

APPLIED MATERIALS INC /DE

Form 3

April 04, 2005

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

OMB
Number: 3235-0104Expires: January 31,
2005Estimated average
burden hours per
response... 0.5**INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting
Person *

Â Thakur Randhir

(Last)

(First)

(Middle)

2. Date of Event Requiring
Statement

(Month/Day/Year)

03/23/2005

3. Issuer Name **and** Ticker or Trading Symbol
APPLIED MATERIALS INC /DE [AMAT]4. Relationship of Reporting
Person(s) to Issuer5. If Amendment, Date Original
Filed(Month/Day/Year)

(Check all applicable)

☐ Director ☐ 10% Owner☒ Officer ☐ Other

(give title below) (specify below)

Group Vice President

6. Individual or Joint/Group

Filing(Check Applicable Line)

☒ Form filed by One Reporting
Person☐ Form filed by More than One
Reporting PersonC/O APPLIED MATERIALS,
INC.,Â P.O. BOX 58039, 3050
BOWERS AV. M/S 2023

(Street)

SANTA CLARA,Â CAÂ 95054

(City)

(State)

(Zip)

Table I - Non-Derivative Securities Beneficially Owned1. Title of Security
(Instr. 4)2. Amount of Securities
Beneficially Owned
(Instr. 4)3. Ownership
Form:
Direct (D)
or Indirect
(I)
(Instr. 5)4. Nature of Indirect Beneficial
Ownership
(Instr. 5)

Common Stock

4,279

D

Â

Common Stock

9,175.45

I

By 401(k) plan

Reminder: Report on a separate line for each class of securities beneficially
owned directly or indirectly.

SEC 1473 (7-02)

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information contained in this form are not
required to respond unless the form displays a
currently valid OMB control number.**

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)1. Title of Derivative Security
(Instr. 4)2. Date Exercisable and
Expiration Date
(Month/Day/Year)3. Title and Amount of
Securities Underlying
Derivative Security4. Conversion
or Exercise5. Ownership
Form of6. Nature of Indirect
Beneficial
Ownership

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| | Date Exercisable | Expiration Date | (Instr. 4) Title | Amount or Number of Shares | Price of Derivative Security | Derivative Security: Direct (D) or Indirect (I) (Instr. 5) | (Instr. 5) |
|---|---------------------|--------------------|---------------------|----------------------------------|------------------------------------|---|------------|
| Employee Stock Option (Right to Buy) | Â <u>(1)</u> | 12/21/2007 | Common Stock | 24,000 | \$ 17.69 | D | Â |
| Employee Stock Option (Right to Buy) | 07/15/2005 | 04/09/2008 | Common Stock | 10,000 | \$ 19.41 | D | Â |
| Employee Stock Option (Right to Buy) | 07/15/2006 | 10/04/2008 | Common Stock | 12,000 | \$ 15.495 | D | Â |
| Employee Stock Option (Right to Buy) | 07/15/2003 | 10/17/2008 | Common Stock | 12,000 | \$ 16.07 | D | Â |
| Employee Stock Option (Right to Buy) | Â <u>(2)</u> | 04/26/2009 | Common Stock | 26,000 | \$ 23.77 | D | Â |
| Employee Stock Option (Right to Buy) | 07/15/2007 | 03/12/2010 | Common Stock | 12,000 | \$ 11.75 | D | Â |
| Employee Stock Option (Right to Buy) | Â <u>(3)</u> | 03/12/2010 | Common Stock | 12,000 | \$ 11.75 | D | Â |
| Employee Stock Option (Right to Buy) | Â <u>(4)</u> | 05/27/2010 | Common Stock | 35,000 | \$ 14.69 | D | Â |
| Employee Stock Option (Right to Buy) | Â <u>(5)</u> | 07/11/2010 | Common Stock | 60,000 | \$ 17.39 | D | Â |
| Employee Stock Option (Right to Buy) | 07/15/2007 | 10/29/2010 | Common Stock | 118,000 | \$ 22.58 | D | Â |
| Employee Stock Option (Right to Buy) | 07/15/2008 | 10/29/2010 | Common Stock | 130,000 | \$ 22.58 | D | Â |
| Employee Stock Option (Right to Buy) | Â <u>(6)</u> | 11/03/2011 | Common Stock | 125,000 | \$ 16.12 | D | Â |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|--|---------------|-----------|------------------------|-------|
| | Director | 10% Owner | Officer | Other |
| Thakur Randhir C/O APPLIED MATERIALS, INC. P.O. BOX 58039, 3050 BOWERS AV. M/S 2023 SANTA CLARA, CA 95054 | Â | Â | Â Group Vice President | Â |

Signatures

/s/ Charmaine Mesina,
Attorney-In-Fact

04/04/2005

__Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- (1) 6,000 shares became exercisable each on 12/21/01, 12/21/02, 12/21/03 and 12/21/04.
- (2) 14,000 shares become exercisable on 4/26/05 and 12,000 shares become exercisable on 4/26/06 (assuming continued employment).
- (3) 7,000 shares became exercisable on 7/15/04 and 5,000 shares become exercisable on 7/15/05 (assuming continued employment).
- (4) 15,000 shares become exercisable on 7/15/05 and 20,000 shares become exercisable on 7/15/06 (assuming continued employment).
- (5) 30,000 shares become exercisable each on 7/15/05 and 7/15/06 (assuming continued employment).
- (6) 31,250 shares become exercisable each on 7/15/05, 7/15/06, 7/15/07 and 07/15/08 (assuming continued employment).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

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