Edgar Filing: Chong Kian Fui (Paul) - Form 4

Chong Kian Fui (Form 4 March 28, 2013	(Paul)										
March 28, 2013 FORM 4 Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. <i>See</i> Instruction 1(b).	STATEM Filed pur Section 17(a	UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940						OMB APPROVAL OMB 3235-0287 Number: January 31, 2005 Estimated average burden hours per response 0.5			
 (Print or Type Responses) 1. Name and Address of Reporting Person <u>*</u> Chong Kian Fui (Paul) 			2. Issuer Name and Ticker or Trading Symbol Five Oaks Investment Corp. [OAKS]				-	5. Relationship of Reporting Person(s) to Issuer			
(Last) (First) (Middle)			3. Date of Earliest Transaction (Month/Day/Year) 03/27/2013				-	(Check all applicable) <u> </u>			
·	(Street) 4. If Amendment, Date Original 6. I Filed(Month/Day/Year) App _X					Applicable Line) _X_ Form filed by	r Joint/Group Filing(Check) by One Reporting Person by More than One Reporting				
(City) ((State)	(Zip)	Table	I - Non-De	erivative S	Securi	ties Aco	quired, Disposed o	f, or Beneficial	lly Owned	
Security (Mo (Instr. 3)	Fransaction Date onth/Day/Year)	Execution Execution any	med	3. Transactic Code	4. Securi onAcquired Disposed (Instr. 3, Amount	ties l (A) o l of (D	r)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of	
Common 03/ stock	/27/2013			J	3,495 (1)	А	\$0	8,495	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)		· · · · ·	A. Deemed Execution Date, if any (Month/Day/Year)	Code	5. 6. Date Exercisable and onNumber Expiration Date of (Month/Day/Year) Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		Date	Amou Under Secur	tle and unt of crlying rities r. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secur Bene Owne Follo Repo Trans (Instr
Repo	orting C	Owners		Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		
					Relation	iships					

Reporting Owner Name / Address			F *				
	Director	10% Owner	Officer	Other			
Chong Kian Fui (Paul) C/O FIVE OAKS INVESTMENT CORP. 641 LEXINGTON AVE., SUITE 1432 NEW YORK, NY 10022			Chief Investment Officer				
Signatures							

/s/ David Oston for Kian Fui (Paul) Chong, pursuant to a power of attorney being filed 03/28/2013 herewith **Signature of Reporting Person Date

Explanation of Responses:

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Represents restricted common stock granted on March 27, 2013 by Oak Circle Capital Partners LLC pursuant to the Issuer's Manager (1) Equity Plan. One-third of these shares vest on each of the first, second and third anniversaries of the grant date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Edgar Filing: Chong Kian Fui (Paul) - Form 4