#### SIGNET JEWELERS LTD

Form 4 July 03, 2017

# FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB** Number:

3235-0287

Expires:

January 31, 2005

0.5

Estimated average burden hours per

**OMB APPROVAL** 

response...

if no longer subject to Section 16. Form 4 or Form 5 obligations

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* Graf R. Mark

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to

Issuer

below)

(First) (Last)

SIGNET JEWELERS LTD [SIG]

(Check all applicable)

CLARENDON HOUSE, 2

3. Date of Earliest Transaction (Month/Day/Year)

X\_ Director Officer (give title

10% Owner Other (specify

CHURCH STREET

4. If Amendment, Date Original

Α

6. Individual or Joint/Group Filing(Check

Applicable Line)

Filed(Month/Day/Year)

07/01/2017

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

D

HAMILTON, D0 HM11

(City) (State) (Zip)

(Street)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

\$0

1.Title of Security (Instr. 3)

2. Transaction Date 2A. Deemed (Month/Day/Year) Execution Date, if (Month/Day/Year)

(Middle)

3. 4. Securities TransactionAcquired (A) or Code Disposed of (D) (Instr. 8) (Instr. 3, 4 and 5)

5. Amount of Securities Beneficially (D) or Owned Following (Instr. 4) Reported

6. Ownership 7. Nature of Form: Direct Indirect Beneficial Indirect (I) Ownership (Instr. 4)

(A) or

2.258

Transaction(s) (Instr. 3 and 4)

Common

Shares, par 07/01/2017 Amount (D) Price

Α

value \$0.18

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

2,258

SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Conversion or Exercise (Instr. 3) Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. onNumber of Derivative Securities Acquired (A) or Disposed of (D)		ate	7. Title Amoun Underly Securit (Instr. 3	t of ying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secur Bene Owne Follo Repo Trans (Instr
				(Instr. 3, 4, and 5)			,	Amount		
			Code V		Date Exercisable	Expiration Date	Title 1	Number of Shares		

# **Reporting Owners**

Reporting Owner Name / Address	Relationships					
1 8	Director	10% Owner	Officer	Other		
Graf R. Mark CLARENDON HOUSE 2 CHURCH STREET HAMILTON, D0 HM11	X					

# **Signatures**

Mark Jenkins, Attorney 07/03/2017 in Fact

\*\*Signature of Reporting Person Date

# **Explanation of Responses:**

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number. =Calibri,sans-serif>Shares

Preferred

1

Under 0.01%

Under 0.01%

Under 0.01%

Under 0.01%

Reporting Owners 2

# Transactions in the referred month – specify each buy or sell operation closed in the month (day, quantity, price and volume)

Securities / Derivatives	
	Securities Characteristics
Brokerage House	
	Operation
	Day
	Quantity
	Price (R\$)
	Volume (R\$) (3)
	Shares
	Common
	-
	Not Onerous Assignment
	04/28/2016
	35
	-
	-

## **Initial Balance**

Securities / Derivatives

**Securities Characteristics** 

Quantity

% of participation economic¹

% of participation economic<sup>2</sup>

Same Class and Type

Total

Same Class and Type

Total

Shares

Common

35

Under 0.01%

Under 0.01%

Under 0.01%

Under 0.01%

**Shares** 

Preferred

1

Under 0.01%

Under 0.01%

Under 0.01%

Under 0.01%

- (1) The percentage indicated in this column correspond to the economic share of each shareholder, which was not amended as a result of the split mentioned above. According to the Company's Bylaws and for all purposes of the Lei das S.A. will be considered this percentage and not the percentage corresponding to participation in the share capital, as requested in this form.
- (2) The percentage indicated in this column corresponds to the percentage of the number of shares of each shareholder of the Company, after the split of the common shares approved in the Extraordinary General Meeting of the Company, occurred on 23.03.2015.
- (3) In line with the Circular Letter No. 02/2015 of SEP, information about the securities traded by the controlling shareholders of the Company who are also directors and are being considered in accordance with its executive function. In the case of the Company, there are controlling shareholders who are members of the Board of Directors, so that the information related to these members are arranged in the framework of trading in securities of members of GOL's Board.

#### **CONSOLIDATED FORM**

# Management and Related Person's Negotiation of Securities Issued by the Company - Article 11 - CVM Instruction # 358/2002

Between April 01, 2016 and April 30, 2016 the only transactions with securities and derivatives were those presented below, in compliance with Article 11 - CVM Instruction # 358/2002

Company Name: GOL LINHAS AÉREAS INTELIGENTES S.A.

		( /	
( ) Board of Company and Directors of GOL Related Persons Linhas Aéreas Inteligentes S.A.I	Officers of GOL Linhas Aéreas Inteligentes S.A.	Statutory Committees of	Shareholders of GOL Linhas Aéreas Inteligentes S.A.

### **Initial Balance**

Securities / Derivatives			% of participation economic <sup>1</sup>		% of participation economic²	
Delivatives	Securities Characteristics	Quantity	Same	9	Same	
			Class	Total	Class	Total
			and	TOLAT	and	TOLAT
			Type	•	Type	
Shares	Common	0	0%	0%	0%	0%
Shares	Preferred	158,990	0.08%	0.05%0	.08%L	Jnder 0.01%

# Transactions in the referred month – specify each buy or sell operation closed in the month (day, quantity, price and volume)

Securities /	Securities	Brokerage Operation	n DayQuantity(R\$) (3)
Derivatives	Characteristics	House	(R\$)

#### **Initial Balance**

Securities / Derivatives	Securities Characteristics		% of participation economic <sup>1</sup>		% of participation economic <sup>2</sup>	
		Quantity	Same	9	Same	
			Class	Total	Class	Total
			and	Total	and	Total
			Type	-	Туре	
Shares	Common	0	0%	0%	0%	0%
Shares	Preferred	158,990	0.08%	0.05%0	.08%U	Inder 0.01%

- (1) The percentage indicated in this column correspond to the economic share of each shareholder, which was not amended as a result of the split mentioned above. According to the Company's Bylaws and for all purposes of the Lei das S.A. will be considered this percentage and not the percentage corresponding to participation in the share capital, as requested in this form.
- (2) The percentage indicated in this column corresponds to the percentage of the number of shares of each shareholder of the Company, after the split of the common shares approved in the Extraordinary General Meeting of the Company, occurred on 23.03.2015.
- (3) In line with the Circular Letter No. 02/2015 of SEP, information about the securities traded by the controlling shareholders of the Company who are also directors and are being considered in accordance with its executive function.

#### **CONSOLIDATED FORM**

# Management and Related Person's Negotiation of Securities Issued by the Company - Article 11 - CVM Instruction # 358/2002

Between April 01, 2016 and April 30, 2016 the only transactions with securities and derivatives were those presented below, in compliance with Article 11 - CVM Instruction # 358/2002

Company Name: GOL LINHAS AÉREAS INTELIGENTES S.A.

() Board of () Executive Company and Directors of GOL Officers of GOL Related Persons Linhas Aéreas Linhas Aéreas Inteligentes S.A.Inteligentes S.A.

( ) Fiscal
Committee and
Statutory
Committees of
GOL Linhas
Aéreas
Inteligentes S.A.

(X) Controlling Shareholders of GOL Linhas Aéreas Inteligentes S.A.

**Initial Balance** 

Securities /			% of participation economic <sup>1</sup>	% of participation economic <sup>2</sup>
Derivatives	Securities Characteristics	Quantity	Same Same Class Total and Type	ame ass Total nd ype
Shares	Common	5,035,037,070	100.00%41.43%0	.00% 96.12%
Shares	Preferred	70.910.018	34.86% 20.423/4.	86% 1.35%

# Transactions in the referred month – specify each buy or sell operation closed in the month (day, quantity, price and volume)

Securities /	Securities	Brokerage	Operation	PayouantPrice	Volume
Derivatives	Characteristics	House	Operation	DayQuantity (K\$)	(R\$) (3)

Shares Common - Not Onerous 4/28/20165 - Assignment

#### **Initial Balance**

		% of	% of
Securities /	Securities	participation	participation
Derivatives	Characteristics	economic¹	economic <sup>2</sup>

Quantity

			Same Class and Type	Same Class and Type	Total
Shares	Common	5,035,037,105	100.00%	41.4200.00%	96.12%
Shares	Preferred	70.910.018	34.86%	20.423/4.86%	1.35%

- (1) The percentage indicated in this column correspond to the economic share of each shareholder, which was not amended as a result of the split mentioned above. According to the Company's Bylaws and for all purposes of the Lei das S.A. will be considered this percentage and not the percentage corresponding to participation in the share capital, as requested in this form.
- (2) The percentage indicated in this column corresponds to the percentage of the number of shares of each shareholder of the Company, after the split of the common shares approved in the Extraordinary General Meeting of the Company, occurred on 23.03.2015.
- (3) In line with the Circular Letter No. 02/2015 of SEP, information about the securities traded by the controlling shareholders of the Company who are also directors and are being considered in accordance with its executive function. In the case of the Company, there are controlling shareholders who are members of the Board of Directors, so that the information related to these members are arranged in the framework of trading in securities of members of GOL's Board.

#### **CONSOLIDATED FORM**

### Management and Related Person's Negotiation of Securities Issued by the Company - Article 11 - CVM **Instruction # 358/2002**

Between April 01, 2016 and April 30, 2016 the only transactions with securities and derivatives were those presented below, in compliance with Article 11 - CVM Instruction # 358/2002

Company Name: GOL LINHAS AÉREAS INTELIGENTES S.A.

(x) Fiscal

**Committee and** () Controlling () Board of ( ) Executive Statutory Shareholders of Company and Directors of GOL Officers of GOL **GOL Linhas Committees of** Related Persons Linhas Aéreas Linhas Aéreas **GOL Linhas** Aéreas Inteligentes S.A. Inteligentes S.A. Aéreas Inteligentes S.A. Inteligentes S.A.

#### **Initial Balance**

Securities / Derivatives			% of participation economic¹			% of participation economic²		
Derivatives	Securities Characteristics	Quantity	Same	Same				
			Class	Total	Class	Total		
			and	Total	and	rotar		
			Type	-	Туре			
Shares	Common	0	0%	0%	0%	0%		
Shares	Preferred	0	0%	0%	0%	0%		

## Transactions in the referred month - specify each buy or sell operation closed in the month (day, quantity, price and volume)

			-	
Securities /	Securities	Brokerage Operation	Price V	olume (R\$)
Derivatives	Characteristics	House	(R\$)	(3)

#### **Initial Balance**

% of % of participation participation Securities / Securities Characteristics economic<sup>2</sup> Derivatives economic<sup>1</sup>

Quantity

			Same Class and Type	Same Class Total and Type	Total
Shares	Common	0	0%	0% 0%	0%
Shares	Preferred	0	0%	0% 0%	0%

- (1) The percentage indicated in this column correspond to the economic share of each shareholder, which was not amended as a result of the split mentioned above. According to the Company's Bylaws and for all purposes of the Lei das S.A. will be considered this percentage and not the percentage corresponding to participation in the share capital, as requested in this form.
- (2) The percentage indicated in this column corresponds to the percentage of the number of shares of each shareholder of the Company, after the split of the common shares approved in the Extraordinary General Meeting of the Company, occurred on 23.03.2015.
- (3) In line with the Circular Letter No. 02/2015 of SEP, information about the securities traded by the controlling shareholders of the Company who are also directors and are being considered in accordance with its executive function.

#### **SIGNATURE**

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned, thereunto duly authorized.

Date: May 10, 2016

### GOL LINHAS AÉREAS INTELIGENTES S.A.

By: /S/ Edmar Prado Lopes Neto

Name: Edmar Prado Lopes Neto

Title: Investor Relations Officer

#### FORWARD-LOOKING STATEMENTS

This press release may contain forward-looking statements. These statements are statements that are not historical facts, and are based on management's current view and estimates offuture economic circumstances, industry conditions, company performance and financial results. The words "anticipates", "believes", "estimates", "expects", "plans" and similar expressions, as they relate to the company, are intended to identify forward-looking statements. Statements regarding the declaration or payment of dividends, the implementation of principal operating and financing strategies and capital expenditure plans, the direction of future operations and the factors or trends affecting financial condition, liquidity or results of operations are examples of forward-looking statements. Such statements reflect the current views of management and are subject to a number of risks and uncertainties. There is no guarantee that the expected events, trends or results will a ctually occur. The statements are based on many assumptions and factors, including general economic and market conditions, industry conditions, and operating factors. Any changes in such assumptions or factors could cause actual results to differ materially from current expectations.