

COLLECTORS UNIVERSE INC  
Form 3  
December 21, 2006

**FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION**  
**Washington, D.C. 20549**

OMB APPROVAL

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**INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

|   |         |                                      |   |  |
|---|---------|--------------------------------------|---|--|
| 1. Name and Address of Reporting Person * |         | 2. Date of Event Requiring Statement | 3. Issuer Name <b>and</b> Ticker or Trading Symbol  |  |
| Â Shamrock Activist Value Fund L P        |         | (Month/Day/Year)                     | COLLECTORS UNIVERSE INC [CLCT]  |  |
| (Last)                                    | (First) | (Middle)                             | 4. Relationship of Reporting Person(s) to Issuer  |  |
| 4444 LAKESIDE DRIVE                       |         |                                      | (Check all applicable)  |  |
| (Street)                                  |         |                                      | <input type="checkbox"/> Director <input checked="" type="checkbox"/> 10% Owner<br><input type="checkbox"/> Officer <input type="checkbox"/> Other<br>(give title below)    (specify below) |  |
| BURBANK, Â CA Â 91505                     |         |                                      | 5. If Amendment, Date Original Filed(Month/Day/Year)  |  |
| (City)                                    | (State) | (Zip)                                | 6. Individual or Joint/Group Filing(Check Applicable Line)  |  |
|   |         |                                      | <input type="checkbox"/> Form filed by One Reporting Person<br><input checked="" type="checkbox"/> Form filed by More than One Reporting Person   |  |

**Table I - Non-Derivative Securities Beneficially Owned**

| 1. Title of Security<br>(Instr. 4) | 2. Amount of Securities Beneficially Owned<br>(Instr. 4) | 3. Ownership Form:<br>Direct (D)<br>or Indirect (I)<br>(Instr. 5) | 4. Nature of Indirect Beneficial Ownership<br>(Instr. 5)  |
|------------------------------------|--|---|---|
| Common Stock                       | 465,205  | D <u>(1)</u> <u>(4)</u> <u>(5)</u><br><u>(6)</u>                  | Â   |
| Common Stock                       | 326,655  | I <u>(2)</u> <u>(4)</u> <u>(5)</u><br><u>(6)</u>                  | See footnotes <u>(2)</u> <u>(4)</u> <u>(5)</u> <u>(6)</u> |
| Common Stock                       | 57,361   | I <u>(3)</u> <u>(4)</u> <u>(5)</u><br><u>(6)</u>                  | See footnotes <u>(3)</u> <u>(4)</u> <u>(5)</u> <u>(6)</u> |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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**Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)**

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| 1. Title of Derivative Security<br>(Instr. 4) | 2. Date Exercisable and<br>Expiration Date<br>(Month/Day/Year) | 3. Title and Amount of<br>Securities Underlying<br>Derivative Security<br>(Instr. 4) | 4. Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 5. Ownership<br>Form of<br>Derivative<br>Security:<br>Direct (D)<br>or Indirect<br>(I)<br>(Instr. 5) | 6. Nature of Indirect<br>Beneficial Ownership<br>(Instr. 5) |
|---|--|--|--|--|---|
|   | Date<br>Exercisable  | Expiration<br>Date   | Title  | Amount or<br>Number of<br>Shares   |   |

## Reporting Owners

| Reporting Owner Name / Address   | Relationships |              |         |       |
|--|---------------|--------------|---------|-------|
|  | Director      | 10%<br>Owner | Officer | Other |
| Shamrock Activist Value Fund L P<br>4444 LAKESIDE DRIVE<br>BURBANK, CA 91505                 | ^             | ^ X          | ^       | ^     |
| Shamrock Activist Value Fund II, L.P.<br>4444 W. LAKESIDE DRIVE<br>BURBANK, CA 91505         | ^             | ^ X          | ^       | ^     |
| Shamrock Activist Value Fund III, L.P.<br>4444 W. LAKESIDE DRIVE<br>BURBANK, CA 91505        | ^             | ^ X          | ^       | ^     |
| Shamrock Partners Activist Value Fund, L.L.C.<br>4444 W. LAKESIDE DRIVE<br>BURBANK, CA 91505 | ^             | ^ X          | ^       | ^     |
| Shamrock Activist Value Fund GP, L.L.C.<br>4444 W. LAKESIDE DRIVE<br>BURBANK, CA 91505       | ^             | ^ X          | ^       | ^     |
| GOLD STANLEY P<br>4444 W. LAKESIDE DRIVE<br>BURBANK, CA 91505                                | ^             | ^ X          | ^       | ^     |

## Signatures

|  |            |
|--|------------|
| /s/ SHAMROCK ACTIVIST VALUE FUND, L.P.                       | 12/21/2006 |
| **Signature of Reporting Person                              | Date       |
| /s/ SHAMROCK ACTIVIST VALUE FUND II, L.P.                    | 12/21/2006 |
| **Signature of Reporting Person                              | Date       |
| /s/ SHAMROCK ACTIVIST VALUE FUND III, L.P.                   | 12/21/2006 |
| **Signature of Reporting Person                              | Date       |
| /s/ Stanley P. Gold  | 12/21/2006 |
| **Signature of Reporting Person                              | Date       |
| /s/ SHAMROCK ACTIVIST VALUE FUND, L.P., Authorized<br>Person | 12/21/2006 |

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|   |            |
|---|------------|
| **Signature of Reporting Person   | Date       |
| /s/ Shamrock Activisit Value Fund GP, L.L.C., its General Partner       | 12/21/2006 |
| **Signature of Reporting Person   | Date       |
| /s/ Shamrock Partners Activisit Value Fund, L.L.C., its Managing Member | 12/21/2006 |
| **Signature of Reporting Person   | Date       |

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shamrock Activist Value Fund, L.P. ("SAVF") directly owns these shares (the "SAVF Shares") of Common Stock of Collectors Unifers, Inc. (the "Company").
- (2) Shamrock Activist Value Fund II, L.P. ("SAVF II") directly owns these shares (the "SAVF II Shares") of Common Stock of the Company.
- (3) Shamrock Activist Value Fund III, L.P. ("SAVF III") directly owns these shares (the "SAVF III Shares" and, collectively with the SAVF Shares and the SAVF II Shares, the "Activist Value Shares") of Common Stock of the Company.  

SAVF, SAVF II and SAVF III have the same general partner. Accordingly, each of SAVF, SAVF II and SAVF III may be deemed for purposes of Section 16 of the Exchange Act of 1934, as amended (the "Exchange Act"), to be beneficial owners of the Activist Value Shares. Each of SAVF, SAVF II and SAVF III disclaims beneficial ownership of the others' shares of the Company, and this report shall not be deemed an admission that either SAVF, SAVF II or SAVF III is a beneficial owner of the others' shares of the Company for purposes of Section 16 or for any other purpose.
- (5) Shamrock Activist Value Fund GP, L.L.C. (the "General Partner") is the general partner of SAVF, SAVF II and SAVF III. Shamrock Partners Activist Value Fund, L.L.C. ("Shamrock Partners") is the Managing Member of the General Partner. Stanley P. Gold and Shamrock Holdings of California, Inc. ("SHOC") are each a Managing Member of Shamrock Partners. SHOC is also a Special Limited Partner of SAVF. Shamrock Holdings, Inc. ("SHI") is the sole stockholder of SHOC. Mr. Gold is the sole trustee of four trusts, which hold an aggregate of approximately more than 50% of SHI common stock. Accordingly, Mr. Gold may be deemed a controlling stockholder of SHI. Mr. Gold is a Director and President of both SHI and SHOC.  

Each of the General Partner, Shamrock Partners, Mr. Gold, SHOC and SHI may be deemed for purposes of Section 16 of the Exchange Act to be indirect beneficial owners of the Activist Value Shares. The General Partner, Shamrock Partners, Mr. Gold, SHOC and SHI disclaim beneficial ownership of the Activist Value Shares except to the extent of their pecuniary interest therein, and this report shall not be deemed an admission that any such person is a beneficial owner of the Activist Value Shares for purposes of Section 16 or for any other purpose.

Â  
**Remarks:**

EachÂ ofÂ SAVFÂ II,Â SAVFÂ IIIÂ andÂ StanleyÂ P.Â GoldÂ haveÂ authorizedÂ SAVFÂ toÂ executeÂ onÂ theirÂ beh

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.