Edgar Filing: PIMCO INCOME STRATEGY FUND - Form 3

PIMCO INCOME STRATEGY FUND

Form 3

October 06, 2014

FORM 3

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB

3235-0104

0.5

washington, D.C. 2004)

Number: January 31, 2005

OMB APPROVAL

Estimated average burden hours per

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, response...

Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and A Person <u>*</u> BALLS A	•	orting	2. Date of Event Requiring Statement (Month/Day/Year)	3. Issuer Name and Ticker or Trading Symbol PIMCO INCOME STRATEGY FUND [PFL]							
(Last)	(First)	(Middle)	09/26/2014	9/26/2014 4. Relationship of Reporting Person(s) to Issuer							
C/O PIMCO CENTER D		WPORT		(Check all applicable)			Filed(Month/Day/Year)				
NEWPORT BEACH, (_			Director 10% Owner Officer X Other (give title below) (specify below) SEE REMARKS			6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person				
(City)	(State)	(Zip)	Table I - Non-Derivative Securities Beneficially Owned								
1.Title of Secur (Instr. 4)	rity		2. Amount o Beneficially (Instr. 4)		3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)	4. Nat Owne (Instr	•				
Reminder: Repowered directly		ate line for ea	ach class of securities benefic	ially S	EC 1473 (7-02)					
	inform require	nation contr ed to respo	pond to the collection of ained in this form are not and unless the form displ								

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)		3. Title and Amount of Securities Underlying Derivative Security		4. Conversion or Exercise Price of	5. Ownership Form of	6. Nature of Indirect Beneficial Ownership (Instr. 5)
	Date Exercisable	Expiration Date	(Instr. 4) Title	Amount or Number of Shares	Derivative Security	tive Security:	

(Instr. 5)

Reporting Owners

Reporting Owner Name / Address

Director 10% Owner Officer Other

BALLS ANDREW
C/O PIMCO
650 NEWPORT CENTER DRIVE
NEWPORT BEACH, CAÂ 92660

Signatures

/s/ Raulin Villegas, Attorney-in-Fact for Andrew Balls 10/06/2014

**Signature of Reporting Person Date

Explanation of Responses:

No securities are beneficially owned

- * If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Â

Remarks:

Pacific Investment Management Company LLC ("PIMCO") is the investment adviser of the Issuer. Â Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2