ALDER BIOPHARMACEUTICALS INC Form 8-K March 28, 2019

UNITED STATES

SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

FORM 8-K

CURRENT REPORT

Pursuant to Section 13 or 15(d)

of the Securities Exchange Act of 1934

Date of Report (Date of earliest event reported): March 23, 2019

Alder BioPharmaceuticals, Inc.

(Exact name of registrant as specified in its charter)

Delaware (State or other jurisdiction of incorporation) 001-36431 (Commission File Number) 90-0134860 (IRS Employer Identification No.)

11804 North Creek Parkway South

98011

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Bothell, WA (Address of principal executive offices)

(Zip Code)

(425) 205-2900

Registrant s telephone number, including area code:

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions:

Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)

Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)

Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))

Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c)) Indicate by check mark whether the registrant is an emerging growth company as defined in Rule 405 of the Securities Act of 1933 (§ 230.405 of this chapter) or Rule 12b-2 of the Securities Exchange Act of 1934 (§ 240.12b-2 of this chapter).

Emerging growth company

If an emerging growth company, indicate by check mark if the registrant has elected not to use the extended transition period for complying with any new or revised financial accounting standards provided pursuant to Section 13(a) of the Exchange Act.

Item 5.02 Departure of Directors or Certain Officers; Election of Directors; Appointment of Certain Officers; Compensatory Arrangements of Certain Officers.

On March 23, 2019, Deepa Pakianathan, Ph.D. notified Alder BioPharmaceuticals, Inc. of her decision not to stand for re-election to our Board of Directors when her current term expires at our 2019 Annual Meeting of Stockholders, which is expected to occur on May 23, 2019. Dr. Pakianathan will continue to serve as a member of our Board of Directors until the conclusion of the 2019 Annual Meeting of Stockholders. Alder thanks Dr. Pakianathan for her Board service and wishes her great success in her future pursuits.

SIGNATURES

Pursuant to the requirements of the Securities Exchange Act of 1934, the Registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

Alder BioPharmaceuticals, Inc.

Dated: March 28, 2019

By: /s/ James B. Bucher James B. Bucher Executive Vice President and General Counsel