

Kunkel Lori Anne
Form 4
June 18, 2018

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

OMB
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(Print or Type Responses)

1. Name and Address of Reporting Person *
Kunkel Lori Anne

(Last) (First) (Middle)

C/O LOXO ONCOLOGY, INC., 281
TRESSER BLVD, 9TH FLOOR

(Street)

STAMFORD, CT 06901

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading
Symbol

Loxo Oncology, Inc. [LOXO]

3. Date of Earliest Transaction
(Month/Day/Year)

06/14/2018

4. If Amendment, Date Original
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

☒ Director ☐ 10% Owner
☐ Officer (give title below) ☐ Other (specify below)

6. Individual or Joint/Group Filing(Check
Applicable Line)

☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting
Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
|---------------------------------------|---|---|---|---|--|---|---|
| | | | Code | V | Amount (A) or (D) | Price | |
| Common Stock | 06/14/2018 | | M | | 27,343 | A \$ 3.648 | 34,183 D |
| Common Stock | 06/14/2018 | | M | | 3,096 | A \$ 20.91 | 37,279 D |
| Common Stock | 06/14/2018 | | M | | 1,953 | A \$ 24.18 | 39,232 D |
| Common Stock | 06/14/2018 | | S | | 28,440 (1) | D \$ 180.2751 (2) | 10,792 D |
| Common Stock | 06/14/2018 | | S | | 2,411 (1) | D \$ 181.4783 | 8,381 D |

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| | | | | | | | |
|--------------|------------|--------------|---------------------|---|-------------------------------------|-------|---|
| Common Stock | 06/14/2018 | S | <u>1,541</u> (1) | D | <u>\$</u> (3) 182.3467 (4) | 6,840 | D |
| Common Stock | 06/15/2018 | S <u>(5)</u> | <u>1,235</u> (1) | D | <u>\$</u> (6) 175.6434 | 5,605 | D |
| Common Stock | 06/15/2018 | S <u>(5)</u> | <u>5,601</u> (1) | D | <u>\$</u> (7) 177.1217 | 4 | D |
| Common Stock | 06/15/2018 | S <u>(5)</u> | 4 | D | \$ 177.79 | 0 | D |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | 8. Amount or Number of Shares |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|-------------------------------|
| Director Stock Option (right to buy) | \$ 3.648 | 06/14/2018 | | M | 27,343 | <u>(8)</u> 06/18/2024 | Common Stock | 27,343 |
| Director Stock Option (right to buy) | \$ 20.91 | 06/14/2018 | | M | 3,096 | <u>(9)</u> 07/27/2025 | Common Stock | 3,096 |
| Director Stock Option (right to buy) | \$ 24.18 | 06/14/2018 | | M | 1,953 | <u>(9)</u> 06/09/2026 | Common Stock | 1,953 |

X