## Edgar Filing: FINANCIAL INSTITUTIONS INC - Form 4

FINANCIAL INSTITUTIONS INC Form 4 August 06, 2013				
FORM 4 UNITED STATES SECURITIES AND EXCHANCE COMMISSION	OMB APPROVAL			
Washington, D.C. 20549	DMB 3235-0287 Number:			
STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF Section 16. SECURITIES	Expires: January 31, 2005 Estimated average burden hours per response 0.5			
1(b).				
(Print or Type Responses)				
1. Name and Address of Reporting Person * WINN KENNETH VINCENT2. Issuer Name and Ticker or Trading Symbol5. Relationship of Rep Issuer	eporting Person(s) to			
FINANCIAL INSTITUTIONS INC [FISI] (Check al	(Check all applicable)			
220 LIBEDTV STDEET 00/05/2012 below)	XOfficer (give title Other (specify			
Filed(Month/Day/Year) Applicable Line)	6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person			
WARSAW, NY 14569 — Form filed by More Person	e than One Reporting			
(City) (State) (Zip) <b>Table I - Non-Derivative Securities Acquired, Disposed of, or</b>	r Beneficially Owned			
Security (Instr. 3)       (Month/Day/Year)       Execution Date, if any       Transaction(A) or Disposed of (D)       Securities       Fo         (Instr. 3)       any       Code       (Instr. 3, 4 and 5)       Beneficially       (D)         (Month/Day/Year)       (Instr. 8)       Owned       Integration (D)       Securities       Fo         (Month/Day/Year)       (Instr. 8)       Owned       Integration (D)       Securities       Following       (Integration (D)         (A)       or       (A)       (Instr. 3 and 4)       (Instr. 3 and 4)	Ownership7. Nature oform: DirectIndirectO orBeneficialdirect (I)Ownershipnstr. 4)(Instr. 4)			
Code V Amount (D) Price Common $08/05/2013$ L $1.014$ A $3.846$ (1) L	Held in			
Stock $08/03/2013$ 1 1,014 A 20.71 $3,840 \times 1$	401K plan			
Common 4,332 D				

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. 6. Date Exercisable ionNumber Expiration Date of (Month/Day/Year) Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		Date	Amou Unde Secur	le and unt of rlying tities . 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secu Bene Own Follo Repo Trans (Instr
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

## **Reporting Owners**

<b>Reporting Owner Name / Address</b>	Relationships						
	Director	10% Owner	Officer	Other			
WINN KENNETH VINCENT 220 LIBERTY STREET WARSAW, NY 14569			EVP & Chief Risk Officer				
Signatures							
/s/ Sonia M. Dumbleton, by pow attorney	ver of	C	08/06/2013				
<u>**</u> Signature of Reporting Person			Date				
Explanation of Pa	onon	0001					

## **Explanation of Responses:**

\* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Includes 293 shares acquired under the FISI 401(K) plan since the date of the reporting person's last ownership report.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.