

KULLBERG DUANE R
Form 144
November 09, 2012

UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

FORM 144

NOTICE OF PROPOSED SALE OF SECURITIES

PURSUANT TO RULE 144 UNDER THE SECURITIES ACT OF 1933

OMB APPROVAL
OMB
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2014

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Transmit for filing 3 copies of this form concurrently with either placing an **WORK LOCATION**
ATTENTION: *order with a broker to execute sale or executing a sale directly with a market*
maker

1(a) NAME OF ISSUER (<i>Please type or print</i>)		(b) IRS IDENT. NO.	(c) S.E.C. FILE NO.	(e) TELEPHONE NO.	
Artio Global Investors Inc.		13-6174048	1-34457	AREA CODE 212	NUMBER 297-3600
1(d) ADDRESS OF ISSUER	STREET	CITY	STATE	ZIP CODE	
	330 Madison Avenue	New York	NY	10017	
2(a) NAME OF PERSON FOR WHOSE ACCOUNT THE SECURITIES ARE TO BE SOLD	(b) RELATIONSHIP TO ISSUER	(c) ADDRESS STREET	CITY	STATE	ZIP CODE
Duane Kullberg	Director	330 Madison Ave.	New York	NY	10017

INSTRUCTION: *The person filing this notice should contact the issuer to obtain the I.R.S. Identification Number and the S.E.C. File Number.*

3 (a) (b) sec use only (c) (d) (e)

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Title of the Class of Securities to be Sold	Name and Address of Each Broker Through Whom the Securities are to be Offered or Each Market Maker who is Acquiring the Securities	Broker-Dealer File Number	Number of Shares or Other Units to be Sold (See instr. 3(c))	Aggregate Market Value (See instr. 3(d))	Number of Shares or Other Units Outstanding (See instr. 3(e))
Common stock	BNY Mellon Capital Markets LLC, 480 Washington Blvd. Jersey City, NJ 07310		9,700	\$21,825 (as of 11/8/12)	59,983,500 (as of 10/1/12)

INSTRUCTIONS:

1. (a) Name of Issuer
 (b) Issuer's I.R.S. Identification Number
 (c) Issuer's S.E.C. file number, if any
 (d) Issuer's address, including zip code
 (e) Issuer's telephone number, including area code

2. (a) Name of Person for whose account the securities are to be sold
 (b) Such person's relationship to the issuer (e.g., officer, director, 10% stockholder, or member of immediate family of any of the foregoing)
 (c) Such person's address, including zip code

3. (a) Title of the class of securities to be sold
 (b) Name and address of each broker through whom the securities are intended to be sold
 (c) Number of shares or other units to be sold (if debt securities, give the aggregate face amount)
 (d) Aggregate market value of the securities to be sold as of a specified date within 10 days prior to the filing of this notice
 (e) Number of shares or other units of the class outstanding, or if debt securities the face amount thereof outstanding, as shown by the most recent report or statement published by the issuer
 (f) Approximate date on which the securities are to be sold
 (g) Name of each securities exchange, if any, on which the securities are intended to be sold

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1147 (08-07)

TABLE I – SECURITIES TO BE SOLD

Furnish the following information with respect to the acquisition of the securities to be sold

and with respect to the payment of all or any part of the purchase price or other consideration therefor:

Title of the Class	Date you Acquired	Nature of Acquisition Transaction (If gift, also give date donor acquired)	Name of Person from Whom Acquired	Amount of Securities Acquired	Date of Payment
COMMON STOCK	5/17/12	Shares acquired as director	Artio Global Investors Inc.	9,700	11/09/12

INSTRUCTIONS: If the securities were purchased and full payment therefor was not made in cash at the time of purchase, explain in the table or in a note thereto the nature of the consideration given. If the consideration consisted of any note or other obligation, or if payment was made in installments describe the arrangement and state when the note or other obligation was discharged in full or the last installment paid.

TABLE II – SECURITIES SOLD DURING THE PAST 3 MONTHS

Furnish the following information as to all securities of the issuer sold during the past 3 months by the person for whose account the securities are to be sold.

Name and Address of Seller	Title of Securities Sold	Date of Sale	Amount of Securities Sold	Gross Proceeds
None				

REMARKS:**INSTRUCTIONS:**

See the definition of “person” in paragraph (a) of Rule 144. Information is to be given not only as to the person for whose account the securities are to be sold but also as to all other persons included in that definition. In addition, information shall be given as to sales by all persons whose sales are required by paragraph (e) of Rule 144 to be aggregated with sales for the account of the person filing this notice.

ATTENTION:

The person for whose account the securities to which this notice relates are to be sold hereby represents by

signing this
notice that he
does not know
any material
adverse
information in
regard to the
current and
prospective
operations of the
Issuer of the
securities to be
sold which has
not been
publicly
disclosed. If
such person has
adopted a
written plan or
given trading
instructions to
satisfy Rule
10b5-1 under the
exchange act, by
signing the form
and indicating
the date that the
plan was
adopted or the
instruction
given, that
person makes
such
representation as
of the plan
adoption or
instruction date

____ November 9, 2012 ____
DATE OF NOTICE

DATE OF PLAN ADOPTION OR GIVING OF INSTRUCTION,
IF RELYING ON RULE 10B5-1

/s/ Duane
Kullberg
(SIGNATURE)

The notice shall be signed by the person for whose account the securities are to be sold. At least one copy of the notice shall be manually signed.

Any copies not manually signed shall bear typed or printed signatures.

ATTENTION: Intentional misstatements or omission of facts constitute Federal Criminal Violations (See 18 U.S.C. 1001)

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SEC 1147 (02-08)