#### COMMAND SECURITY CORP

Form 4 April 29, 2014

## FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB** Number:

3235-0287

0.5

January 31, Expires: 2005

**OMB APPROVAL** 

Estimated average

burden hours per response...

if no longer subject to Section 16. Form 4 or

Check this box

Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \*

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to

Issuer

Peter T. Kikis Estate of

COMMAND SECURITY CORP

(Check all applicable)

[MOC]

(Middle)

(Zip)

(Last) (First) 3. Date of Earliest Transaction

Director Officer (give title below)

X 10% Owner Other (specify

C/O THOMAS P. KIKIS. ARCADIA SECURITIES., 720 FIFTH AVENUE, 10TH FLOOR

(Street)

4. If Amendment, Date Original

6. Individual or Joint/Group Filing(Check

Applicable Line)

Filed(Month/Day/Year)

(Month/Day/Year)

02/25/2014

Form filed by One Reporting Person X\_ Form filed by More than One Reporting

Person

NEW YORK, NY 10019

(City) (State)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1.Title of Security (Instr. 3)

2. Transaction Date 2A. Deemed (Month/Day/Year)

Execution Date, if

(Month/Day/Year)

3. 4. Securities TransactionAcquired (A) or Code Disposed of (D) (Instr. 8) (Instr. 3, 4 and 5)

5. Amount of Securities Beneficially Owned Following

Reported

6. Ownership 7. Nature of Form: Direct Indirect (D) or Indirect Beneficial Ownership (Instr. 4) (Instr. 4)

(9-02)

(A)

Transaction(s) (Instr. 3 and 4)

Code V Amount (D) Price

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

### Edgar Filing: COMMAND SECURITY CORP - Form 4

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount o Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Share
Stock Options	<u>(1)</u>	02/25/2014		Н	15,000	09/23/2005	03/01/2014(2)	Common Stock	15,000
Stock Options	<u>(1)</u>	02/25/2014		Н	15,000	09/20/2006	03/01/2014(2)	Common Stock	15,000
Stock Options	<u>(1)</u>	02/25/2014		Н	15,000	09/20/2007	03/01/2014(2)	Common Stock	15,000
Stock Options	<u>(1)</u>	02/25/2014		Н	10,000	09/18/2008	03/01/2014(2)	Common Stock	10,000
Stock Options	<u>(1)</u>	02/25/2014		Н	25,000	12/31/2008	03/01/2014(2)	Common Stock	25,000
Stock Options	(1)	02/25/2014		Н	25,000	05/27/2010	03/01/2014(2)	Common Stock	25,000
Stock Options	(1)	02/25/2014		Н	25,000	09/13/2011	03/01/2014(2)	Common Stock	25,000
Stock Options	<u>(1)</u>	02/25/2014		Н	25,000	04/05/2012	03/01/2014(2)	Common Stock	25,000

# **Reporting Owners**

Reporting Owner Name /	Relationships  Director 10% Owner Officer Other					
Peter T. Kikis Estate of C/O THOMAS P. KIKIS, ARCAD 720 FIFTH AVENUE, 10TH FLO NEW YORK, NY 10019		X				
Kikis Thomas C/O ARCADIA SECURITIES 720 FIFTH AVENUE, 10TH FLO NEW YORK, NY 10019	X	X				
Signatures						
/s/ Thomas P. Kikis, Executor	04/29/2014					
**Signature of Reporting Person	Date					

Reporting Owners 2

#### Edgar Filing: COMMAND SECURITY CORP - Form 4

/s/ Thomas P. Kikis 04/29/2014

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) On February 25, 2014, the Estate of Peter T. Kikis (the "Estate") entered into a Nonqualified Stock Option Cancellation Agreement pursuant to which the outstanding Stock Options owned by the Estate were cancelled for a lump sum payment of \$30,500.
- (2) The Stock Options were set to expire on the first anniversary of Mr. Peter T. Kikis's death. Mr. Peter T. Kikis died on March 1, 2013.
- (3) Mr. Thomas P. Kikis is the sole executor of the Estate.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Signatures 3