

Five Oaks Investment Corp.
Form 8-K
May 10, 2018

UNITED STATES

SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

FORM 8-K

CURRENT REPORT

Pursuant to Section 13 or 15(d) of the Securities Exchange Act of 1934

Date of Report (*Date of earliest event reported*): May 10, 2018

Five Oaks Investment Corp.

(Exact name of registrant as specified in its charter)

Maryland **001-35845** **45-4966519**
(State or other jurisdiction) (Commission (IRS Employer

of incorporation) File Number) Identification No.)

230 Park Avenue, 19th Floor

10169

New York, New York

(Address of principal executive offices) (Zip Code)

(212) 588-2049

(Registrant's telephone number, including area code)

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(Former name or former address, if changed since last report)

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions (*see* General Instruction A.2. below):

- Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)
- Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)
- Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))
- Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

Indicate by check mark whether the registrant is an emerging growth company as defined in Rule 405 of the Securities Act of 1933 (17 CFR §230.405) or Rule 12b-2 of the Securities Exchange Act of 1934 (17 CFR §240.12b-2).

Emerging growth company x

If an emerging growth company, indicate by check mark if the registrant has elected not to use the extended transition period for complying with any new or revised financial accounting standards provided pursuant to Section 13(a) of the Exchange Act.

Item 2.02. Results of Operations and Financial Condition.

On May 10, 2018, Five Oaks Investment Corp. (the “Company”) issued a press release announcing its financial results for the fiscal quarter ended March 31, 2018 (the “Release”). A copy of the Release is attached hereto as Exhibit 99.1, and is incorporated herein by reference.

Item 7.01. Regulation FD Disclosure

On May 10, 2018, the Company disclosed an earnings and performance highlights presentation regarding its financial results for the fiscal quarter ended March 31, 2018, a copy of which is attached hereto as Exhibit 99.2, and is incorporated herein by reference.

Item 9.01 Financial Statements and Exhibits.

(d) Exhibits.

99.1 - Press Release of Five Oaks Investment Corp., May 10, 2018.

99.2 – Earnings and Performance Highlights Presentation, May 10, 2018

The information disclosed under “Item 2.02 Results of Operations and Financial Condition,” and “Item 7.01 Regulation FD Disclosure”, including Exhibits 99.1 and 99.2 hereto, is intended to be furnished and shall not be deemed “filed” for purposes of Section 18 of the Securities Exchange Act of 1934, as amended (the “Exchange Act”), or otherwise subject to the liabilities of that section, nor shall it be deemed incorporated by reference in any filing under the Securities Act of 1933, as amended (the “Securities Act”), or the Exchange Act, except as shall be expressly set forth by specific reference in such a filing.

SIGNATURES

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

Five Oaks Investment Corp.

May 10, 2018 By: /s/ DAVID OSTON

David Oston

Chief Financial Officer, Secretary and

Treasurer