#### LIQUIDITY SERVICES INC

Form 4

February 24, 2014

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

OMB Number:

3235-0287

0.5

January 31, Expires: 2005

**OMB APPROVAL** 

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if no longer subject to Section 16. Form 4 or Form 5

Check this box

obligations may continue.

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

See Instruction

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* Mateus-Tique Jaime

2. Issuer Name and Ticker or Trading

Issuer

below)

5. Relationship of Reporting Person(s) to

Symbol

(Month/Day/Year)

02/20/2014

LIQUIDITY SERVICES INC

(Check all applicable)

[LQDT]

(Last) (First) 3. Date of Earliest Transaction

X\_ Director Officer (give title

10% Owner Other (specify

C/O LIQUIDITY SERVICES, INC., 1920 L STREET, N.W., 6TH

(Street)

(State)

**FLOOR** 

(City)

4. If Amendment, Date Original

Applicable Line)

Filed(Month/Day/Year)

(Zip)

(Middle)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

6. Individual or Joint/Group Filing(Check

WASHINGTON, DC 20036

1.Title of 2. Transaction Date 2A. Deemed Security (Month/Day/Year) (Instr. 3)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned Execution Date, if

(Month/Day/Year)

3. 4. Securities Acquired Transaction(A) or Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8)

5. Amount of Securities Beneficially Owned Following Reported

7. Nature of Indirect Ownership Form: Beneficial Direct (D) Ownership or Indirect (Instr. 4)

Transaction(s) (Instr. 4)

Ι

Ι

(Instr. 3 and 4)

Code V Amount (D) Price

(A)

Common 02/20/2014 Stock

P 50,000 A

133,262

2007 Irrevocable

By the Em El

Trust

Common Stock

54,885 D

163,208

By the Jaime Mateus-Tique

2005

Common Stock

Irrevocable

## Edgar Filing: LIQUIDITY SERVICES INC - Form 4

Trust

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

> 8. Pr Deriv Secu (Inst

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)			7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Employee Stock Option	\$ 21.53					<u>(1)</u>	02/01/2024	Common Stock	20,061
Restricted Stock Grant	\$ 21.53					(2)	02/01/2024	Common Stock	1,765
Employee Stock Option	\$ 40.11					(3)	02/01/2023	Common Stock	5,954
Employee Stock Option	\$ 42.31					<u>(4)</u>	02/01/2022	Common Stock	5,328
Employee Stock Option	\$ 14.3					(5)	02/01/2021	Common Stock	15,012
Employee Stock Option	\$ 11.77					<u>(6)</u>	02/01/2020	Common Stock	18,612
Employee Stock Option	\$ 12.02					<u>(7)</u>	10/01/2017	Common Stock	76,000
	\$ 17.63					(8)	09/21/2016		55,000

Employee Common Stock Stock

# **Reporting Owners**

Reporting Owner Name / Address

Director 10% Owner Officer Other

Mateus-Tique Jaime
C/O LIQUIDITY SERVICES, INC.
1920 L STREET, N.W., 6TH FLOOR

## **Signatures**

WASHINGTON, DC 20036

/s/ James E. Williams, by power of attorney

02/24/2014

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) These options have a one-year vesting period such that 100% of this option grant will vest on February 1, 2015.
- (2) These restricted shares have a one-year vesting period such that 100% of this restricted share grant will vest on February 1, 2015.
- (3) These options became fully vested on February 1, 2014.
- (4) These options became fully vested on February 1, 2013.
- (5) These options became fully vested on February 1, 2012.
- (6) These options became fully vested on February 1, 2011.
- (7) These options became fully vested on October 1, 2012.
- (8) These options became fully vested on September 21, 2010.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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