

RICKS CABARET INTERNATIONAL INC

Form 4

May 19, 2014

FORM 4**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

OMB
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(Print or Type Responses)

1. Name and Address of Reporting Person *
Marshall Phillip Kent

2. Issuer Name **and** Ticker or Trading
Symbol
RICKS CABARET
INTERNATIONAL INC [RICK]

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

(Last) (First) (Middle)
10959 CUTTEN ROAD
(Street)

3. Date of Earliest Transaction
(Month/Day/Year)
05/15/2014

____ Director ____ 10% Owner
____X____ Officer (give title ____ Other (specify
below) below)
CFO

HOUSTON, TX US 77066

4. If Amendment, Date Original
Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check
Applicable Line)
____X____ Form filed by One Reporting Person
____ Form filed by More than One Reporting
Person

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount	(A) or (D)	Price
Common Stock	05/15/2014		M		17,776	A	\$ 8.35 28,906
Common Stock	05/15/2014		S		3,100	D	\$ 10.92 25,806
Common Stock	05/16/2014		S		5,450	D	\$ 10.92 20,356
Common Stock	05/16/2014		S		400	D	\$ 10.95 19,956
Common Stock	05/16/2014		S		100	D	\$ 10.93 19,856

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Common Stock	05/16/2014	S	48	D	\$ 10.96	19,808	D
Common Stock	05/19/2014	S	2,776	D	\$ 10.75	17,032	D
Common Stock	05/19/2014	S	5,902	D	\$ 10.7573	11,130	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)			
				Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Stock Options	\$ 8.35	05/15/2014		M		17,776		07/02/2013	07/02/2014	Common Stock	17,776

Reporting Owners

Reporting Owner Name / Address	Relationships
	Director 10% Owner Officer Other
Marshall Phillip Kent 10959 CUTTEN ROAD HOUSTON, TX US 77066	CFO

Signatures

/s/ Phillip
Marshall 05/19/2014

__Signature of Reporting Person Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Exercise of stock options.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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