BOOKHAM, INC.

Form 3

August 05, 2005

### FORM 3

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

OMB Number:

3235-0104

Expires:

response...

January 31, 2005

0.5

Estimated average burden hours per

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

(City)

(State)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person \* Statement BOOKHAM, INC. [BKHM] A Meldrum Adrian (Month/Day/Year) 07/26/2005 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) C/O BOOKHAM, INC., 2584 (Check all applicable) JUNCTION AVE. (Street) 6. Individual or Joint/Group 10% Owner Director \_X\_\_ Officer Other Filing(Check Applicable Line) (give title below) (specify below) \_X\_ Form filed by One Reporting Vice Pres. Sales and Marketing Person SAN JOSE, CAÂ 95134 Form filed by More than One Reporting Person

### **Table I - Non-Derivative Securities Beneficially Owned**

1.Title of Security
2. Amount of Securities
3. 4. Nature of Indirect Beneficial
Ownership
(Instr. 4)
Form:
Direct (D)
or Indirect
(I)
(Instr. 5)

Common Stock 0 D Â

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

(Zip)

SEC 1473 (7-02)

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

#### Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative	2. Date Exercisable and	3. Title and Amount of	4.	5.	6. Nature of Indirect
Security	Expiration Date	Securities Underlying	Conversion	Ownership	Beneficial
(Instr. 4)	(Month/Day/Year)	Derivative Security	or Exercise	Form of	Ownership
		(Instr. 4)	Price of	Derivative	(Instr. 5)
	Date Exercisable	Title	Derivative	Security:	
			Security	Direct (D)	

#### Edgar Filing: BOOKHAM, INC. - Form 3

		Expiration Date		Amount or Number of Shares		or Indirect (I) (Instr. 5)	
Stock Option (Right to Buy)	05/02/2003(1)	11/02/2011	Common Stock	2,000	\$ 19.68 <u>(4)</u>	D	Â
Stock Option (Right to Buy)	11/14/2003(2)	11/14/2012	Common Stock	500	\$ 13.58 <u>(4)</u>	D	Â
Stock Option (Right to Buy)	11/14/2003(2)	11/14/2012	Common Stock	1,500	\$ 13.58 <u>(4)</u>	D	Â
Stock Option (Right to Buy)	09/25/2004(2)	09/25/2013	Common Stock	5,000	\$ 23.55 (4)	D	Â
Stock Option (Right to Buy)	06/02/2005(2)	06/02/2014	Common Stock	10,000	\$ 10.19 (4)	D	Â
Stock Option (Right to Buy)	(3)	09/21/2014	Common Stock	8,000	\$ 6.73	D	Â
Stock Option (Right to Buy)	09/22/2005(2)	09/21/2014	Common Stock	8,000	\$ 6.73	D	Â

# **Reporting Owners**

Reporting Owner Name / Address	Relationships			
<b>r</b>	Director	10% Owner	Officer	Other
Meldrum Adrian C/O BOOKHAM, INC. 2584 JUNCTION AVE. SAN JOSE, CA 95134	Â	Â	Vice Pres. Sales and Marketing	Â

## **Signatures**

/s/ Adrian
Meldrum

\*\*Signature of Reporting Person

Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Option vests over 3.5 years at a rate of 33% of the total number of shares granted on each of May 2, 2003, May 2, 2004 and May 2, 2005.
- (2) Option vests over 4 years at a rate of 25% upon the one year anniversary of the date of the grant and 1/48th per month thereafter.
- (3) 50% of the shares subject to the option vests upon Bookham, Inc. achieving profitability and the remaining 50% of the shares vest upon Bookham, Inc. achieving cash break even. On September 22, 2009, all of the unvested shares vest.
- (4) Converted from British Pounds Sterling to U.S. dollars using the noon buying rate of exchange on July 26, 2005 of 1.00 British Pound Sterling = 1.7415 U.S. Dollars.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2