Burstein Jennifer Form 4 June 21, 2018

# FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB** Number:

3235-0287

Expires:

January 31, 2005

0.5

Estimated average burden hours per

**OMB APPROVAL** 

response...

if no longer subject to Section 16. Form 4 or Form 5 obligations may continue.

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

See Instruction 1(b).

(Last)

(Print or Type Responses)

1. Name and Address of Reporting Person \* Burstein Jennifer

2. Issuer Name and Ticker or Trading

Issuer

5. Relationship of Reporting Person(s) to

(Check all applicable)

Symbol

Loxo Oncology, Inc. [LOXO]

3. Date of Earliest Transaction

4. If Amendment, Date Original

(Month/Day/Year)

06/19/2018

Director 10% Owner \_X\_\_ Officer (give title Other (specify

below) Senior VP of Finance

C/O LOXO ONCOLOGY, INC., 281 TRESSER BOULEVARD, 9TH **FLOOR** 

(Street)

(First)

(Middle)

6. Individual or Joint/Group Filing(Check

Applicable Line)

Filed(Month/Day/Year)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

STAMFORD, CT 06901

| (City)                               | (State)                                 | (Zip) Tal   | ble I - Non-                 | Derivative Sec  | urities Acquir        | ed, Disposed of,   | or Beneficiall   | y Owned   |
|--------------------------------------|---|---|------------------------------|---|-----------------------|--|--|---|
| 1.Title of<br>Security<br>(Instr. 3) | 2. Transaction Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | Code (Instr. 8)              | 4. Securities A onor Disposed of (Instr. 3, 4 and (A) | f (D)<br>15)          | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
| Common<br>Stock                      | 06/19/2018                              |   | Code V $M_{\underline{(1)}}$ | Amount (D) 1,700 A                                    | Price \$ 11.35        | 1,700  | D  |   |
| Common<br>Stock                      | 06/19/2018                              |   | S <u>(1)</u>                 | 800 (2) D   | \$<br>176.2898<br>(3) | 900  | D  |   |
| Common<br>Stock                      | 06/19/2018                              |   | S <u>(1)</u>                 | 500 (2) D   | \$ 177.15<br>(4)      | 400  | D  |   |
| Common<br>Stock                      | 06/19/2018                              |   | S <u>(1)</u>                 | 400 (2) D   | \$<br>178.2025<br>(5) | 0  | D  |   |

#### Edgar Filing: Burstein Jennifer - Form 4

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transaction<br>Code<br>(Instr. 8) | saction Derivative Expiration Securities (Month/D |                     | Date Underly       |                 | and Amount of 8 ing Securities 1 and 4) |  |
|---|---|---|---|---|---|---------------------|--------------------|-----------------|---|--|
|   |   |   |   | Code V                                  | ŕ   | Date<br>Exercisable | Expiration<br>Date | Title           | Amount<br>or<br>Number<br>of<br>Shares  |  |
| Employee<br>Stock<br>Option<br>(right to<br>buy)    | \$ 11.35  | 06/19/2018                              |   | M <u>(1)</u>                            | 1,700   | <u>(6)</u>          | 04/30/2025         | Common<br>Stock | 1,700                                   |  |

## **Reporting Owners**

| Reporting Owner Name / Address | Relationships |        |      |     |  |  |
|--------------------------------|---------------|--------|------|-----|--|--|
|                                | D: .          | 1007.0 | O.C. | 0.1 |  |  |

Director 10% Owner Officer Other

Burstein Jennifer C/O LOXO ONCOLOGY, INC. 281 TRESSER BOULEVARD, 9TH FLOOR STAMFORD, CT 06901

Senior VP of Finance

## **Signatures**

/s/ Jennifer
Burstein
06/21/2018

\*\*Signature of Date
Reporting Person

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The transactions reported on this Form 4 were effected pursuant to a 10b5-1 trading plan adopted by the reporting person.
- (2) Represents the aggregate of sales effected on the same day at different prices.

Reporting Owners 2

#### Edgar Filing: Burstein Jennifer - Form 4

- Represents the weighted average sales price per share. The shares sold at prices ranging from \$175.93 to \$176.75 per share. Full information regarding the number of shares sold at each price shall be provided upon request to the staff of the U.S. Securities and Exchange Commission, the Issuer, or a security holder of the Issuer.
- Represents the weighted average sales price per share. The shares sold at prices ranging from \$176.96 to \$177.30 per share. Full information regarding the number of shares sold at each price shall be provided upon request to the staff of the U.S. Securities and Exchange Commission, the Issuer, or a security holder of the Issuer.
- Represents the weighted average sales price per share. The shares sold at prices ranging from \$178.02 to \$178.43 per share. Full information regarding the number of shares sold at each price shall be provided upon request to the staff of the U.S. Securities and Exchange Commission, the Issuer, or a security holder of the Issuer.
- The stock option vests and becomes exercisable as to 25% of the shares subject to the option on the one-year anniversary of the Reporting Person's employment commencement date, and thereafter vests as to 1/48th of the shares in equal monthly installments.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.