantom Stock Units (Equity Compensation)	<u>(3)</u>						(4)	(4)	Common Shares	2,4

# **Reporting Owners**

Reporting Owner Name / Address	Relationships					
	Director	10% Owner	Officer	Other		
MONAHAN WILLIAM T 5500 WAYZATA BLVD., SUITE 600 GOLDEN VALLEY, MN 55416	Х					
Signatures						
/s/ John K. Wilson, Attorney-in-Fact for Monahan	08/15/2016					
<u>**</u> Signature of Reporting Person	Date					

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- The reported transaction was effected pursuant to a Rule 10b5-1 trading plan adopted by the reporting person for financial planning (1)purposes in connection with stock options that will expire on February 26, 2017.
- (2) The stock options are vested and exercisable.
- Phantom stock units convert into common shares on a one-for-one basis. (3)
- Settlement of phantom stock units will be in Pentair plc common shares in accordance with reporting person's irrevocable election. (4)
- End-of-period holdings include shares acquired under a dividend reinvestment plan in exempt transactions not required to be reported (5) pursuant to Section 16(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

#### **Reporting Owners**

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