Hawaiian Telcom Holdco, Inc.

Form 4 July 18, 2016

# FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB APPROVAL** OMB 3235-0287 Number:

Check this box if no longer subject to Section 16.

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

January 31, Expires: 2005

Form 4 or Form 5 obligations may continue.

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

2. Issuer Name and Ticker or Trading

burden hours per response... 0.5

Estimated average

5. Relationship of Reporting Person(s) to

See Instruction 1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \*

Phillips Bernard R III			Symbol	Name and				Issuer				
		Hawaiia [HCOM	n Telcom	Holdco	, Inc.		(Check all applicable)					
(Last)	(First) (I	First) (Middle) 3. Date of (Month/I			ansaction			_X_ Director 10% Owner Officer (give title Other (specify				
C/O HAWAIIAN TELCOM HOLDCO, INC., 1177 BISHOP STREET			07/14/2016					below)	below)			
	(Street)			ndment, Da th/Day/Year)	Č	ĺ		6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person				
HONOLUL							Form filed by More than One Reporting Person					
(City)	(State)	(Zip)	Table	e I - Non-D	erivative (	Secur	ities Ac	equired, Disposed	of, or Benefici	ally Owned		
1.Title of Security (Instr. 3)	2. Transaction Date 2A. Deemed (Month/Day/Year) Execution Dat any (Month/Day/Y			Date, if TransactionAcquired (A) or Code Disposed of (D) ay/Year) (Instr. 8) (Instr. 3, 4 and 5)					6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)			
				Code V	Amount	(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)				
Common Stock	07/14/2016			F	2,298 (1)	D	\$0	11,782	D			
Common Stock								1,500	I	By Bernard R.Phillips III Revocable Trust (2)		
Common Stock								1,500	I	By Nancy L. Phillips		

Revocable

Trust (3)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (Instr. 5	Secu Acqu (A)	vative urities uired or posed O) tr. 3,		ate	Amor Unde Secur	le and ant of rlying ities . 3 and 4)	8. Price of Derivative Security (Instr. 5)
				Code	V (A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	

# **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Phillips Bernard R III C/O HAWAIIAN TELCOM HOLDCO, INC. 1177 BISHOP STREET HONOLULU, HI 96813

X

### **Signatures**

/s/ Sean Clark, as Attorney-in-Fact for Bernard R Phillips III

07/18/2016

\*\*Signature of Reporting Person

Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares were forfeited upon Mr. Phillips's retirement from the board of directors.

The securities are owned directly by the Bernard R. Phillips III Revocable Trust and indirectly by the Reporting Person and his spouse as co-trustee. The Reporting Person and his spouse, as co-trustees, have and share investment control over the securities but disclaim beneficial ownership of the reported securities except to the extent of his and his wife's pecuniary interest therein.

Reporting Owners 2

#### Edgar Filing: Hawaiian Telcom Holdco, Inc. - Form 4

The securities are owned directly by the Nancy L. Phillips Revocable Trust and indirectly by the Reporting Person and his spouse as co-trustee. The Reporting Person and his spouse, as co-trustees, have and share investment control over the securities but disclaim beneficial ownership of the reported securities except to the extent of his and his wife's pecuniary interest therein.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.