

NANOMETRICS INC
Form 4
February 19, 2014

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0287
Expires: January 31, 2015
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
Crawford Bruce A

(Last) (First) (Middle)

C/O NANOMETRICS, INC., 1550
BUCKEY DRIVE

(Street)

MILPITAS, CA 95035

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol
NANOMETRICS INC [NANO]

3. Date of Earliest Transaction
(Month/Day/Year)
02/14/2014

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

___ Director ___ 10% Owner
 Officer (give title below) ___ Other (specify below)

Chief Operating Officer

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
___ Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|-----------------------------------|
| | | | Code | V | Amount | (A) or (D) | Price |
| Common Stock | 02/14/2014 | | S ⁽¹⁾ | | 14,686 | D | \$ 18.63 <u>(2)</u> |
| Common Stock | 02/17/2014 | | F | | 1,388 | D | \$ 18.7 |
| Common Stock | 02/18/2014 | | M ⁽¹⁾ | | 1,667 | A | \$ 1.17 |
| Common Stock | 02/18/2014 | | M ⁽¹⁾ | | 3,443 | A | \$ 7.5 |
| Common Stock | 02/18/2014 | | M ⁽¹⁾ | | 12,500 | A | \$ 13.08 |

Edgar Filing: NANOMETRICS INC - Form 4

| | | | | | | | |
|--------------|------------|-------------------------|--------|---|------------------------|--------|---|
| Common Stock | 02/18/2014 | <u>M</u> ⁽¹⁾ | 795 | A | \$ 11.37 | 59,542 | D |
| Common Stock | 02/18/2014 | <u>S</u> ⁽¹⁾ | 26,278 | D | \$ 18.52 <u>(3)</u> | 33,264 | D |
| Common Stock | 02/19/2014 | <u>M</u> ⁽¹⁾ | 560 | A | \$ 11.37 | 33,824 | D |
| Common Stock | 02/19/2014 | <u>M</u> ⁽¹⁾ | 3,333 | A | \$ 15.85 | 37,157 | D |
| Common Stock | 02/19/2014 | <u>S</u> ⁽¹⁾ | 3,893 | D | \$ 18.42 <u>(4)</u> | 33,264 | D |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Security (Instr. 3 and 4) | Amount or Number of Shares | |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|----------------------------|----------------------------|
| | | | | Code | V (A) (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares |
| Non-Qualified Stock Option (Right to Buy) | \$ 1.17 | 02/18/2014 | | <u>M</u> ⁽¹⁾ | 1,667 | <u>(5)</u> | 02/25/2016 | Common Stock | 1,667 |
| Non-Qualified Stock Option (Right to Buy) | \$ 7.5 | 02/18/2014 | | <u>M</u> ⁽¹⁾ | 3,443 | <u>(6)</u> | 09/03/2016 | Common Stock | 3,443 |
| Non-Qualified Stock Option (Right to Buy) | \$ 13.08 | 02/18/2014 | | <u>M</u> ⁽¹⁾ | 12,500 | <u>(7)</u> | 11/17/2016 | Common Stock | 12,500 |
| Non-Qualified Stock Option (Right to Buy) | \$ 11.37 | 02/18/2014 | | <u>M</u> ⁽¹⁾ | 795 | <u>(8)</u> | 11/15/2017 | Common Stock | 795 |
| | \$ 11.37 | 02/19/2014 | | <u>M</u> ⁽¹⁾ | 560 | <u>(9)</u> | 11/15/2017 | | 560 |

Non-Qualified
Stock Option
(Right to Buy)

Common
Stock

Non-Qualified
Stock Option
(Right to Buy)

\$ 15.85

02/19/2014

M⁽¹⁾

3,333

⁽¹⁰⁾

03/12/2020

Common
Stock

3,3

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|--|---------------|-----------|-------------------------|-------|
| | Director | 10% Owner | Officer | Other |
| Crawford Bruce A C/O NANOMETRICS, INC. 1550 BUCKEY DRIVE MILPITAS, CA 95035 | | | Chief Operating Officer | |

Signatures

/s/ Ronald W. Kisling,
Attorney-in-Fact

02/19/2014

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Transaction pursuant to Rule 10b5-1 Plan adopted December 13, 2013.
Represents weighted average sales price. The shares were sold at prices ranging from \$18.45 to \$18.84. The Reporting Person will provide upon request, to the SEC, the Issuer or any security holder of the Issuer, full information regarding the number of shares sold at each separate price.
- (2) Represents weighted average sales price. The shares were sold at prices ranging from \$18.40 to \$18.70. The Reporting Person will provide upon request, to the SEC, the Issuer or any security holder of the Issuer, full information regarding the number of shares sold at each separate price.
- (3) Represents weighted average sales price. The shares were sold at prices ranging from \$18.28 to \$18.58. The Reporting Person will provide upon request, to the SEC, the Issuer or any security holder of the Issuer, full information regarding the number of shares sold at each separate price.
- (4) One thirty-sixth (1/36th) of the shares shall vest and become exercisable in equal monthly installments starting on the monthly anniversary of the date of grant (February 25, 2009).
- (5) One third (1/3rd) of the shares are fully vested on the date of grant, with the remaining shares vesting (starting on the one year anniversary of the date of grant) at a rate of one twenty-fourth (1/24th) per month until fully vested on September 3, 2012.
- (6) One thirty-sixth (1/36th) of the shares shall vest and become exercisable in equal monthly installments starting on the monthly anniversary of the date of grant (November 17, 2009).
- (7) One forty-eighth (1/48th) of the shares shall vest and become exercisable in equal monthly installments starting on the monthly anniversary of the date of grant (November 16, 2010).
- (8) One forty-eighth (1/48th) of the shares shall vest and become exercisable in equal monthly installments starting on the monthly anniversary of the date of grant (November 16, 2010).
- (9) One forty-eighth (1/48th) of the shares shall vest and become exercisable in equal monthly installments starting on the monthly anniversary of the date of grant (March 12, 2013).
- (10)

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Edgar Filing: NANOMETRICS INC - Form 4

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.