

KOOPMANS MENNO

Form 4

December 27, 2017

FORM 4
UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

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(Print or Type Responses)

1. Name and Address of Reporting Person *
KOOPMANS MENNO

2. Issuer Name **and** Ticker or Trading
Symbol

UNIVERSAL ELECTRONICS INC
[UEIC]

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

(Last) (First) (Middle)

**201 EAST SANDPOINTE, 8TH
FLOOR**

(Street)

3. Date of Earliest Transaction
(Month/Day/Year)
12/19/2017

____ Director ____ 10% Owner
____X____ Officer (give title ____ Other (specify
below) below)
Sr. Vice President

SANTA ANA, CA 92707-6708

(City) (State) (Zip)

4. If Amendment, Date Original
Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check
Applicable Line)
____X____ Form filed by One Reporting Person
____ Form filed by More than One Reporting
Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction(A) Code (Instr. 8)	4. Securities Acquired or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount (A) or (D)	Price	
Common Stock	12/19/2017		M		840 ⁽¹⁾	A \$ 0	2,057 D
Common Stock	12/19/2017		F		467 ⁽²⁾	D \$ 47.9	1,590 D
Common Stock	12/22/2017		M		840 ⁽³⁾	A \$ 0	2,430 D
Common Stock	12/22/2017		F		436 ⁽²⁾	D \$ 47.815	1,994 D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)		
				Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Restricted Stock Units	(4)	12/19/2017		M		840		(5)	(5)	Common Stock	840	\$ 0
Restricted Stock Units	(4)	12/22/2017		M		840		(6)	(6)	Common Stock	840	\$ 0

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
KOOPMANS MENNO 201 EAST SANDPOINTE 8TH FLOOR SANTA ANA, CA 92707-6708			Sr. Vice President	

Signatures

/S/Menno Koopmans by Valerie J. Ballard pursuant to Limited Power of Attorney dated February 3, 2017 (attached)

12/27/2017

__Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- (1) Stock issued pursuant to Restricted Stock Grant approved by the Compensation Committee of the Board of Directors on December 5, 2014 and ratified by the Board of Directors on December 10, 2014.

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- (2) This transaction represents a withholding of shares to cover taxes applicable to a vesting of RSUs also reported on this Form 4.
- (3) Stock issued pursuant to Restricted Stock Grant approved by the Compensation Committee of the Board of Directors on December 7, 2015 and ratified by the Board of Directors on December 9, 2015.
- (4) Each restricted stock unit represents a contingent right to receive one share of UEI common stock
- (5) These restricted stock units granted on December 19, 2014 vest over a 3 year ratable annual vesting period which began on December 19, 2015.
- (6) These restricted stock units granted on December 22, 2015 vest over a 3 year ratable annual vesting period which began on December 22, 2016.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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