Edgar Filing: ALLIED MOTION TECHNOLOGIES INC - Form 4

| ALLIED M Form 4 August 15, 1 | OTION TECH | NOLOGIES | S INC | | | | | | | | | |
|--|---|--|---------|------------------|------|---|-----------|--|--|---|----------------------------------|--|
| | ЛЛ | | | | | | | | | OMB AF | PROVAL | |
| FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 | | | | | | | | OMMISSION | OMB Number: | 3235-0287 | | |
| Check the lor | aar | DOX | | | | | | | | | January 31, | |
| if no lon subject t Section Form 4 | 16. | STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES | | | | | | | | | 2005 Iverage rs per 0.5 | |
| Form 5 obligation may corn <i>See</i> Inst 1(b). | tinue. Section 1 | Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940 | | | | | | | | | | |
| (Print or Type | Responses) | | | | | | | | | | | |
| 1. Name and Address of Reporting Person <u>*</u> SMITH RICHARD D /CO/ | | | | | | | | | 5. Relationship of Reporting Person(s) to Issuer | | | |
| | (Check all applicable) | | | | | | | | | | | |
| (Last) | (First) | (Middle) | | (Month/Dav/Year) | | | | | _X_ Director 10% Owner _X_ Officer (give title Other (specify | | | |
| | IOTION .OGIES INC., 2 SS WAY EAST | | 08/14/2 | 2006 | | | | t | below) Chief E | below) xecutive Offic | er | |
| | Filed(Month/Day/Year) A | | | | | 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person | | | | | | |
| ENGLEW | DOD, CO 8011 | 2 | | | | | | - F | Form filed by M Person | ore than One Re | porting | |
| (City) | (State) | (Zip) | Tab | le I - N | on-I | Derivative | Secur | ities Acqui | ired, Disposed of, | or Beneficial | ly Owned | |
| 1.Title of Security (Instr. 3) | 2. Transaction Da (Month/Day/Yea | ned 3. 4. Securities Acquired (n Date, if Transactionor Disposed of (D) Code (Instr. 3, 4 and 5) Pay/Year) (Instr. 8) (A) | | | | | (D) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) | | |
| | | | | Code | v | Amount | or (D) | Price | (Instr. 3 and 4) | (11150.4) | | |
| Common Stock | 08/14/2006 | | | М | · | 57,600 | A | \$ 2.8125 | 84,600 <u>(1)</u> | D | | |
| Common Stock | 08/14/2006 | | | G | V | 57,600 | D | <u>(2)</u> | 27,000 (1) | D | | |
| Common Stock | 08/14/2006 | | | G | V | 57,600 | A | <u>(2)</u> | 249,538 | Ι | By Family Trust | |
| Common | | | | | | | | | 900 | Ι | By | |

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| Stock | | | | | | | | | Spouse's IRA | 8 |
|---|---|---|---|--|-----|--|---------------------|---|--------------------|-------------------------------------|
| Common Stock | | | | | | | 8,169 | Ι | By ESO Trust | P |
| Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly. Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number. | | | | | | | | | SEC 1474 (9-02) | |
| Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities) | | | | | | | | | | |
| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. 5. Number of TransactiorDerivative Code Securities (Instr. 8) Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | | 6. Date Exercisable and Expiration Date (Month/Day/Year) | | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | | |
| | | | | Code V | (A) | (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares |
| Options - Right to Buy | \$ 2.8125 | 08/14/2006 | | М | | 57,600 | (3) | 08/14/2006 | Common Stock | 57,600 |
| Options - Right to Buy | \$ 4.83 | | | | | | (3) | 10/25/2010 | Common Stock | 69,300 |
| Options - Right to Buy | \$ 4.83 | | | | | | (3) | 10/25/2007 | Common Stock | 20,700 |
| Options - Right to Buy | \$ 3.2 | | | | | | (3) | 08/15/2011 | Common Stock | 58,750 |
| Options - Right to Buy | \$ 3.2 | | | | | | (3) | 08/15/2008 | Common Stock | 31,250 |
| Options - Right to Buy | \$ 1.77 | | | | | | (3) | 02/12/2010 | Common Stock | 40,000 |
| Options - Right to Buy | \$ 4.27 | | | | | | (3) | 04/20/2011 | Common Stock | 60,000 |

8 I S ()

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | | | | |
|---|---------------|-----------|-------------------------------|-------|--|--|--|
| | Director | 10% Owner | Officer | Other | | | |
| SMITH RICHARD D /CO/ ALLIED MOTION TECHNOLOGIES INC. 23 INVERNESS WAY EAST, STE. 150 ENGLEWOOD, CO 80112 | Х | | Chief Executive Officer | | | | |
| Signatures | | | | | | | |
| Susan M. Chiarmonte, attorney-in fact for Richard Smith | ard D. | 08/15/20 | 06 | | | | |
| <u>**</u> Signature of Reporting Person | | Date | | | | | |
| Explanation of Paspanas | | | | | | | |

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Includes 25,000 shares of restricted stock granted under the Company's Year 2000 Stock Incentive Plan that have not yet vested.
- (2) Gift of securities from the Reporting Person to his Family Trust.
- (3) All of the options are currently exercisable.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.