BGC Partners, Inc. Form 8-K November 19, 2014

#### **UNITED STATES**

#### SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

#### FORM 8-K

## **CURRENT REPORT**

## **PURSUANT TO SECTION 13 OR 15(D)**

## OF THE SECURITIES EXCHANGE ACT OF 1934

Date of Report (Date of Earliest Event Reported): November 19, 2014

## **BGC Partners, Inc.**

(Exact name of Registrant as specified in its charter)

Delaware (State or other jurisdiction

0-28191, 1-35591 (Commission 13-4063515 (I.R.S. Employer

of incorporation)

File Numbers)
499 Park Avenue, New York, NY 10022

**Identification No.)** 

## Edgar Filing: BGC Partners, Inc. - Form 8-K

(Address of principal executive offices)

## Registrant s telephone number, including area code: (212) 610-2200

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions:

- "Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)
- " Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)
- " Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))
- "Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

#### ITEM 8.01. OTHER EVENTS

On November 19, 2014, BGC Partners, L.P., a subsidiary of BGC Partners, Inc. (BGC Partners or the Company) issued a press release announcing the resolution of certain matters with the Financial Conduct Authority.

A copy of the press release is filed as Exhibit 99.1 to this Current Report on Form 8-K and is hereby incorporated by reference herein.

#### Discussion of Forward-Looking Statements by BGC Partners

Statements in this report regarding BGC Partners business that are not historical facts are forward-looking statements that involve risks and uncertainties. Except as required by law, BGC Partners undertakes no obligation to release any revisions to any forward-looking statements. For a discussion of additional risks and uncertainties, which could cause actual results to differ from those contained in the forward-looking statements, see BGC Partners Securities and Exchange Commission filings, including, but not limited to, the risk factors set forth in our public filings, including our most recent Form 10-K and any updates to such risk factors contained in subsequent Form 10-Q or Form 8-K filings.

## ITEM 9.01. FINANCIAL STATEMENTS AND EXHIBITS

(d) Exhibits

## **Exhibit**

## No. Description

99.1 BGC Partners, L.P. press release dated November 19, 2014

#### **SIGNATURES**

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

BGC PARTNERS, INC.

Date: November 19, 2014

By: /s/ Howard W. Lutnick Name: Howard W. Lutnick

Title: Chairman and Chief Executive Officer

[Signature Page to Form 8-K, dated November 19, 2014, regarding a press release announcing the resolution of certain matters with the Financial Conduct Authority.]

# **Exhibit List**

## **Exhibit**

No. Description

99.1 BGC Partners, L.P. press release dated November 19, 2014