Edgar Filing: P&F INDUSTRIES INC - Form 4

| P&F INDUS Form 4 | | | | | | | | | | |
|--|--|--|--------------------------------------|--------------------|---|------------|--|--|-------------|--|
| November 04 | ГЛ | | | | | | | | PPROVAL | |
| | UNITED | UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 | | | | | | | 3235-0287 | |
| Check thi if no long subject to Section 1 Form 4 o | 6. | | | | | | | | | |
| Form 5 obligation may cont <i>See</i> Instru 1(b). | inue. Section 17(a | response 0.5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940 | | | | | | | | |
| (Print or Type F | Responses) | | | | | | | | | |
| 1. Name and A HOROWITZ | er Name and Ticker or Trading NDUSTRIES INC [PFIN] | | | | 5. Relationship of Reporting Person(s) to Issuer | | | | | |
| (Last) | (First) (N | liddle) 3. Date o | 3. Date of Earliest Transaction (Cha | | | | | ck all applicable) | | |
| | IDUSTRIES, INC LLOW ROAD, S | C., 445 11/03/2 | Day/Year) 2015 | | | | X Director X Officer (give below) Principa | e title Oth below) Il Executive Off | er (specify | |
| | ndment, Date Original | | | | 6. Individual or Joint/Group Filing(Check | | | | | |
| Filed(Month MELVILLE, NY 11747 | | | |) | | | Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting | | | |
| | | . | | | | | Person | | | |
| (City) | (State) (| Zip) Tab | le I - Non-D | erivative | Securi | ities Aco | quired, Disposed o | f, or Beneficial | lly Owned | |
| 1.Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | | on(A) or Di (D) | spose | d of | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | | |
| Class A | | | code V | mount | | | | | | |
| Common Stock | 11/03/2015 | | P <u>(1)</u> | 1,013 | А | \$ 9.87 | 1,182,115 | D | | |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
 (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 4. Transactic Code (Instr. 8) | 5. onNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | | ate | Secur | int of rlying | 8. Price of Derivative Security (Instr. 5) | 9. Nu Deriv Secu Bene Owno Follo Repo Trans (Instr |
|---|---|---|--|---|---------------------|--------------------|-------|--|---|--|
| | | | Code V | (A) (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | | |

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Reporting Owners

| Reporting Owner Name / Address | | Relationships | | | | | | |
|---|-------|---------------|-----------|-----------------------------|-------|--|--|--|
| | | irector | 10% Owner | Officer | Other | | | |
| HOROWITZ RICHARD A C/O P&F INDUSTRIES, INC. 445 BROADHOLLOW ROAD, SUITE 100 MELVILLE, NY 11747 | | X | Х | Principal Executive Officer | | | | |
| Signatures | | | | | | | | |
| /s/ Richard A. 11/04 Horowitz | /2015 | | | | | | | |

<u>**</u>Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The Reporting Person purchased these shares from his mother, Mrs. Grace Horowitz, in a private transaction designed to provide her with liquidity.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.