Dr Pepper Snapple Group, Inc.

Form 4 July 29, 2016

# FORM 4

#### **OMB APPROVAL**

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

OMB 3235-0287 Number:

Check this box if no longer subject to Section 16.

Washington, D.C. 20549

January 31, Expires: 2005

Form 4 or Form 5

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Estimated average burden hours per response... 0.5

obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

Dr Pepper Snapple Group, Inc. [DPS] (Check all applicable)			
(Last) (First) (Middle) 3. Date of Earliest Transaction Director 10% Ov (Month/Day/Year) Officer (give title Other (start of the control of the con			
5301 LEGACY DR. 07/28/2016 below) below) Executive Vice President	ıt		
(Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Country)	6. Individual or Joint/Group Filing(Check		
Filed(Month/Day/Year)  Applicable Line)  _X_ Form filed by One Reporting Person  Form filed by More than One Report			
PLANO, TX 75024  — Form thed by More than One Report Person  (City) (State) (7in)	J		

(City)	(State)	(Zip) Tab	ole I - Non-	Derivative	Secui	rities Acquir	ed, Disposed of,	or Beneficiall	y Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactio Code (Instr. 8)	4. Securit onor Dispos (Instr. 3, 4	ed of	` ′	5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code V	Amount	(D)	Price	(Instr. 3 and 4)		
Common Stock	07/28/2018		M <u>(1)</u>	6,743	A	\$ 43.82	7,380	D	
Common Stock	07/28/2016		M(2)	8,275	A	\$ 51.68	15,655	D	
Common Stock	07/28/2016		S	15,018	D	\$ 98.0006 (3)	637	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form

#### Edgar Filing: Dr Pepper Snapple Group, Inc. - Form 4

# displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. 5. Number Transaction Derivative Code Securities (Instr. 8) Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exer Expiration D (Month/Day/	ate	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Employee Stock Option (Right to Buy)	\$ 43.82	07/28/2016		M <u>(1)</u>	6,743	<u>(1)</u>	03/04/2023	Common Stock	6,743
Employee Stock Option	\$ 51.68	07/28/2016		M(2)	8,275	(2)	03/03/2024	Common Stock	8,275

### **Reporting Owners**

Reporting Owner Name / Address	Relationships
Reput ting Owner Maine / Address	

Director 10% Owner Officer Other

Trebilcock James R. 5301 LEGACY DR. PLANO, TX 75024

**Executive Vice President** 

### **Signatures**

Wayne R. Lewis, attorney o7/29/2016

\*\*Signature of Reporting Person Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) This option was granted pursuant to the Issuer's Omnibus Stock Incentive Plan of 2009 and vests in three equal annual installments on each anniversary date of the grant commencing on March 4, 2014.
- (2) This option was granted pursuant to the Issuer's Omnibus Stock Incentive Plan of 2009 and vests in three equal annual installments on each anniversary date of the grant commencing on March 3, 2015.

Reporting Owners 2

#### Edgar Filing: Dr Pepper Snapple Group, Inc. - Form 4

The price represents the weighted average sale price of the securities disposed of. The range of prices for the transaction is \$98.00-\$98.04.

(3) The reporting person shall provide upon request by the SEC, the issuer, or any security holder of the issuer, full information regarding the number of shares sold at each separate price.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.