Anderson Joel D Form 4 December 03, 2018

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

OMB Washington, D.C. 20549 Number: Expires:

if no longer subject to Section 16. Form 4 or Form 5

obligations

may continue.

Check this box

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940 See Instruction

1(b).

(Last)

(City)

(Print or Type Responses)

1. Name and Address of Reporting Person \* Anderson Joel D

(First)

2. Issuer Name and Ticker or Trading Symbol

Issuer

(Middle)

(Zip)

FIVE BELOW, INC [FIVE]

3. Date of Earliest Transaction

C/O FIVE BELOW INC., 701 12/01/2018 MARKET STREET, SUITE 300

(Month/Day/Year) \_X\_\_ Director 10% Owner X\_ Officer (give title Other (specify below) President & CEO

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)

5. Relationship of Reporting Person(s) to

(Check all applicable)

**OMB APPROVAL** 

Estimated average

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3235-0287

January 31,

2005

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\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting Person

PHILADELPHIA, PA 19106

(State)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1.Title of 2. Transaction Date 2A. Deemed 3. 4. Securities Acquired 5. Amount of 6. Ownership 7. Nature of Security (Month/Day/Year) Execution Date, if Transaction(A) or Disposed of Securities Form: Direct Indirect (Instr. 3) Code (D) Beneficially (D) or Beneficial Indirect (I) Ownership (Month/Day/Year) (Instr. 8) (Instr. 3, 4 and 5) Owned Following (Instr. 4) (Instr. 4)

Reported (A) Transaction(s) (Instr. 3 and 4) Amount (D) Price

Common \$0 12/01/2018 M 14,534 129,507 D (1) Stock

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

#### Edgar Filing: Anderson Joel D - Form 4

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transacti<br>Code<br>(Instr. 8) | 5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) |                    | 7. Title and Amount of<br>Underlying Securities<br>(Instr. 3 and 4) |                                     | 8<br>I<br>S<br>( |
|---|---|---|---|---------------------------------------|---|--|--------------------|---|-------------------------------------|------------------|
|   |   |   |   | Code V                                | (A) (D)   | Date<br>Exercisable                                      | Expiration<br>Date | Title   | Amount<br>or<br>Number<br>of Shares |                  |
| Restricted<br>Stock<br>Units                        | <u>(2)</u>  | 12/01/2018                              |   | M                                     | 14,534  | <u>(3)</u>   | (3)                | Common<br>Stock   | 14,534                              |                  |

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## **Reporting Owners**

| Reporting Owner Name / Address | Relationships |           |           |       |  |  |
|--------------------------------|---------------|-----------|-----------|-------|--|--|
|                                | Director      | 10% Owner | Officer   | Other |  |  |
| Anderson Joel D                |               |           |           |       |  |  |
| C/O FIVE BELOW INC.            | X             |           | President |       |  |  |
| 701 MARKET STREET, SUITE 300   |               |           | & CEO     |       |  |  |
| PHILADELPHIA, PA 19106         |               |           |           |       |  |  |

### **Signatures**

/s/ Maureen Mulligan, as Attorney-In-Fact for Joel D.
Anderson 12/03/2018

\*\*Signature of Reporting Person Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- On December 1, 2018, the remaining 1/2 of the reporting person's 29,069 restricted stock units vested and converted into 14,534 shares of common stock on a one-for-one basis.
- (2) Restricted stock units convert into common stock on a one-for-one basis.
- As previously reported, on July 23, 2014, the reporting person was granted 29,069 restricted stock units. 1/2 of the restricted stock units vested on December 1, 2017 and the remaining 1/2 vested on December 1, 2018.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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