WEISER MICHAEL

Form 4

January 05, 2010

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

SECURITIES

OMB 3235-0287

Number:

OMB APPROVAL

January 31, 2005

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obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1(b).

(Last)

1. Name and Address of Reporting Person * WEISER MICHAEL

(First)

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to Issuer

ZIOPHARM ONCOLOGY INC

(Check all applicable)

[ZIOP]

(Middle)

3. Date of Earliest Transaction (Month/Day/Year)

12/31/2009

X_ Director 10% Owner Officer (give title Other (specify below)

C/O ZIOPHARM ONCOLOGY. INC., 1180 AVENUE OF THE AMERICAS, SUTIE 1920

> (Street) 4. If Amendment, Date Original

> > Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Applicable Line)

X Form filed by One Reporting Person Form filed by More than One Reporting

NEW YORK, NY 10036

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1.Title of Security (Instr. 3)

2. Transaction Date 2A. Deemed (Month/Day/Year)

Execution Date, if

(Month/Day/Year)

3. 4. Securities TransactionAcquired (A) or Code Disposed of (D) (Instr. 8) (Instr. 3, 4 and 5)

5. Amount of Securities Beneficially Owned Following Reported

Transaction(s) (Instr. 3 and 4) 6. Ownership 7. Nature of Form: Direct (D) or Indirect (I) (Instr. 4)

Indirect Beneficial Ownership (Instr. 4)

Common

Stock, \$.001 par

value

12/31/2009

 $A^{(6)}$

Code V Amount

\$0 135,945 7.500 Α

Price

(A)

(D)

D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

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$\label{thm:convergence} \begin{tabular}{ll} Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned \\ (\emph{e.g.}, puts, calls, warrants, options, convertible securities) \\ \end{tabular}$

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	Securit	ivative ties red	6. Date Exerci Expiration Dat (Month/Day/Y	te	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Warrants to Purchase Common Stock	\$ 4.75						09/13/2005	05/31/2012	Common Stock, \$.001 par value	35,566
Director Stock Option (right to buy)	\$ 4.31						<u>(1)</u>	12/22/2014	Common Stock, \$.001 par value	15,029
Director Stock Option (right to buy)	\$ 5.01						04/26/2006	04/26/2016	Common Stock, \$.001 par value	15,000
Warrants to purchase Common Stock	\$ 5.09						05/03/2006	05/03/2013	Common Stock, \$.001 par value	18,319
Director Stock Option (right to buy)	\$ 6.49						<u>(2)</u>	12/13/2016	Common Stock, \$.001 par value	15,000
Stock Option (right to buy)	\$ 4.85						(3)	06/18/2017	Common Stock	15,000
Stock Option (right to buy)	\$ 2.73						<u>(4)</u>	12/12/2017	Common Stock	20,000

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Stock Option (right to buy)	\$ 0.7					<u>(</u>	(5)	05/13/2019	Common Stock	15,000
Stock Options (right to buy)	\$ 2.85	12/31/2009	A	A	7,500	<u>(</u>	7)	12/31/2019	Common Stock, \$.001 par value	7,500

Reporting Owners

Reporting Owner Name / Address	Relationships					
Reporting Owner Fund Frances	Director	10% Owner	Officer	Other		
WEISER MICHAEL C/O ZIOPHARM ONCOLOGY, INC. 1180 AVENUE OF THE AMERICAS, SUTIE 1920 NEW YORK, NY 10036	X					

Signatures

/s/ Michael

Weiser 01/05/2010

**Signature of Person Date

Reporting Person

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) 7,515 shares vest on 7/20/06 and 7,514 shares vest on 7/20/07.
- (2) 5,000 shares vest on each of 12/13/07, 12/13/08 and 12/13/09.
- (3) 5,000 shares vest on each of 6/18/08, 6/18/09 and 6/18/10.
- (4) 6,667 shares vest on each of 12/12/08 and 12/12/09; 6,666 shares vest on 12/12/10.
- (5) 5,000 shares vest on each of 12/31/09, 6/30/2010 and 12/31/2010.
- (6) Grant of restricted stock; restrictions lapse in 2 equal annual installments beginning on the first anniversary of the date of grant.
- (7) 3,750 shares vest on each of 12/31/2011 and 12/31/2012.

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