

CME GROUP INC.
Form 4
November 04, 2014

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0287
Expires: January 31, 2015
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
Sammann Derek

(Last) (First) (Middle)
20 S. WACKER DRIVE
(Street)

CHICAGO, IL 60606

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol
CME GROUP INC. [CME]

3. Date of Earliest Transaction
(Month/Day/Year)
11/03/2014

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

____ Director _____ 10% Owner
 Officer (give title below) _____ Other (specify below)

Sr MD Commodities P&S

6. Individual or Joint/Group Filing(Check Applicable Line)

Form filed by One Reporting Person
 Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount (A) or (D) Price		
Common Stock Class A	11/03/2014		M		990 A \$ 56.87	24,033	D
Common Stock Class A	11/03/2014		S		990 D \$ 84.2513 ⁽¹⁾	23,043	D
Common Stock Class A	11/03/2014		M		2,480 A \$ 54.37	25,523	D
Common Stock	11/03/2014		S		2,480 D \$ 84.2513	23,043	D

Edgar Filing: CME GROUP INC. - Form 4

Class A									<u>(1)</u>
Common Stock Class A	11/03/2014		M	6,320	A	\$ 54.3	29,363		D
Common Stock Class A	11/03/2014		S	6,320	D	\$ 84.2513	23,043		D
Common Stock Class A	11/03/2014		M	210	A	\$ 66.25	23,253		D
Common Stock Class A	11/03/2014		S	210	D	\$ 84.2513	23,043		D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Security (Instr. 3 and 4)
Non-Qualified Stock Option (right to buy)	\$ 54.3	11/03/2014		M	6,320	09/15/2014 ⁽²⁾ 09/15/2020	Common Stock Class A
Non-Qualified Stock Option (right to buy)	\$ 54.37	11/03/2014		M	2,480	09/15/2014 ⁽³⁾ 09/15/2021	Common Stock Class A
Non-Qualified Stock Option (right to buy)	\$ 56.87	11/03/2014		M	990	09/15/2013 ⁽⁴⁾ 09/15/2019	Common Stock Class A
Non-Qualified Stock Option	\$ 66.25	11/03/2014		M	210	12/15/2013 ⁽⁵⁾ 12/15/2019	Common Stock

(right to buy)

Class A

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Sammann Derek 20 S. WACKER DRIVE CHICAGO, IL 60606			Sr MD Commodities P&S	

Signatures

By: Margaret Austin Wright For: Derek Louis Samman 11/04/2014

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) On November 3, 2014, Mr. Sammann sold a total of 10,000 shares of CME Group Class A common stock at an average price of \$84.25 per share, including the exercise of options. The price ranges were \$84.23 through \$84.31. The Company maintains a record of the transactions and copies will be provided upon request.
- (2) On September 15, 2014, these options vested with respect to 100% of the granted number of shares covered by the option.
- (3) These options were granted on September 15, 2011. They vest over a four-year period, with 25% vesting one year after the grant date and 25% vesting on that same date in each of the following three years, subject to acceleration or termination in certain circumstances.
- (4) On September 15, 2013, these options vested with respect to 100% of the granted number of shares covered by the option.
- (5) On December 15, 2013, these options vested with respect to 100% of the granted number of shares covered by the option.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.