ALBERG TOM A Form 4

November 19, 2018

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB** Number:

3235-0287

Expires:

January 31, 2005

0.5

Estimated average burden hours per

**OMB APPROVAL** 

response...

if no longer subject to Section 16. Form 4 or Form 5

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction

1(b).

(Last)

(City)

P.O. BOX 81226

(Print or Type Responses)

1. Name and Address of Reporting Person \* ALBERG TOM A

(First)

(State)

2. Issuer Name and Ticker or Trading Symbol

AMAZON COM INC [AMZN]

5. Relationship of Reporting Person(s) to Issuer

(Middle)

(Zip)

3. Date of Earliest Transaction

(Month/Day/Year)

11/15/2018

(Check all applicable)

X\_ Director 10% Owner Officer (give title Other (specify below)

(Street) 4. If Amendment, Date Original

Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

SEATTLE, WA 98108-1226

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1.Title of 2. Transaction Date 2A. Deemed Security (Month/Day/Year) Execution Date, if (Instr. 3) (Month/Day/Year)

4. Securities TransactionAcquired (A) or Code Disposed of (D) (Instr. 8) (Instr. 3, 4 and 5) 5. Amount of Securities Beneficially Owned Following Reported

6. Ownership 7. Nature of Form: Direct Indirect (D) or Beneficial Indirect (I) Ownership (Instr. 4) (Instr. 4)

(A) Transaction(s) or (Instr. 3 and 4)

Code V Amount (D) Price

Common

per share

Stock, par 11/15/2018 value \$.01

M 359 Α \$0

12,198

D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number on f Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisab Expiration Date (Month/Day/Year		7. Title and A Underlying S (Instr. 3 and	Securities
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Restricted Stock Unit Award	\$ 0 (1)	11/15/2018		M	359	11/15/2017(2)	11/15/2019	Common Stock, par value \$.01 per share	359

# **Reporting Owners**

Reporting Owner Name / Address	Relationships				
	Director	10% Owner	Officer	Other	
ALBERG TOM A					
P.O. BOX 81226	X				
SEATTLE, WA 98108-1226					

# **Signatures**

/s/ by Mark F. Hoffman as attorney-in-fact for Tom A.
Alberg

\*\*Signature of Reporting Person Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Converts into common stock on a one-for-one basis.
- (2) Subject to the reporting person's continued service as a director of the issuer, this award will vest and convert into shares of common stock of the issuer at the rate of 359 shares on each of November 15, 2017, November 15, 2018, and November 15, 2019.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number. ONT SIZE="1"> 11.

Percent of Class Represented by Amount in Row (9)

0%

Reporting Owners 2

12.

Type of Reporting Person (See Instructions)

IA

## Item 1. (a) Name of Issuer L-1 IDENTITY SOLUTIONS INC Address of Issuer s Principal Executive Offices 177 Broad St Fl 12, Stamford, CT 06901-5002 Item 2. (a) Name of Person Filing Dimensional Fund Advisors LP (b) Address of Principal Business Office or, if none, Residence Palisades West, Building One, 6300 Bee Cave Road, Austin, Texas, 78746 (c) Citizenship Delaware Limited Partnership (d) Title of Class of Securities Common Stock (e) **CUSIP** Number 50212A106 Item 3. If this statement is filed pursuant to §\$240.13d-1(b) or 240.13d-2(b) or (c), check whether the person filing is a: (a) Broker or dealer registered under section 15 of the Act (15 U.S.C. 78o). (b) Bank as defined in section 3(a)(6) of the Act (15 U.S.C. 78c). (c) Insurance company as defined in section 3(a)(19) of the Act (15 U.S.C. 78c). (d) Investment company registered under section 8 of the Investment Company Act of 1940 (15 U.S.C 80a-8). An investment adviser in accordance with §240.13d-1(b)(1)(ii)(E); (e) (f) An employee benefit plan or endowment fund in accordance with §240.13d-1(b)(1)(ii)(F); A parent holding company or control person in accordance with § 240.13d-1(b)(1)(ii)(G); (g) (h) A savings associations as defined in Section 3(b) of the Federal Deposit Insurance Act (12 U.S.C. 1813); (i) A church plan that is excluded from the definition of an investment company under section 3(c)(14) of the Investment Company Act of 1940 (15 U.S.C. 80a-3);

(j) Group, in accordance with §240.13d-1(b)(1)(ii)(J). Ownership. Provide the following information regarding the aggregate number and percentage of the class of securities of the issuer identified in Item 1. (a) Amount beneficially owned: 0 \*\*see Note 1\*\* (b) Percent of class:

0%

Item 4.

	(c)	Num	aber of shares as to which the person has:
		(i)	Sole power to vote or to direct the vote:
			0 ** N , 1 **
		(::\	0 **see Note 1**  Shored requests vista on to direct the vista.
		(11)	Shared power to vote or to direct the vote:
			0
		(iii)	Sole power to dispose or to direct the disposition of:
			0 mm - N 1 mm
		<i>.</i> . \	0 **see Note 1**
		(1V)	Shared power to dispose or to direct the disposition of:
			0
possess vowner of disclaims reporting	oting and/o the shares beneficial person or a	or inverse of the owne ony of	p-adviser and/or manager, neither Dimensional Fund Advisors LP or its subsidiaries (collectively, Dimensional) estment power over the securities of the Issuer that are owned by the Funds, and may be deemed to be the beneficial Issuer held by the Funds. However, all securities reported in this schedule are owned by the Funds. Dimensional riship of such securities. In addition, the filing of this Schedule 13G shall not be construed as an admission that the fits affiliates is the beneficial owner of any securities covered by this Schedule 13G for any other purposes than rities Exchange Act of 1934.
Item 5.	Ownersh	ip of	Five Percent or Less of a Class
			iled to report the fact that as of the date hereof the reporting person has ceased to be the beneficial owner of more that securities, check the following [X].
Item 6.	Ownersh	ip of	More than Five Percent on Behalf of Another Person.
	from the	sale o	cribed in Note 1 above have the right to receive or the power to direct the receipt of dividends from, or the proceeds of the securities held in their respective accounts. To the knowledge of Dimensional, the interest of any one such Fund 5% of the class of securities. Dimensional Fund Advisors LP disclaims beneficial ownership of all such securities.
Item 7.	Identification or Control		and Classification of the Subsidiary Which Acquired the Security Being Reported on By the Parent Holding Companison.
	N/A		
Item 8.	Identifica	ation	and Classification of Members of the Group
	N/A		
Item 9.	Notice of	f Diss	olution of Group
	N/A		

Certification

Item 10.

role

By signing below I certify that, to the best of my knowledge and belief, the securities referred to above were acquired and are held in the ordinary course of business and were not acquired and are not held for the purpose of or with the effect of changing or influencing the control of the issuer of the securities and were not acquired and are not held in connection with or as a participant in any transaction having that purpose or effect.

# Edgar Filing: ALBERG TOM A - Form 4 SIGNATURE

After reasonable inquiry and to the best of my knowledge and belief, I certify that the information set forth in this statement is true, complete and correct.

DIMENSIONAL FUND ADVISORS LP
February 10, 2012
Date
By: Dimensional Holdings Inc., General Partner
/s/ Christopher Crossan
Signature
Global Chief Compliance Officer
Title