Edgar Filing: HARRIS RAYMOND - Form 3

HARRIS RAYMOND

Form 3

August 08, 2005

FORM 3

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

OMB Number:

3235-0104

Expires:

January 31, 2005

0.5

Estimated average burden hours per

response...

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person * Statement MORGAN STANLEY [MWD] **HARRIS RAYMOND** (Month/Day/Year) 07/28/2005 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) MORGAN STANLEY, 1585 (Check all applicable) **BROADWAY** (Street) 6. Individual or Joint/Group 10% Owner Director Officer _X_ Other Filing(Check Applicable Line) (give title below) (specify below) _X_ Form filed by One Reporting Acting Business Unit Head Person NEW YORK, NYÂ 10036 Form filed by More than One Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned 1. Title of Security 2. Amount of Securities 4. Nature of Indirect Beneficial Beneficially Owned Ownership Ownership (Instr. 4) (Instr. 4) Form: (Instr. 5) Direct (D) or Indirect (I) (Instr. 5) Â Common Stock D 57,154.467 Common Stock 7,683.018 I By 401(k) Plan/ESOP Trust Reminder: Report on a separate line for each class of securities beneficially SEC 1473 (7-02) owned directly or indirectly. Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

currently valid OMB control number.

1. Title of Derivative Security	2. Date Exercisable and	3. Title and Amount of	4.	5.	6. Nature of Indirect
(Instr. 4)	Expiration Date	Securities Underlying	Conversion	Ownership	Beneficial Ownership
	(Month/Day/Year)	Derivative Security	or Exercise	Form of	(Instr. 5)
		(Instr. 4)	Price of	Derivative	

Edgar Filing: HARRIS RAYMOND - Form 3

	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Derivative Security	Security: Direct (D) or Indirect (I) (Instr. 5)	
Employee Stock Option (Right to Buy)	(1)	01/02/2009	Common Stock	12,622	\$ 35.65	D	Â
Employee Stock Option (Right to Buy)	(2)	01/02/2010	Common Stock	3,216	\$ 60.14	D	Â
Employee Stock Option (Right to Buy)	(3)	01/02/2011	Common Stock	5,165	\$ 65.34	D	Â
Employee Stock Option (Right to Buy)	(4)	01/02/2012	Common Stock	6,444	\$ 57.03	D	Â
Employee Stock Option (Right to Buy)	(5)	01/02/2013	Common Stock	12,952	\$ 42.56	D	Â
Employee Stock Option (Right to Buy)	(6)	01/02/2014	Common Stock	130,148	\$ 53.02	D	Â
Employee Stock Option (Right to Buy)	(7)	01/02/2014	Common Stock	12,782	\$ 55.45	D	Â
Employee Stock Option (Right to Buy)	(8)	01/02/2008	Common Stock	13,356	\$ 56.87	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships				
r	Director	10% Owner	Officer	Other	
HARRIS RAYMOND					
MORGAN STANLEY	â	Â	â	Acting Business Unit Head	
1585 BROADWAY	А	А	A	Acting Business Unit Head	
NEW YORK Â NYÂ 10036					

Signatures

/s/ Raymond
Harris

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Options become exercisable upon vesting. The award is fully exercisable: 33 1/3% of the award vested on January 2 of each year for three years beginning on January 2, 2000.
- (2) Options become exercisable upon vesting. The award is fully exercisable: 100% of the award vested on January 2, 2002.
- (3) Options become exercisable upon vesting. The award is fully exercisable: 100% of the award vested on January 2, 2003.
- (4) Options become exercisable upon vesting. The award is fully exercisable: 100% of the award vested on January 2, 2004.

Reporting Owners 2

Edgar Filing: HARRIS RAYMOND - Form 3

- (5) Options become exercisable upon vesting. The award is fully exercisable: 100% of the award vested on January 2, 2005.
- (6) Options become exercisable upon vesting. 20% of the award vests annually on January 2 over five years beginning January 2, 2005.
- (7) Options become exercisable upon vesting. 50% of the award will vest on January 2, 2006 and 50% will vest on January 2, 2007.
- (8) Options become exercisable upon vesting. The award is fully exercisable: 100% of the award vested on the grant date (April 1, 2005). Restoration options granted in connection with exercise of previously granted options.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.