American Water Works Company, Inc.

Form 4

May 21, 2014

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box

if no longer subject to Section 16. Form 4 or

Form 5 obligations may continue.

See Instruction

1. Name and Address of Reporting Person *

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

2. Issuer Name and Ticker or Trading

Symbol

1(b).

Smith Mark S

(Print or Type Responses)

| | | | | American Water Works Company, Inc. [AWK] | | | (Check all applicable) | | | | | |
|---|--------------------------------------|-----------------------------------|---|---|--|---|--|--|-------------|--|--|--|
| (Last) (First) (Middle) 1025 LAUREL OAK ROAD | | | 3. Date of Earliest Transaction (Month/Day/Year) 05/19/2014 | | | | | Director 10% Owner X_ Officer (give title Other (specify below) below) VP & Chief Information Officer | | | | |
| (Street) 4. I | | | | | 4. If Amendment, Date Original Filed(Month/Day/Year) | | | | | 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person | | |
| (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned | | | | | | | | | | ly Owned | | |
| | 1.Title of Security (Instr. 3) | 2. Transaction I (Month/Day/Ye | ar) Execution | med on Date, if Day/Year) | 3. Transact Code (Instr. 8) |) | 4. Securit n(A) or Di (Instr. 3, | spose | d of (D) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | |
| | Common Stock | 05/19/2014 | | | M | • | 123 | A | \$ 27.08 | 2,957 | D | |
| | Common Stock | 05/19/2014 | | | M | | 343 | A | \$ 34.12 | 3,300 | D | |
| | Common Stock | 05/19/2014 | | | M | | 300 | A | \$ 39.45 | 3,600 | D | |
| | Common Stock | 05/19/2014 | | | S | | 766 | D | \$ 47.22 | 2,834 | D | |
| | | | | | | | | | | | | |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

OMB APPROVAL

3235-0287

January 31,

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5. Relationship of Reporting Person(s) to

Issuer

Estimated average

burden hours per

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SEC 1474 (9-02)

> 8. I Der Sec (Ins

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transactic Code (Instr. 8) | • | | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | | | |
|---|---|---|---|--|-----|-----|---|--------------------|-----------------|--|
| | | | | Code V | (A) | (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares |
| Employee Stock Option (right to buy) | \$ 27.08 | 05/19/2014 | | M | | 123 | <u>(1)</u> | 12/31/2017 | Common Stock | 123 |
| Employee Stock Option (right to buy) | \$ 34.12 | 05/19/2014 | | M | | 343 | (2) | 12/31/2018 | Common Stock | 343 |
| Employee Stock Option (right to buy) | \$ 39.45 | 05/19/2014 | | M | | 300 | <u>(3)</u> | 12/31/2019 | Common Stock | 300 |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | | | | | |
|--------------------------------|---------------|-----------|-------------|-------|--|--|--|--|
| 1 | Director | 10% Owner | Officer | Other | | | | |
| Smith Mark S | | | VP & Chief | | | | | |
| 1025 LAUREL OAK ROAD | | | Information | | | | | |
| VOORHEES, NJ 08043 | | | Officer | | | | | |

Reporting Owners 2

Date

Signatures

/s/ Thomas Wyatt by Power of Attorney previously filed 05/21/2014

**Signature of Reporting Person

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The option award granted on February 24, 2011, representing a right to purchase 981 shares, vested in three equal installments on January 1, 2012, 2013 and 2014.
- (2) The option award granted on February 23, 2012, representing a right to purchase 4,008 shares, vests in three equal installments on January 1, 2013, 2014 and 2015.
- (3) The option award granted on February 21, 2013, representing a right to purchase 4,288 shares, vests in three equal installments on January 1, 2014, 2015 and 2016.
- (4) 735 shares of this option award were previously exercised and reported.
- (5) 1,985 shares of this option award were previously exercised and reported.
- (6) 700 shares of this option award were previously exercised and reported.

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