**NEOGENOMICS INC** 

Form 4 May 10, 2016

## FORM 4

### **OMB APPROVAL**

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB 3235-0287 Number:

Check this box if no longer subject to Section 16.

January 31, Expires: 2005

Form 4 or Form 5 obligations STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Estimated average burden hours per response...

may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

0.5

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* CROWTHER BRUCE K

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to Issuer

**NEOGENOMICS INC [NEO]** 

(Check all applicable)

(Last)

(First)

(Middle)

3. Date of Earliest Transaction

\_X\_\_ Director 10% Owner

12701 COMMONWEALTH DRIVE

04/20/2016

(Month/Day/Year)

below)

Officer (give title \_ Other (specify

SUITE 9

(Street)

4. If Amendment, Date Original

6. Individual or Joint/Group Filing(Check Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Filed(Month/Day/Year)

FORT MYERS, FL 33913

ed of, or Beneficially Owned
S

1.Title of	2. Transaction Date	2A. Deemed	3.	4. Securit	ies Acquired	5. Amount of	6. Ownership	7. Nature of
Security	(Month/Day/Year)	Execution Date, if	Transaction(A) or Disposed of			Securities	Form: Direct	Indirect
(Instr. 3)		any	Code	(D)		Beneficially	(D) or	Beneficial
		(Month/Day/Year)	(Instr. 8)	(Instr. 3, 4 and 5)		Owned	Indirect (I)	Ownership
						Following	(Instr. 4)	(Instr. 4)
					(4)	Reported		
					(A)	Transaction(s)		
			Code V	A 4	or (D) Price	(Instr. 3 and 4)		
			Code V	Amount	(D) Price			C1
								Shares
Common								held in
Common						9.980	I	spouses

Stock

trust account

Common Stock

04/20/2016

2.150

D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control

12,130

SEC 1474 (9-02)

### Edgar Filing: NEOGENOMICS INC - Form 4

#### number.

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative	2. Conversion	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if	4. 5. TransactionNumber Code of		6. Date Exercisab Expiration Date	le and	7. Title and A Underlying S		8 I
Security	or Exercise	(Month Bay, 1 car)	any			(Month/Day/Year)		(Instr. 3 and 4)		
(Instr. 3)	Price of Derivative		(Month/Day/Year)	(Instr. 8) Derivative Securities						(
	Security				Acquired					
					(A) or Disposed					
					of (D)					
					(Instr. 3,					
					4, and 5)					
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Stock								Common		
Option (Right to Buy)	\$ 4.78					05/06/2016(2)	05/06/2020	Common Stock	10,000	

# **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

CROWTHER BRUCE K 12701 COMMONWEALTH DRIVE SUITE 9 X FORT MYERS, FL 33913

## **Signatures**

/s/ Bruce K. Crowther 05/10/2016

\*\*Signature of Date
Reporting Person

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Mr. Crowther received 2,150 restricted shares on April 20, 2016 for his service on the board of directors. These shares will vest ratably over each of the next four quarters at 537.5 shares per quarter.
- (2) On May 6, 2015 Mr. Crowther was granted 10,000 stock options. The options vest ratably over the first three anniversary dates of the grant date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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