#### Edgar Filing: Van Naarden Jacob - Form 4

| Van Naarde<br>Form 4  |   |               |  |  |      |                        |  |                   |   |  |   |  |
|---|---|---------------|--|--|------|------------------------|--|-------------------|---|--|---|--|
| February 14   |   |               |  |  |      |                        |  |                   |   |  | PROVAL  |  |
| FORM  | M 4 UNITED  | STATES        |  |  |      |                        |  |                   | OMMISSION   | OMB<br>OMB<br>Number:  | 3235-0287   |  |
| Check t<br>if no lou<br>subject<br>Section<br>Form 4<br>Form 5<br>obligati<br>may con<br><i>See</i> Inst<br>1(b). | Washington, D.C. 20549<br>F CHANGES IN BENEFICIAL OWNERSHIP OF<br>SECURITIES<br>Section 16(a) of the Securities Exchange Act of 1934,<br>Public Utility Holding Company Act of 1935 or Section<br>of the Investment Company Act of 1940 |               |  |  |      |                        | Expires:<br>Estimated a<br>burden hour<br>response | •                 |   |  |   |  |
| (Print or Type  | Responses)  |               |  |  |      |                        |  |                   |   |  |   |  |
| 1. Name and<br>Van Naard  | Address of Reporting<br>en Jacob  | Person *      | Symbol   |  |      | d Ticker o<br>Inc. [L0 |  |                   | 5. Relationship of I<br>Issuer  |  |   |  |
| (Last)  | (First) (   | Middle)       |  |  |      | ransactio              |  | -                 | (Check  | c all applicable)  |   |  |
| (   |   |               |  |  |      |                        |  |                   | Director 10% Owner<br>_X Officer (give title Other (specify<br>below)<br>Chief Business Officer |  |   |  |
|   |   |               |  | fonth/Day/Year)     Applicable Line)       _X_ Form filed by |      |                        |  |                   | Applicable Line)<br>_X_ Form filed by Oı  | oint/Group Filing(Check<br>One Reporting Person                            |   |  |
| STAMFO  | RD, CT 06901  |               |  |  |      |                        |  |                   | Form filed by Mo<br>Person  | ore than One Rep   | oorting   |  |
| (City)  | (State)   | (Zip)         | Tal  | ble I - N  | lon- | Derivativ              | e Secu   | ırities Acqu      | ired, Disposed of,  | or Beneficiall   | y Owned   |  |
| 1.Title of<br>Security<br>(Instr. 3)  | 2. Transaction Date<br>(Month/Day/Year)   | Execution any | Date, if Transactionor Disposed of (D)<br>Code (Instr. 3, 4 and 5)<br>ay/Year) (Instr. 8)<br>Code (A)<br>or<br>Code (Instr. 3, 4 and 5)<br>Code (Instr. 3, 4 and 5)<br>Code (Instr. 3, 4 and 5)<br>Covened<br>Following<br>Reported<br>Transaction(s<br>(Instr. 3 and 4) |  |      |                        |  |                   | Beneficially<br>Owned<br>Following  | 6.<br>Ownership<br>Form:<br>Direct (D)<br>or Indirect<br>(I)<br>(Instr. 4) | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |  |
| Common<br>Stock   | 02/12/2018  |               |  | M <u>(1)</u>   | v    | 1,541                  | (D)<br>A   | Price<br>\$ 3.648 | 1,541   | D  |   |  |
| Common<br>Stock   | 02/12/2018  |               |  | S <u>(1)</u>   |      | 500 <u>(2)</u>         | D  | \$ 92.21<br>(3)   | 1,041   | D  |   |  |
| Common<br>Stock   | 02/12/2018  |               |  | S <u>(1)</u>   |      | 741 (2)                | D  | \$ 93.825<br>(4)  | <sup>4</sup> 300  | D  |   |  |
| Common<br>Stock   | 02/12/2018  |               |  | S <u>(1)</u>   |      | 300 (2)                | D  | \$ 94.493<br>(5)  | <sup>3</sup> 0  | D  |   |  |
| Common<br>Stock   | 02/13/2018  |               |  | M <u>(1)</u>   |      | 1,541                  | А  | \$ 3.648          | 1,541   | D  |   |  |

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| Common<br>Stock | 02/13/2018 | S <u>(1)</u> | 300 <u>(2)</u> | D | \$ 94.33<br>(6)       | 1,241 | D |
|-----------------|------------|--------------|----------------|---|-----------------------|-------|---|
| Common<br>Stock | 02/13/2018 | S <u>(1)</u> | 100            | D | \$ 96.6               | 1,141 | D |
| Common<br>Stock | 02/13/2018 | S <u>(1)</u> | 100            | D | \$ 97.87              | 1,041 | D |
| Common<br>Stock | 02/13/2018 | S <u>(1)</u> | 1,041<br>(2)   | D | \$<br>101.0455<br>(7) | 0     | D |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transactic<br>Code<br>(Instr. 8) | 5. Number<br>on f Derivative<br>Securities<br>Acquired<br>(A) or<br>Disposed of<br>(D)<br>(Instr. 3, 4,<br>and 5) | 6. Date Exercisable and<br>Expiration Date<br>(Month/Day/Year) |                    | 7. Title and Amount of<br>Underlying Securities<br>(Instr. 3 and 4) |  |
|---|---|---|---|--|---|--|--------------------|---|--|
|   |   |   |   | Code V                                 | (A) (D)   | Date<br>Exercisable  | Expiration<br>Date | Title   | Amount<br>or<br>Number<br>of<br>Shares |
| Employee<br>Stock<br>Option<br>(right to<br>buy)    | \$ 3.648  | 02/12/2018                              |   | M <u>(1)</u>                           | 1,541   | (8)  | 06/18/2024         | Common<br>Stock   | 1,541                                  |
| Employee<br>Stock<br>Option<br>(right to<br>buy)    | \$ 3.648  | 02/13/2018                              |   | M <u>(1)</u>                           | 1,541   | <u>(8)</u>   | 06/18/2024         | Common<br>Stock   | 1,541                                  |

### **Reporting Owners**

| Reporting Owner Name / Address | Reporting Owner Name / Address |           |         |       |
|--------------------------------|--------------------------------|-----------|---------|-------|
|                                | Director                       | 10% Owner | Officer | Other |

Van Naarden Jacob C/O LOXO ONCOLOGY, INC. 281 TRESSER BOULEVARD, 9TH FLOOR STAMFORD, CT 06901

#### Chief Business Officer

### Signatures

/s/ Jennifer Burstein, by power of attorney

02/14/2018

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The transactions reported on this Form 4 were effected pursuant to a 10b5-1 trading plan adopted by the reporting person.
- (2) Represents the aggregate of sales effected on the same day at different prices.

Represents the weighted average sales price per share. The shares sold at prices ranging from \$91.79 to \$92.59 per share. Full information(3) regarding the number of shares sold at each price shall be provided upon request to the staff of the U.S. Securities and Exchange Commission, the Issuer, or a security holder of the Issuer.

Represents the weighted average sales price per share. The shares sold at prices ranging from \$93.30 to \$94.22 per share. Full information
(4) regarding the number of shares sold at each price shall be provided upon request to the staff of the U.S. Securities and Exchange Commission, the Issuer, or a security holder of the Issuer.

Represents the weighted average sales price per share. The shares sold at prices ranging from \$94.31 to \$94.63 per share. Full information
(5) regarding the number of shares sold at each price shall be provided upon request to the staff of the U.S. Securities and Exchange Commission, the Issuer, or a security holder of the Issuer.

Represents the weighted average sales price per share. The shares sold at prices ranging from \$93.91 to \$94.66 per share. Full information
(6) regarding the number of shares sold at each price shall be provided upon request to the staff of the U.S. Securities and Exchange Commission, the Issuer, or a security holder of the Issuer.

Represents the weighted average sales price per share. The shares sold at prices ranging from \$100.57 to \$101.44 per share. Full(7) information regarding the number of shares sold at each price shall be provided upon request to the staff of the U.S. Securities and Exchange Commission, the Issuer, or a security holder of the Issuer.

The stock option vested and became exercisable as to 25% of the shares subject to the option on May 12, 2015, and thereafter vests as to 1/48th of the shares in equal monthly installments, until such time as the option is 100% vested, subject to the continuing employment of

the Reporting Person on each vesting date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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