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ZIOPHARM ONCOLOGY INC

Form 3

February 17, 2015

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB Number:

response...

3235-0104

0.5

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF

January 31, Expires: 2005

OMB APPROVAL

Estimated average burden hours per

SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting

Person *

 LEBEL FRANCOIS

(Last)

(First)

(Middle)

Statement

(Month/Day/Year)

02/09/2015

C/O ZIOPHARM ONCOLOGY,

INC., NAVY YARD, ONE FIRST AVE., BLDG #34

(Street)

2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol ZIOPHARM ONCOLOGY INC [ZIOP]

4. Relationship of Reporting

Person(s) to Issuer

5. If Amendment, Date Original

Filed(Month/Day/Year)

(Check all applicable)

Director

10% Owner

_X__ Officer Other (give title below) (specify below) Executive Vice President R&D 6. Individual or Joint/Group

Filing(Check Applicable Line) _X_ Form filed by One Reporting

Person

Form filed by More than One

Reporting Person

BOSTON. MAÂ 02129

(City) (State) (Zip)

1. Title of Security (Instr. 4)

Table I - Non-Derivative Securities Beneficially Owned

2. Amount of Securities Beneficially Owned

(Instr. 4)

3. Ownership 4. Nature of Indirect Beneficial

Ownership (Instr. 5) Form:

Direct (D) or Indirect (I)

(Instr. 5)

Reminder: Report on a separate line for each class of securities beneficially

owned directly or indirectly.

SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security

(Instr. 4)

2. Date Exercisable and **Expiration Date**

(Month/Day/Year)

3. Title and Amount of Securities Underlying Derivative Security

4. 5. Conversion

or Exercise

Price of

Ownership Form of Derivative

6. Nature of Indirect Beneficial Ownership

(Instr. 5)

Expiration Exercisable Date

Title

(Instr. 4)

Amount or Number of

Security: Derivative Security Direct (D)

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				Shares		or Indirect (I) (Instr. 5)	
Employee Stock Option (right to buy)	(1)	03/17/2023	Common Stock	45,000	\$ 5.71	D	Â
Employee Stock Option (right to buy)	(2)	06/26/2023	Common Stock	110,000	\$ 2.3	D	Â
Employee Stock Option (right to buy)	(3)	12/30/2023	Common Stock	150,000	\$ 4.34	D	Â
Employee Stock Option (right to buy)	(4)	12/30/2024	Common Stock	175,000	\$ 5.07	D	Â

Reporting Owners

Reporting Owner Name / Address		Ketauonsinps				
	Director	10% Owner	Officer	Other		

LEBEL FRANCOIS C/O ZIOPHARM ONCOLOGY, INC., NAVY YARD ONE FIRST AVE., BLDG #34 BOSTON, MAÂ 02129

 \hat{A} \hat{A} \hat{A} Executive Vice President R&D \hat{A}

Deletionship

Signatures

/s/ Francois
Lebel

**Signature of Reporting Person

O2/17/2015

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The option is vested with respect to 15,000 shares; the option vests with respect to the remaining 30,000 shares on 3/18/2015 and 3/18/2016.
- (2) The option is vested with respect to 36,667 shares; the option vests with respect to the remaining 73,333 shares on 6/27/2015 and 6/27/2016.
- (3) The option is vested with respect to 50,000 shares; the option vests with respect to the remaining 100,000 shares on 12/31/2015 and 12/31/2016.
- (4) The option vests in equal installments on each of the following dates: 12/31/2015, 12/31/2016 and 12/31/2017.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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