BYRNE SUSAN M

Form 4 March 07, 2013

# FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB APPROVAL** OMB 3235-0287

Check this box if no longer subject to Section 16.

Number: January 31, Expires: 2005

Form 4 or Form 5 obligations STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Estimated average burden hours per response... 0.5

may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

2. Issuer Name and Ticker or Trading

5. Relationship of Reporting Person(s) to

Issuer

1(b).

stock

common

(Print or Type Responses)

RVRNE SUSAN M

1. Name and Address of Reporting Person \*

BYRNE SUSAN M				Symbol WESTWOOD HOLDINGS GROUP INC [WHG]					(Check all applicable)				
					of Earliest /Day/Year)		n		X Director 10% Owner Officer (give titleX Other (specify below)				
200 CRESCENT COURT, SUITE 1200				03/05/2013					Chairman, Board of Directors				
					4. If Amendment, Date Original Filed(Month/Day/Year)				6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person				
DALLAS, TX 75201									Form filed by More than One Reporting Person				
(City) (State) (Zip) <b>Table I - Non-Derivative So</b>								curities Acquired, Disposed of, or Beneficially Owned					
	1.Title of Security (Instr. 3)	ecurity (Month/Day/Year) Execution Da			3. Transactio Code (Instr. 8)	4. Securities Acquired (A) our Disposed of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
					Code V	Amount	or (D)	Price	(Instr. 3 and 4)				
	common stock	03/05/2013			S	6,811 (1)	D	\$ 42.5855 (2)	15,853	I	By spouse		
	common stock	03/06/2013			S	6,275 (3)	D	\$ 42.4924 (4)	437,968	D			
	common	03/07/2013			S	3,277	D	\$ 42.5878	12,576	I	By spouse		

(1)

(5)

50,000

Ι

Family

Foundation stock

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

(9-02)

9. Nu

Deriv

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transac Code (Instr. 8	5. tionNumbe of ) Derivat Securiti Acquire (A) or Dispose of (D) (Instr. 3 4, and 5	(Month/Day ive es ed ed	ate	7. Titl Amou Under Secur (Instr.	int of rlying	8. Price of Derivative Security (Instr. 5)
				Code	V (A) (I	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	

### **Reporting Owners**

Relationships Reporting Owner Name / Address

> Director 10% Owner Officer Other

BYRNE SUSAN M 200 CRESCENT COURT **SUITE 1200 DALLAS, TX 75201** 

X

Chairman, Board of Directors

## **Signatures**

William R. Hardcastle, Jr. as 03/07/2013 attorney-in-fact

> \*\*Signature of Reporting Person Date

#### **Explanation of Responses:**

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- The sales reported on this Form 4 were effected pursuant to a Rule 10b5-1 trading plan adopted by the reporting person's spouse on **(1)** February 27, 2013.
- Price reflected is the weighted-average sale price for shares sold. The range of sale prices for the transactions reported was \$42.43 to (2) \$42.85 per share. Full information regarding the number of shares sold at each separate price will be provided to the Commission staff, the issuer, or a security holder of the issuer upon request.

Reporting Owners 2

#### Edgar Filing: BYRNE SUSAN M - Form 4

- (3) The sales reported on this Form 4 were effected pursuant to a Rule 10b5-1 trading plan adopted by the reporting person on February 27, 2013.
- Price reflected is the weighted-average sale price for shares sold. The range of sale prices for the transactions reported was \$42.40 to

  (4) \$42.55 per share. Full information regarding the number of shares sold at each separate price will be provided to the Commission staff, the issuer, or a security holder of the issuer upon request.
- Price reflected is the weighted-average sale price for shares sold. The range of sale prices for the transactions reported was \$42.50 to \$42.70 per share. Full information regarding the number of shares sold at each separate price will be provided to the Commission staff, the issuer, or a security holder of the issuer upon request.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.