

Hunt Companies Finance Trust, Inc.  
Form 10-Q/A  
August 10, 2018

**UNITED STATES**

**SECURITIES AND EXCHANGE COMMISSION**

**Washington, D.C. 20549**

**FORM 10-Q/A**  
**(Amendment No. 1)**

**QUARTERLY REPORT PURSUANT TO SECTION 13 OR 15(d) OF THE SECURITIES EXCHANGE  
ACT OF 1934**

**For the quarterly period ended June 30, 2018**

**OR**

**TRANSITION REPORT PURSUANT TO SECTION 13 OR 15(d) OF THE SECURITIES EXCHANGE ACT  
OF 1934**

**For the transition period from \_\_\_\_\_ to \_\_\_\_\_**

**Commission File No. 001-35845**

**HUNT COMPANIES FINANCE TRUST, INC.**

(Exact name of registrant as specified in its charter)

**Maryland**                      **45-4966519**  
(State or other jurisdiction of    (I.R.S. Employer)

incorporation or organization) Identification Number)

**230 Park Avenue, 19th Floor, New York, New York 10169**

(Address of principal executive office) (Zip Code)

**(212) 588-2049**

(Registrant's telephone number, including area code)

(Former name, former address and former fiscal year, if changed since last report)

Indicate by check mark whether the registrant (1) has filed all reports required to be filed by Section 13 or 15(d) of the Securities Exchange Act of 1934 during the preceding 12 months (or for such shorter period that the registrant was required to file such reports), and (2) has been subject to such filing requirements for the past 90 days:

Yes  No

Indicate by check mark whether the registrant has submitted electronically and posted on its corporate Web site, if any, every Interactive Data File required to be submitted and posted pursuant to Rule 405 of Regulation S-T (§232.405 of this chapter) during the preceding 12 months (or for such shorter period that the registrant was required to submit and post such files). Yes  No

Indicate by check mark whether the registrant is a large accelerated filer, an accelerated filer, a non-accelerated filer, a smaller reporting company or an emerging growth company. See definitions of "large accelerated filer," "accelerated filer," "smaller reporting company," and "emerging growth company" in Rule 12b-2 of the Exchange Act.

Large accelerated filer

Accelerated filer

Non-accelerated filer

Smaller reporting company

(Do not check if a smaller reporting company) Emerging growth company

If an emerging growth company, indicate by check mark if the registrant has elected not to use the extended transition period for complying with any new or revised financial accounting standards provided pursuant to Section 13(a) of the Exchange Act

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Indicate by check mark whether the registrant is a shell company (as defined in Rule 12b-2 of the Exchange Act). Yes  No

Indicate the number of shares outstanding of each of the issuer's classes of common stock, as of the latest practicable date.

<b>Class</b>	<b>Outstanding at August 9, 2018</b>
Common stock, \$0.01 par value	23,683,164

### **Explanatory Note**

Hunt Companies Finance Trust, Inc. (the “Registrant”) is filing this Amendment No. 1 on Form 10-Q/A to amend its quarterly report on Form 10-Q for the period ended June 30, 2018, as filed with the Securities and Exchange Commission on August 9, 2018 (the “Original Filing”). The Registrant is filing this amendment to its Original Filing to correct certain typographical errors in Exhibits 31.1, 31.2, 32.1 and 32.2, including the date in the Certifications of its Chief Executive Officer and its Chief Financial Officer required under Section 906 of the Sarbanes-Oxley Act, furnished as Exhibit 32.1 and Exhibit 32.2, respectively in the Original Filing. The Certifications in Exhibit 32.1 and Exhibit 32.2 of the Original Filing stated that the certifications contained therein were made for the Quarterly Report of the Registrant on Form 10-Q for the quarter ending March 31, 2018, and is hereby corrected to state that such certifications are made for the quarterly report of the Registrant on Form 10-Q for the quarter ended June 30, 2018. Except as indicated above, no other information in the Original Filing is amended by this Amendment No. 1. In addition, this Amendment No. 1 does not otherwise update information in the Original Filing to reflect facts or events occurring subsequent to the date of the Original Filing.

### **Item 6. EXHIBITS**

Reference is made to the Exhibit Index included herein, which is incorporated by reference into this Item 6.

## EXHIBIT INDEX

### Exhibit

Number	Exhibit Description
<u>31.1*</u>	<u>Certification of Chief Executive Officer pursuant to Rule 13a-14(a) and Rule 15d-14(a), as adopted pursuant to Section 302 of the Sarbanes-Oxley Act of 2002</u>
<u>31.2*</u>	<u>Certification of Chief Financial Officer pursuant to Rule 13a-14(a) and Rule 15d-14(a), as adopted pursuant to Section 302 of the Sarbanes-Oxley Act of 2002</u>
<u>32.1**</u>	<u>Certification of Chief Executive Officer pursuant to 18 U.S.C. Section 1350, as adopted pursuant to Section 906 of the Sarbanes-Oxley Act of 2002</u>
<u>32.2**</u>	<u>Certification of Chief Financial Officer pursuant to 18 U.S.C. Section 1350, as adopted pursuant to Section 906 of the Sarbanes-Oxley Act of 2002</u>
101.INS***	XBRL Instance Document
101.SCH***	XBRL Taxonomy Extension Schema Document
101.CAL***	XBRL Taxonomy Extension Calculation Linkbase Document
101.DEF***	XBRL Taxonomy Extension Definition Linkbase Document
101.LAB***	XBRL Taxonomy Extension Label Linkbase Document
101.PRE***	XBRL Taxonomy Extension Presentation Linkbase Document

\* Filed herewith.

\*\* Furnished herewith.

\*\*\* Previously filed with the Registrant's Quarterly Report for the quarter ended June 30, 2018, filed with the SEC on August 9, 2018.

**SIGNATURES**

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned thereunto duly authorized.

**HUNT COMPANIES FINANCE TRUST, INC.**

Dated: August 10, 2018 By/s/ James P. Flynn

James P. Flynn  
Chief Executive Officer (Principal Executive Officer)

Dated: August 10, 2018 By/s/ David Oston

David Oston  
Chief Financial Officer, Treasurer, Secretary and Director (Principal Financial Officer and Principal Accounting Officer)